

REGULATION OF FOXP3 EXPRESSION: A KEY TRANSCRIPTION FACTOR FOR REGULATORY T CELLS

Dissertation

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Pour mes Parents

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Summary

The T regulatory cells (Tregs) play an important role in immune homeostasis, by maintaining tolerance to self-antigens and allergens as well as by limiting inflammatory tissue damage during chronic infections. Humans or mice lacking the Treg-associated transcription factor FOXP3 develop severe features of autoimmunity and allergy. Ectopic FOXP3 expression endows non-regulatory T cells with many of the hallmarks of regulatory T cells and transgenic reporter mice carrying a FOXP3-GFP indicated that FOXP3 expression correlates with suppressive T cells. Therefore FOXP3 is a faithful marker for Tregs.

Although Tregs can be generated in the thymus, their induction out of non-Tregs was also revealed in the periphery. The molecular mechanisms leading to Tregs generation in the periphery are still not identified. To gain insight into this key process we analyzed peripheral FOXP3 regulation. For this purpose we localized and cloned the human FOXP3 promoter into a reporter plasmid and characterized its activity in primary CD4⁺ T cells. In a second step, we looked at factors, which regulate FOXP3 expression during the differentiation process from naïve T cells into the effectors cells.

This thesis reveals that the FOXP3 promoter is located -6221 bp upstream of the translation start site and the 5' UTR is interrupted by a 6000 bp intron. Our results indicate that the FOXP3 promoter is cell-specific and is active only in primary CD4⁺ T cells.

We demonstrated that FOXP3 mRNA and protein expression is induced following TCR engagement in CD4⁺CD25⁻ T cells or artificially by phorbol 12-myristate 13-acetate (PMA) and ionomycin induced FOXP3 promoter activity. The activation-responsive element of the FOXP3 promoter is composed of at least three NFAT and AP-1 sites.

Cyclosporin A (CsA) completely inhibited the mRNA induction of FOXP3 as well as the promoter activity. CsA is a well-known immunosuppressive drug, which blocks NFAT translocation into the nucleus by inhibition of the calcineurin phosphatase activity. We have shown that the immunosuppressants glucocorticoids and rapamycin promote FOXP3 expression. Therefore immunosuppressive drugs may have different mechanisms to promote immune tolerance and a more precise knowledge of the immunosuppressive drugs targets will improve their therapeutical usage.

Although induction of FOXP3 upon TCR triggering is probably an important step, it remains unclear, which signals are specifying Tregs induction over the induction of effector Th1 or Th2 cells.

We demonstrated that Th2 commitment prevents the induction of FOXP3⁺ Treg cells by a GATA3-dependent mechanism., in contrast to naïve T cells cannot *in vitro* differentiated Th2

cells express FOXP3 upon stimulation with TGF- β . The Th2 cytokine IL-4 efficiently inhibited FOXP3 mRNA and protein expression in differentiating naïve human T cells.

We investigated the role of GATA3 in this process, since it is known to be essential for Th2 commitment and is induced by IL-4. Transient overexpression of GATA3 blocked the induction of the FOXP3 promoter activity in human T cells and strikingly, mice engineered to overexpress GATA3 in T cells (CD2-GATA3 x DO11.10 Tg mice) do not express FOXP3 following TGF- β exposure along with the specific antigen. We discovered that these GATA3 effects are mediated by a direct action on the FOXP3 promoter. We described a GATA3 binding site in the FOXP3 promoter, between the NFAT-inducible region and the transcription start site. Site-specific mutation of this GATA3 binding region, which is accessible on the chromatin level, reveals that this element negatively regulates the FOXP3 promoter activity.

Taken together this thesis revealed that antigen-experience is an important step in Treg generation. We identified GATA3 as a negative regulator of FOXP3 expression, which suggests that Treg induction relative to Th1 or Th2 differentiation is a matter of negative cross-regulation of competing lineage-specific factors. This mechanism is likely to improve our understanding of Treg induction and thus the induction of immune tolerance in disease such as allergy or autoimmunity.

Zusammenfassung

Regulatorische T-Zellen (Tregs) halten die Immuntoleranz gegenüber Selbstantigenen oder Allergenen aufrecht, indem sie die entzündliche Gewebezerstörung begrenzen. Die Tregs sind durch die Expression von FOXP3 gekennzeichnet, welches im Falle von genetischen Defekten zu schweren Autoimmunerkrankungen und allergischer Entzündungen führt. Künstliche FOXP3-Überexpression verleiht normalen T-Zellen das phänotypische Erscheinungsbild von Tregs. Studien über transgene Reporter-Mäuse (FOXP3-GFP) zeigen, dass die FOXP3-Expression mit aktiven suppressor-T-Zellen korreliert. Demzufolge ist FOXP3 ein wichtiger Indikator für Tregs, welche in der Regel im Thymus generiert werden. Interessanterweise konnte kürzlich gezeigt werden, dass Tregs auch in der Peripherie induziert werden können und somit auch bei der Toleranz von Allergenen wichtig sein könnten.

Die molekularen Mechanismen der Treg-Induktion in der Peripherie sind noch nicht erforscht worden. Gegenstand dieser Studie ist daher der Prozess der peripheren Treg-Induktion. Das FOXP3-Gen wurde als wichtige molekulare Leitstruktur verfolgt. Dementsprechend wurde der humane FOXP3-Promoter lokalisiert und in einen Luciferase-Vektor kloniert, um dessen Aktivität in primären humanen T-Zellen zu bestimmen. Außerdem wurden Faktoren lokalisiert, die die FOXP3-Expression während der T-Zell-Differenzierung regulieren.

Die vorliegenden Ergebnisse zeigen, dass der FOXP3-Promoter -6221 bp aufwärts von der Translation Start-Stelle liegt und zu der 5'-UTR durch ein 6000 bp Intron unterbrochen ist. Die Studie beschreibt außerdem die Zell-spezifische Aktivität des FOXP3-Promoters, die sich nur in primären CD4⁺ T-Zellen darstellen lässt.

Die FOXP3-mRNA- und Proteinexpression wird durch die T-Zell-Rezeptor (TCR)-Aktivierung oder künstlich durch phorbol 12-myristat 13-acetat (PMA) und Ionomycin induziert. Die aktivierungsabhängigen Elemente des FOXP3-Promoters sind aus mindestens drei NFAT und mehreren AP-1-Bindungsstellen aufgebaut.

Cyclosporin A (CsA) inhibiert sowohl die FOXP3-mRNA- und Proteinexpression, als auch die FOXP3-Promotoraktivität. CsA ist ein weitverbreitetes, immunsuppressives Medikament, dessen Wirkung auf der Blockierung des NFAT beruht. Es wird deutlich, dass immunsuppressive Medikamente in unterschiedlicher Art und Weise die Immuntoleranz über Tregs beeinflussen kann. Das Verständnis des Wirkmechanismus bezüglich des FOXP3 und der Treg-Induktion wird den Einsatz dieser Medikamente hinsichtlich der Immuntoleranz verändern und die therapeutischen Anwendungen erweitern.

Obwohl die Induktion des FOXP3 bzw. der Tregs von dem TCR abhängt, bleibt die Spezifität der Treg-Induktion gegenüber den Effektor Th1 oder Th2 Zellen unklar. In der vorliegenden Arbeit zeigen wir erstmals, dass die Th2-Differenzierung die Induktion von FOXP3+ Tregs über einen GATA-3 abhängigen Mechanismus verhindert. In Gegensatz zu naïven T-Zellen können *in vitro* differenzierte Th2-Zellen kein FOXP3 exprimieren.

Bei unserer Analyse des zugrundeliegenden Mechanismus konnten wir die wichtige Rolle des GATA-3 beweisen, welches für die Th2-Differenzierung unersetzlich ist und durch IL-4 induziert wird. Die transiente Überexpression von GATA-3 blockiert die Induktion der FOXP3-Promoter Aktivität in humanen T-Zellen. In Mäusen, die genetisch so verändert wurden, dass sie GATA-3 nur in T-Zellen exprimieren, konnte im Gegensatz zu den Wild-Typ Mäusen keine FOXP3-Induktion nach TGF- β - und Antigen-Stimulation beobachtet werden. Es konnte bewiesen werden, dass GATA-3 an eine Stelle im FOXP3-Promoter bindet, die zwischen der NFAT-induzierbaren Region und der Transkriptions-Start-Stelle liegt. Die im Chromatin zugängliche GATA-3 Bindestelle inhibiert die FOXP3-Expression, wie es durch die Sequenz-spezifische Mutation bewiesen werden konnte.

Zusammenfassend lässt sich auf Grund der vorliegend Doktorarbeit feststellen, dass Antigen-Kontakt eine Schlüsselrolle in der Treg-Induktion spielt. Die GATA-3 vermittelte negative Regulation des FOXP3-Gens lässt vermuten, dass die Spezifität der Treg-Induktion durch negative Regulations-Mechanismen zustande kommt. Dieser Mechanismus ist wichtig für die immunologischen Konzepte der Treg- und Toleranzinduktion bei Allergien und Autoimmunerkrankungen.

Abbreviations

APC	Antigen-Presenting cells
AIDS	Aquired immunodeficiency syndrome
BTEB1	Basic transcription element binding protein 1
CD	cluster of differentiation
CsA	Cyclosporin A
CTL	Cytotoxic T cells
CTLA-4	Cytotoxic T lymphocyte antigen 4
DC	Dendritic cell
DNMT	DNA methyltransferase
EAE	Experimental autoimmune encephalomyelitis
FOG	Friend of GATA
GITR	Glucocorticoid-induced tumor necrosis factor receptor family-related receptor
GVDH	Graft-versus host disease
IFN	Interferon
IL	Interleukin
GPI	Glycosylphosphatidylinositol
LPS	Lipopolysaccharide
MAPK	Mitogen-activated protein kinase
MHC	Major histocompatibility complex
MBD2	methyl-CpG binding domain protein-2
MBP	Myelin basic protein
MS	Multiple sclerosis
NFAT	Nuclear factor of activated T cells
NuRD	nucleosome remodeling and deacetylase
OVA	Ovalbumin
PAMP	Pathogen-associated molecular patterns
PD-1	Programmed death-1
PMA	Phorbol 12-myristate 13-acetate
ROG	Repressor of GATA
STAT6	signal transducer and activator of transcription
TCR	T cell receptor

TGF- β	Transforming growth factor- β
Th	T helper cell
TLRs	Toll-like receptors
Tr1	T regulatory 1
TSLP	Thymic stromal lymphopoetin
TSS	Translation start site
TIEG2	TGF- β -inducible early protein gene 2

1. Introduction

1.1. Overview

The human organism is continuously exposed to microorganisms, which constitute a potential danger to the host. The immune system, which fights against pathogens, has the difficult task to distinguish between harmless and harmful antigens. Although the protection against infections is fundamental for the survival of all animals, an immune reaction to self or innocuous antigens may cause severe disease leading to autoimmunity or allergies with in some cases fatal consequences. Therefore the immune system has developed an efficient barrier to identify pathological microorganisms and to actively maintain tolerance to innocuous antigens. The immune system is divided into two parts: innate and adaptive.

The innate immune system is the first line of defense against pathogenic microorganisms (bacteria, viruses, fungi, and parasites). Once activated, the cells produce cytokines that regulate and coordinate many activities of the cells from the innate and adaptive immune system. Innate immunity is activated by specific structures, which are common to different microbes but does not allow an efficient defense against the invading pathogens, which are structurally variable and is therefore complemented by the adaptive immune system. The adaptive immunity may take days or weeks, after an initial infection, to have an effect. It is composed of humoral and cell-mediated immunity including B cell, CD8 cytotoxic T cells (CTL) and the effector or T helper cells (Th). The T helper cells produce cytokines that activate macrophages and induce proliferation of B and T cells. Innate and adaptive systems communicate to build an efficient way of fighting pathogens.

1.2. The innate immune system tailors the adaptive immune response

The specific immune response is driven by effector cells composed of the Th1, Th2 and Th17 cells. The Th1/Th2/Th17 response is further controlled by T regulatory cells (Tregs), which are potent suppressors of the immune system. The immune response is a dynamic process, which has to be adapted for the specific invading pathogens, in order to efficiently clear the infection. In addition the immune response may tend toward tolerance, instead of activation and elimination of the microbes. Therefore, an accurate communication has developed between the innate immune system, which encounters first the pathogen and the adaptive immune system, which fights specifically and efficiently against antigens.

The “innate” receptors, Toll-like receptors (TLRs), mannose receptor recognize conserved pathogenic particles called pathogen-associated molecular patterns (PAMPs) expressed by microbes such as lipopolysaccharide (LPS) and triggers a type 1 response (Th1 cells) characterized by a high IFN- γ secretion. For example, viruses, which infect the cells, will be recognized by the intracellular TLRs, which bind to the ssDNA or dsRNA typical from viruses. HSV-2 triggers a potent type I interferon response by activating the TLR9 from the plasmacytoid dendritic cells (DCs). This interferon secretion leads to the anti-viral state, characterized by inhibition of viral replication, increase effectiveness of CTL-mediated killing of infected cells and stimulation of Th1 cell development¹⁻⁴. Intracellular bacteria are engulfed by phagocytes, in which they can survive, but during this intracellular persistence, the pathogens are degraded and presented to the CD4⁺ T cells, which in turn secrete IFN- γ increasing the phagocytic activity of the phagocytes and lead to the elimination of the bacteria. Furthermore macrophages secrete IL-12, which induces differentiation of naïve T cell into the IFN- γ -secreting Th1 cells⁵. Therefore a Th1 response is generated in response to infections by viruses or intracellular bacteria. The critical role of T cells is demonstrated by patients suffering from acquired immunodeficiency syndrome (AIDS), who are extremely susceptible to infections by intracellular bacteria^{6,7} and further illustrates that innate and adaptive immune systems collaborate in order to fight efficiently against pathogens^{8,9}. Although this interconnection between innate immunity is better understood in the generation of a type 1 immune response, innate receptors have also been reported to efficiently generate a type 2 immune response, characterized by Th2 cells, which secrete the cytokine IL-4. Th2 cells are fighting helminth infections by modulating the antibody response. Allergy is an

immune disorder characterized by an exuberant Th2 cell activity, resulting in a switch from IgM to IgE production in B cells ¹⁰. Allergens are proteins, often enzymes, and are normally harmless, but provoke a reaction in allergic patients already at low concentrations (ng - µg range) ¹⁰. IL-4 is the most potent factor inducing differentiation into Th2 cells *in vitro*. The origin of the Th2-driving forces *in vivo* are not clear, since several cells secrete IL-4 in basal conditions: Th2, basophiles, mast cells and eosinophils. After differentiation, Th2 cells secrete IL-4. This effect would propagate as CD4⁺ effector cells are differentiating at the antigen presentation site, leading to a threshold in IL-4, which drives the expression of the Th2 profile. The pathogens, which are first recognized by the cells of the innate immune system, influence the maturation stage of APCs and thus the strength of the TCR signal and costimulation that receive the T cells. In addition pathogens affect the cytokines produced by the innate system and therefore the immune polarization and differentiation into the different effector lineages.

The naïve T cells require 3 signals in order to differentiate into effector cells, TCR stimulation, costimulation and cytokines. The first signal is the TCR stimulation by the peptide presented on the major histocompatibility complex class II (MHCII) of an antigen-presenting cell (APC), only T cells with a TCR specific for the antigen-MHC II complex will be activated, providing the antigen-specificity of the immune response. Depending on its strength, TCR stimulation influences the differentiation of cells. Strong stimulation leads to Th1 commitment, while weak antigen stimulation favors differentiation into Th2 ^{11,12}. The second signal is given by costimulation, which is an important stimulus, since a lack of this signal leads to anergy (unresponsive state). The costimulatory molecules can be divided into activators or inhibitors of the immune response: CD28, ICOS stimulation potently induces the T cell activation and cytokine secretion whereas cytotoxic T lymphocyte antigen 4 (CTLA-4), PD1 act as inhibitory molecules ¹³⁻²⁰. The third signal is given by the cytokines secreted by cells of the innate immune system or in an autocrine fashion.

The type I polarizing factors are IL-12 ^{5,21}, IL-23 ²² and IL-27 ^{23,24}. IL-12, IL-23 and IL-27 all belong to the same cytokine family ²⁵ and are mostly produced by activated monocytes, macrophages, neutrophils and dendritic cells. These cytokines induce STAT1 ^{26,27}, STAT4 ²⁸ and T-bet ²⁹, which are triggering the production of IFN-γ ³⁰, the typical Th1 cytokine.

The type 2 polarizing factors are mainly IL-4 and notch ligands ³¹⁻³⁶ that lead to the induction of the IL-4-secreting Th2 cells. In addition, tolerance might be induced by modulation of the DCs function. Induction of dendritic cells maturation by pathogens is a key process in naïve T cell differentiation into Th1 and Th2 cells. In the steady state, dendritic cells are immature

and provide a tolerogenic environment. Key cytokines for converting dendritic cell into more professional tolerogenic cells are IL-10 and TGF- β ³⁷.

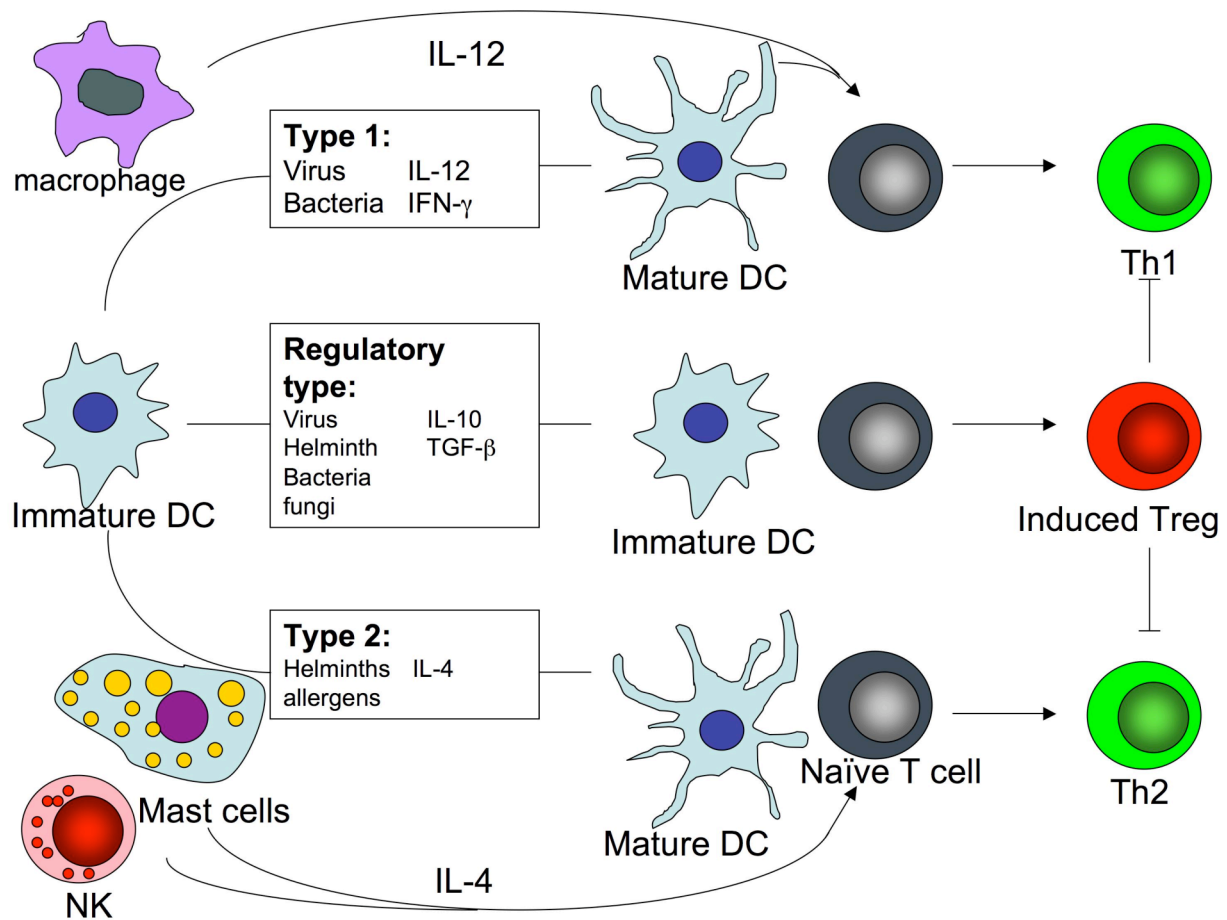


Figure 1: Polarization of the adaptive response by the innate immune system. The pathogens can be categorized into type 1, type 2 or tolerizing pathogens depending on the response induced. The pathogens affect maturation of the DCs or other APCs and cytokine secretion by the cells of the innate immune system, inducing the differentiation of antigen-specific naïve T cells into different subsets, adapted from ³⁸.

Several pathogens take advantage of this fundamental strategy of the immune system and have developed strategies to escape the immune system by inducing regulatory DCs. Regulatory or tolerogenic DCs are characterized by reduced expression of costimulatory molecules in particular CD40, CD80, CD86, reduced IL-12 and increased IL-10 production ³⁹.

IL-10 has been shown *in vitro* to induce differentiation of immature DC into tolerogenic DC, which promote the generation of a subset of IL-10-secreting regulatory T cells (Tr1) ⁴⁰. *Plasmodium falciparum* ^{41,42}, *Mycobacteria* ^{43,44}, hepatitis C ⁴⁵, herpes simplex virus ⁴⁶, cytomegalovirus ⁴⁷, *S. mansoni* ⁴⁸, *bordetella pertussis* ⁴⁹ induce tolerizing dendritic cells,

giving rise to tolerance and chronic infection. Therefore differentiation of effector cells from naïve cells is a complex process occurring in a very dynamic environment, under the scrutiny of the innate immune system, which tailors the adaptive response to generate a strongly adapted and efficient response to the invading pathogens (Figure 1).

The Th1 and Th2 cells were discovered first by Mosmann. He suggested that the immune system response to pathogens involves the production of two clusters of cytokines with antagonistic effect for the other subset⁵⁰⁻⁵². Each cell subset secretes a pattern of cytokines determining the functional diversity of CD4⁺ memory T cells and type of induced immune response.

The ability of mounting a Th1 or Th2 response is strongly under control of genetic factors as illustrated by the Th1-prone C57BL/6 and the Th2-prone Balb/C mice strains. The Balb/C mice are highly susceptible to induced asthma and inefficient in mounting a Th1 immune response to the intracellular parasite *Leishmania major*⁵³. On the other hand, C57BL/6 are not susceptible to asthma induction and clear efficiently infections by *L. major*^{54,55}.

In addition, environmental factors play an important role. In the last twenty years, the incidence of atopy in developed countries increased dramatically⁵⁶, probably as a consequence of the decrease of infections with type I polarizing pathogens during childhood due to improved hygiene in the industrialized world⁵⁶. However, the role of Th1 responses downregulating Th2 responses is still controversial since atopic children infected with influenza virus exacerbate the symptoms of asthma. Thus, respiratory viral infection and the acute Th1 response can positively regulate Th2-dependent allergic pulmonary disease *in vivo*, at least in part⁵⁷, indicating that other cells might be involved in the regulation of the Th1/Th2 balance. Indeed T regulatory cells with potent *in vitro* and *in vivo* suppressive capacity regulate the Th1 and Th2 cells⁵⁸⁻⁶¹ and control the T cell response. The Th17 cells belong to another cell lineage, which produces IL-17. The discovery of Th17 and inducible Treg, which can differentiate from the same precursor cell, the naïve T cell, further completes the Th1/Th2 paradigm. At least four different T cell lineages, characterized by their cytokine profile and functions, can differentiate from the naïve T cells. Treatment of naïve T cells with TGF- β induces cells with regulatory properties (Tregs), which are able to suppress activation, proliferation and cytokine production of CD4 cells. Tregs are characterized by their suppressive function and constitutive expression of CD25 and FOXP3⁶²⁻⁶⁴. Interestingly when IL-6 is added to TGF- β at the time of priming, the induction of Treg is inhibited leading to the generation of Th17 cells⁶⁵⁻⁶⁷, which are characterized by a potent pro-inflammatory capacity. These cells have been described in several autoimmune conditions

^{65,68,69}. However, the molecular mechanisms involved in Treg and Th17 cells generation are still not known (Figure 2).

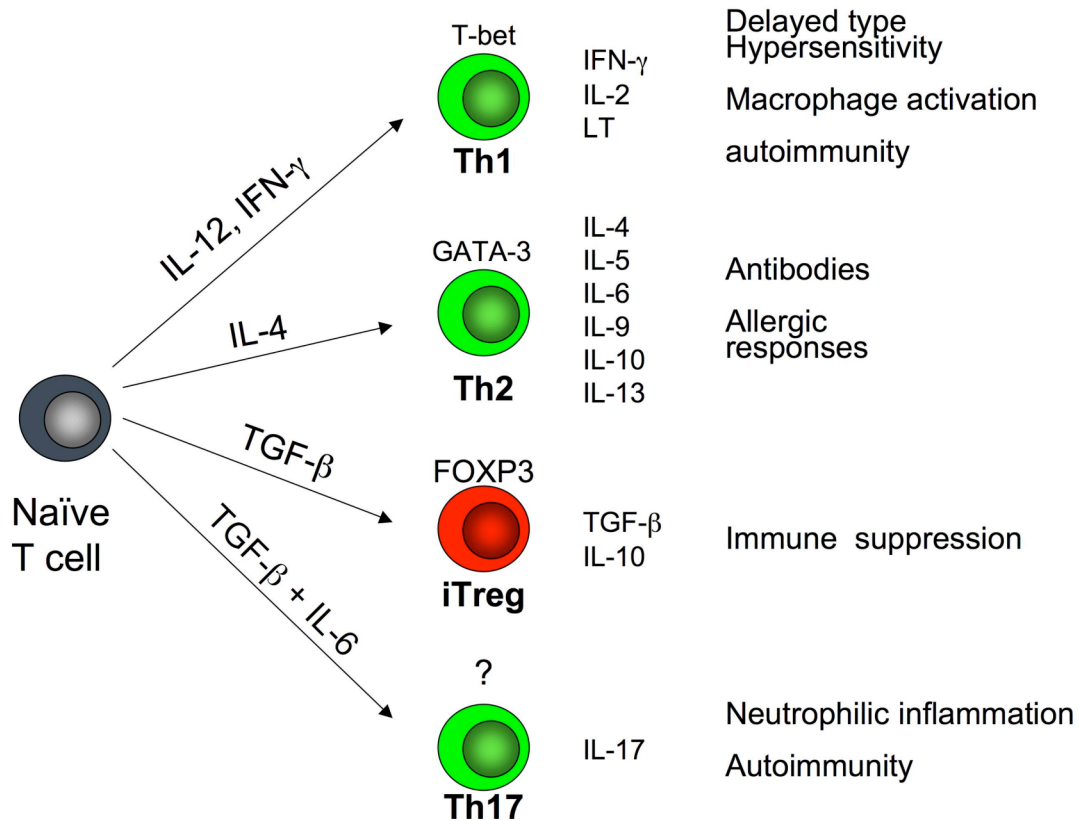


Figure 2: Different subsets of T effector cells can be generated out of naïve T cells. The cytokines present at the time of priming of the naïve cells lead to Th1, Th2, iTregs and Th17. Although they originate from the same precursors the cells have very different functions.

1.2. Mechanisms of Th2 cells differentiation

Type 2 responses are characteristic of the beneficial immune response to helminth parasitic infection, but also of the inappropriate immune response leading to allergy and asthma ⁷⁰, graft-versus host disease (GVHD), progressive systemic sclerosis, systemic lupus erythematosus ⁷¹. IL-4 is a potent Th2-driving cytokine *in vitro*, but the mechanisms of Th2 cells differentiation *in vivo* are in contrast to Th1 cells far from being fully understood. The cellular sources of IL-4 during an allergic reaction or helminth infections are not well-defined and may depend on the localization and the antigen type. Mast cells, basophils, NKT cells and previously differentiated Th2 cells display high basal levels of IL-4 mRNA and can rapidly release IL-4 upon stimulation. Indeed, it has been shown that IL-4 production during *Nippostrongylus Brasiliensis* infection develops independently of the adaptive immune

system, but comes rather from cells of the innate immune system. IL-4 production by Th2 cells is then more important for the effector phase to maintain a type 2 polarized immune response⁷²⁻⁷⁴.

Th2 cells are characterized by the production of the closely related IL-4, IL-5 and IL-13, whereas these genes are silenced in Th1 cells⁷⁵. They are located in the same cluster on chromosome 5 in humans⁷⁶ and 11 in mice⁷⁶. The initiation phase of the differentiation process occurs as the TCR is triggered by antigen and consists of a complex array of epigenetic changes and transcription factor expression and induction, which leads to the characteristic cytokine profile expression.

Naïve T cells express the IL-4 receptor, a heterodimer composed of the specific IL-4R α subunit and the common γ -subunit⁷⁷. Upon binding to its receptor, IL-4 can initiate the phosphorylation and activation of Stat6. Activated Stat6 will form a dimer, which enables it to enter the nucleus where it will, together with NFAT, AP-1 NF- κ B and other TCR-induced signals activate the transcription of GATA3 and IL-4^{78,79}. Autocrine IL-4 production per se reinforces Th2 differentiation.

Thus, Stat6 is a central mediator of the IL-4 signal involved in Th2 development^{80,81}. The importance of IL-4 and Stat6 in Th2 differentiation has been demonstrated by the generation of IL-4 or Stat6 target-specific deficient mice. CD4 T cells from IL-4-deficient mice have a defect to mount a Th2 response or produce IL-5 and IL-13 after *in vivo* challenge^{82,83}. Similarly, in Stat6-deficient mice, Th2 differentiation was blocked, and IgE titers in response to *N. brasiliensis* are dramatically decreased^{81,84}.

Stat6 is involved in direct regulation of GATA3 expression, a transcription factor⁸⁵, which also increases Th2 cell differentiation.

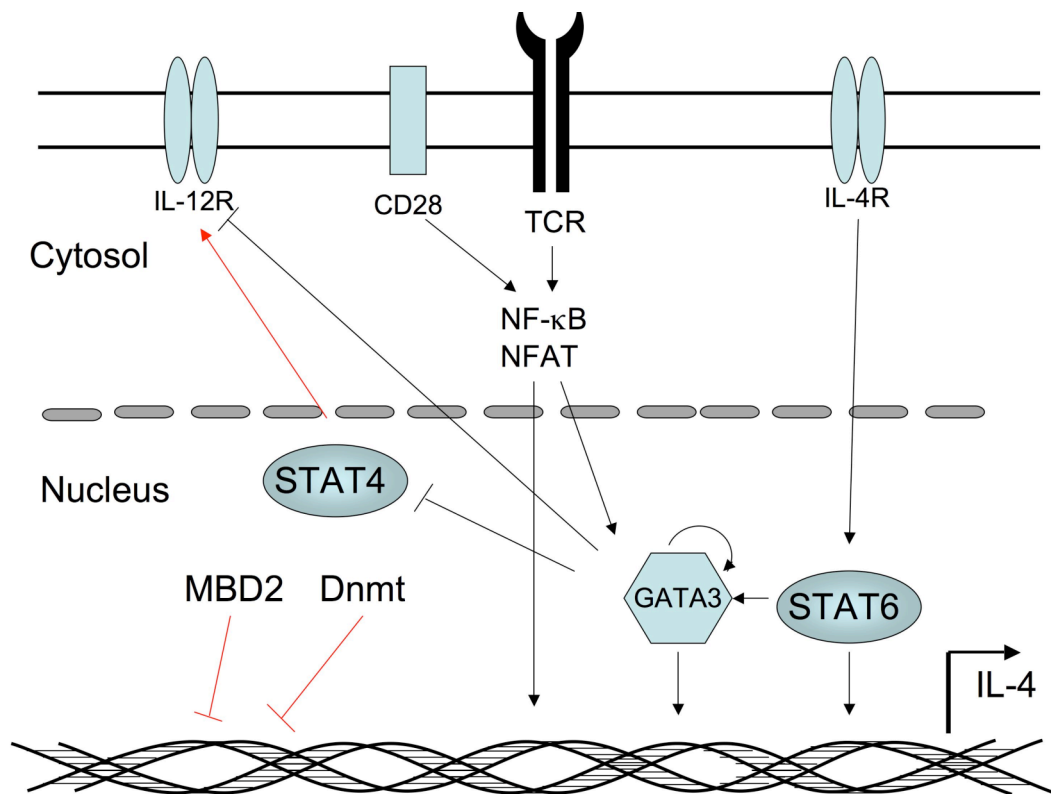


Figure 3: Induction of Th2 cell differentiation. IL-4, TCR signaling and costimulation trigger Th2 cell differentiation by inducing STAT-6, NFAT, NFκB and GATA3. These transcription factors collaborate to mediate chromatin remodeling of the IL-4, IL-5, IL-13 locus and activate gene transcription.

1.2.1. GATA3 is a master regulator of Th2 cells differentiation

Naïve T cells express a basal level of GATA3, which is upregulated in the course of Th2 differentiation and extinguished during Th1 differentiation⁸⁶. GATA3 expression in primary T cell is dependent on TCR activation and is blocked by the NF-κB inhibitor SN50. In addition, mice that lack the p50 subunit of NF-κB are unable to mount airway eosinophilic inflammation due to the inability of the p50^{-/-} mice to produce IL-4, IL-5 and IL-13: cytokines that play a key role in asthma pathogenesis. CD4⁺ T cells from p50^{-/-} mice failed to induce GATA3 expression under Th2-differentiating conditions, but showed unimpaired T-bet expression and IFN-γ production under Th1-differentiating conditions. Inhibition of NF-κB activity prevents GATA3 expression and Th2 cytokine production in developing, but not in committed Th2 cells^{87,88}.

Regulation of cytokine gene expression is strongly mediated by chromatin remodeling characterized by histone acetylation and DNA methylation. GATA3 has been shown to induce chromatin structure remodeling of the Th2 locus IL-4, IL-5, IL-13 and IL-10 allowing access to the transcriptional machinery^{75,89,90}. Overexpression of GATA3 in Th cells induces

the appearance of the Th2-specific DNase I-hypersensitive sites II, III, and V of the *il4* gene as well as the hyperacetylation and demethylation of the *il4* locus ^{75,91,92}. In addition, conditional inactivation of the *gata3* gene leads to decreased histone acetylation and increased DNA-methylation of the IL-4 locus ⁹¹. It has been shown that GATA3 inhibits and competes with the binding of the methyl-CpG binding domain protein-2 (MBD2) to the second intron of the *il4* gene and to CNS-1 and thus the recruitment of a silencing complex ⁹³. MBD2 is capable of recruiting the multiprotein nucleosome remodeling and deacetylase (NuRD) repressive complex ⁹⁴. In the MBD2 ^{-/-} mice, GATA3 is not necessary for heritable induction of IL-4 and the progeny express substantial levels of IL-4.

CD4⁺ single-positive thymocytes express IL-4, but attenuate GATA3 expression, and recruit DNA methyltransferases (Dnmts) to the Il4-Il13 locus and downregulate IL-4 expression as they mature into T cells. Type 2 polarization blocks Dnmt1 recruitment, enhances histone H3 Lys4 methylation (indicative of accessible chromatin) and initiates DNA demethylation of the locus. Dnmt1^{-/-} CD4 and CD8 T cells derepress IL-4 expression considerably, demethylate DNA and increase H3 Lys4 methylation without affecting GATA3 expression, demonstrating that Dnmt1 and DNA methylation are essential for proper Il4 regulation. These data indicate that Dnmts, DNA as well as histone methylation, and transcription factors work together in determining appropriate Il4 expression patterns ⁹⁵.

In addition to its role in remodeling chromatin, GATA3 acts by directly transactivating the promoter of IL-5, IL-13 ^{96,97} and the enhancer of IL-4 ^{79,98}. Investigations using transgenic mice containing the murine Th2 cytokine cluster carrying an IL-4 promoter-luciferase reporter showed that IL-4 promoter activity in effector CD4 T cells from these transgenic mice was strong, and importantly Th2 specific. Expression of the IL4 promoter reporter was transactivated *in vivo* by GATA3 ⁹⁹.

In fact reduction of GATA3 expression in cloned Th2 cells by antisense RNA led to a reduction of IL-4, IL-5, IL-6, IL-10 and IL-13 Th2 cytokine mRNA and protein secretion ¹⁰⁰. Interestingly, GATA3 does not only promote Th2 cells commitment by increasing transcription of Th2 cytokines, but also by repressing Th1 commitment. GATA3 interferes with IL-12 signaling by downregulating STAT4, which is necessary for IFN- γ production ^{101,102}.

The different studies demonstrate that GATA3 is the master transcription factor in Th2 cell differentiation. In addition to GATA3, cMaf and JunB, two bZIP transcription factors are expressed specifically in Th2 cells and bind to the IL-4 proximal promoter ¹⁰³⁻¹⁰⁶.

Overexpression of c-Maf in non-T cells induced IL-4 mRNA expression. Furthermore, c-Maf^{-/-} mice secrete less IL-4¹⁰⁷. JunB, a member of the AP-1 transcription factor family, acts synergically with c-Maf to induce IL-4 expression.

Importantly GATA3 autoactivates its own transcription in a stat-6-independent mechanism, giving rise to a positive feedback that stabilizes and reinforces Th2 commitment¹⁰⁸.

GATA3 expression is not only essential for Th2 cell differentiation but also for maintenance of established chromatin remodeling at the Th2 cytokine gene loci, including Th2-specific long range histone hyperacetylation of the IL-13/IL-4 gene loci. By using a Cre/LoxP-based site-specific recombination system in cultured CD4 T cells, Yamashita et al. investigated the effect of loss of GATA3 expression by *in vitro* differentiated Th2 cells. After ablation of GATA3, the production of all Th2 cytokines was reduced, the DNA methylation at the IL-4 gene locus was increased, and histone hyperacetylation at the IL-5 gene was decreased. Thus, GATA3 plays important roles in the maintenance of the Th2 phenotype and continuous chromatin remodeling of the specific Th2 cytokine gene locus through cell division⁹⁰.

1.2.2. Flexibility of commitment

Once a cell has been committed into a certain T cell subset, the phenotype is imprinted and will be inherited by the sister cells. Therefore, CD4⁺ T cell priming under Th1 or Th2 polarization conditions gives rise to polarized cytokine gene expression. In these conditions, human naive T cells acquired stable histone hyperacetylation at either the *Ifnγ* or *Il4* promoter. But some flexibility is possible, hypoacetylation of the nonexpressed cytokine gene does not lead to irreversible silencing, restimulation of Th1 or Th2 cell clones into Th2 or Th1 conditions resulted in cells producing both IL-4 and IFN-γ. Thus, the chromatin acquires also an open conformation in the previously closed and repressed chromatin. But some cells, from central memory expressing C_RTh2, a prostaglandin D2 receptor expressed by some Th2 cells, failed to upregulate T-bet and to express IFN-γ when stimulated under Th1 conditions^{109,110}. Thus, most human CD4⁺ T cells retain both memory and flexibility of cytokine gene expression.

1.3. Immune tolerance

Immunologic tolerance is defined as unresponsiveness to an antigen that is induced by previous exposure to that antigen. When specific lymphocytes encounter antigens, the lymphocytes may be activated, leading to immune responses, or the cells may be inactivated or eliminated, leading to tolerance. In order to avoid an immune response against self-antigen and harmless antigen, several mechanisms have evolved, including deletion of self-reactive cells in the thymus in a process called central tolerance, as well as deletion, anergy and active suppression by Tregs in the periphery.

1.3.1. Central tolerance

The first mechanism to prevent autoimmune reactions is deletion of self-reactive lymphocytes and is called central tolerance, which occurs in the thymus during the maturation of the lymphocytes¹¹¹. The precursors of the T lymphocytes originate from the bone marrow. Then, they migrate to the thymus, where they will go through a complex process of maturation and differentiation to finally become functional T lymphocytes. This maturation is characterized by TCR formation and by exclusive expression of CD4 or CD8¹¹². During the maturation process, the T cell rearrange randomly their TCR¹¹³, creating many variants, which are useless since they are unable to recognize antigen-self-MHC with a high enough affinity. TCRs with too low affinity for self- MHC will die by neglect, and commit apoptosis. This process is called positive selection and it allows that only cells expressing a TCR that can interact with self-peptide–MHC complexes to differentiate further¹¹⁴.

The hallmark of central tolerance is clonal deletion characterized by suicide of T-cell progenitors that have high affinity for self-antigens. Strongly self-reactive progenitors are under strict control and it is the moderately reactive progenitors that mature, populate the lymphoid organs and participate in immune responses to foreign antigens^{111,115,116}.

Central tolerance is an efficient process, but some self-reactive cells may escape this control, in part because not all self-antigens are expressed at the primary site of lymphocyte development or the affinity TCR-MHCII-peptide is too low^{117,118}. In addition, peripheral tolerance mechanisms exist, which renders these lymphocytes tolerant, when they first encounter their cognate self-antigen outside the thymus.

1.3.2. Peripheral tolerance

Cells escaping central tolerance are kept under control by mechanisms of peripheral tolerance. Mature T cells that recognize self-antigens in peripheral tissues become incapable of subsequently respond to these antigens. The mechanisms of peripheral tolerance are: anergy, deletion, ignorance and Tregs. Anergy is characterized by the inability of a CD4 T cell to respond to stimulation. This state is induced when T cells are improperly activated for example without costimulation or with CTLA-4, which binds to B7^{119,120}. Anergy can be broken by addition of IL-2 *in vitro*. Immature DCs, which express a low level of costimulator molecules induce anergy³⁷. Deletion is another mechanism by which CD4 T cells repeatedly activated by a persistent antigen will die by apoptosis in a process called activation-induced cell death¹²¹.

In many cases, T cells simply ignore antigens present only within specialized organs. These T cells, even if of only low affinity for the antigen in question, could provoke autoimmunity if sufficient help is provided, for example, through localized production of IL-2 or through provision of cross-reactive help¹²². Another efficient control is active suppression mediated by Tregs^{123,124}.

1.3.2.1. T regulatory cells

T cells with suppressive capacity were described first by Gershon in the early 1970s and were called suppressive cells^{125,126}. Several Treg subsets have been described and are involved in peripheral tolerance keeping the immune system under control. But they can roughly be divided into natural or inducible Tregs (iTregs). The natural Tregs cells or CD4⁺CD25⁺ T cells, which are characterized by high level of CD25 and FOXP3 expression, originate in the thymus but, importantly, they can also be generated in the periphery. The inducible Tregs including Tr1 and Th3 cells can be generated out of naïve cells and suppress target T cells (or responder cells) in a contact-independent manner by secretion of IL-10¹²⁷ and TGF-β^{58,128}. The Th3 cells are responsible for antigen tolerance induced, when the antigen is fed.

Oral tolerance discovered by Wells in 1911 refers to the oral administration of protein antigens, which induces a state of systemic non-responsiveness specific for the fed antigen. This method of inducing immune non-responsiveness has been applied to the prevention and treatment of experimental animal models of experimental autoimmune encephalomyelitis

(EAE)^{129,130}, rheumatoid arthritis¹³¹, insulin dependent diabetes mellitus^{132,133}, transplantation^{134,135} and food allergy¹³⁶. Tolerance induction, in this model, is mediated by T cells. This has been shown in adoptive transfer experiments, in which T cells adoptively transferred from sensitized animal to naïve animal were preventing the disease. The Th3 cells were discovered originally using an oral tolerance model, in which SJL mice (susceptible to EAE)^{137,138} were fed with myelin basic protein (MBP). MBP is a protein expressed in the central and peripheral nervous systems. It is recognized by autoreactive T cells, which destroy myelinated neurons leading to MS or EAE, MBP-specific TCR T cells are found in patients with MS. Tolerance induction was characterized by generation of antigen-specific cells producing high amount of TGF- β and lower amount of IL-4 and IL-10. Furthermore Th3 cells injected in mice at the time of immunization with MBP were protective against EAE development^{139,140}.

Tr1 cells were generated upon repeated stimulations of naïve T cells with OVA and IL-10. These cells produce high amount of IL-10 with or without TGF- β . Tr1 cells proliferate poorly after polyclonal or Ag-specific activation *in vitro* and have suppressive capacity as demonstrated by adoptive transfer in a mice model of colitis¹⁴¹. IL-10-secreting cells play an important role in allergies and transplantation^{142,143}. Non-allergics have a higher number and frequency of IL-10-secreting cells, which keep the immune reaction under control when exposed to the allergen compared to atopic patients¹⁴⁴.

1.4. CD4⁺CD25⁺FOXP3⁺ T regulatory cells

Among different types of Tregs, naturally arising CD4⁺CD25⁺ Treg cells are the best characterized and studied. These cells comprise 5-10% of CD4⁺ T cells in peripheral lymphoid organs and represent a unique T cell lineage that undergoes thymic selection and migrates to the periphery¹⁴⁵. Their relationship to the other subsets of regulatory cells is still not clear. Mature Treg cells can be identified by their constitutive expression of CD25, CTLA-4, PD-1, CD103, human leukocyte antigen-DR (HLA-DR), transferring receptor (CD71) and glucocorticoid-induced tumor necrosis factor receptor family-related receptor (GITR)¹⁴⁶⁻¹⁵⁴.

The CD4⁺CD25⁺ Treg cells have been identified by Sakaguchi in 1995 in experiments consisting in the depletion of CD4⁺CD25⁺ T cells in adult mice, which resulted in the development of various autoimmune conditions (thyroiditis, gastritis, insulinitis, sialoadenitis, adrenalitis, oophoritis, glomerulonephritis, and polyarthritis). Reconstitution of CD4⁺CD25⁺

cells within a limited period after depletion prevented these autoimmune developments in a dose-dependent fashion, whereas the reconstitution several days later was far less efficient for the prevention of the autoimmune disease¹⁵⁵. As expected from these experiments, Treg cells play a major role in keeping the immune system under control and dysfunction have been found in many diseases ranging from autoimmunity, cancer to allergy and asthma. In autoimmune disease Treg cells are deficient^{147,156-169} and restoration of CD4⁺CD25⁺ Treg could protect against the development of the disease¹⁷⁰. In allergies harmless antigens are recognized by T cells and trigger an immune response. Several studies have demonstrated a role for the CD4⁺CD25⁺ Tregs in regulating allergic disease. The T cells from healthy non-allergic subjects do not proliferate when in contact with cows'milk antigen. However, depletion of CD4⁺CD25⁺ T cells resulted in T cell proliferation, suggesting that Tregs cells normally suppress the responses to dietary antigens¹⁷¹. CD4⁺CD25⁺ are generated in the periphery of the transplant during organ transplantation¹⁷² or GVHD^{173,174} and can stop the rejection reaction induced by the foreign antigen. Therefore Tregs are promising targets to diminish the immune reaction induced in allergies, transplantation and autoimmune diseases. One approach to use Treg as therapeutic tool is to expand them *in vitro*, thereafter inject expanded cells back to the host^{175,176}.

Although that a lack of suppression leads to deleterious immune response against harmless antigens, too much suppression obviously favors tumor growth and chronic infection. A higher frequency of Treg cells in peripheral blood was reported in patients with various cancer including breast cancer¹⁷⁷, colorectal cancer^{178,179}, oesophageal cancer¹⁷⁹, gastric cancer¹⁷⁹, hepatocellular carcinoma¹⁸⁰, leukaemia¹⁸¹, lung cancer¹⁸², lymphoma, melanoma^{183,184}, ovarian cancer¹⁸⁵ and pancreatic cancer compared to healthy individual. It has been shown that regulatory cells are recruited or generated in the periphery of the tumor in a TGF- β -mediated manner¹⁸⁶. Tregs obviously actively suppress the immune response to the tumors and depletion of CD4⁺CD25⁺ T cells in mice, by *in vivo* injection of a depleting anti-CD25 antibody (PC61) resulted in suppression of growth of the tumor^{157,187,188}.

Tregs suppress proliferation and cytokine production from responder cell in a contact-dependent mechanism, since suppression does not happen when a cytokine-permeable membrane separates the cells. Importantly, the presence of APCs is not required, as suppression occurs in APC-free cultures. Suppression requires activation of suppressor T cells by TCR ligands or antibodies to CD3¹⁴⁵. Interestingly, the cells are activated in an antigen-specific way, whereas suppression occurs in an antigen-non-specific-manner¹⁷¹. Although the precise molecules involved in suppression are still unidentified a role has been

suggested for CTLA-4, GITR and membrane-bound TGF- β ¹⁸⁹⁻¹⁹¹. However, the role of suppressive cytokines (IL-10 and TGF- β) is still unclear in the suppression mediated by CD4⁺CD25⁺ Tregs and has been challenged by the following studies: the addition of neutralizing antibodies that are specific for IL-10 or TGF- β does not reverse suppression, and CD25⁺ T cells from *Il10* ^{-/-} mice are fully competent suppressors *in vitro* ¹⁹². Furthermore, CD4⁺ T cells from transgenic mice that express a dominant-negative form of the TGF- β receptor (TGF β RII) that cannot respond to TGF- β -derived signals ¹⁹³ were fully suppressible. Finally, CD25⁺ T cells isolated from young TGF- β ^{-/-} mice ¹⁹⁴ are fully competent suppressors when mixed with CD25⁻ T cells from wild-type mice. Thus, the potential role of TGF- β in CD25⁺ T-cell-mediated suppression remains controversial. In addition Treg might use cytolytic activity against autologous CD4⁺ and CD8⁺ T cells, CD14⁺ monocytes, and dendritic cells in order to regulate suppression. Inducible Treg express granzyme B and activated CD4⁺CD25⁺ Tregs express granzyme A and small amounts of granzyme B. Both subtypes displayed perforin-dependent cytotoxicity ¹⁹⁵.

In vitro studies showed that CD25⁺ suppressor T cells are anergic. They do not proliferate in culture, when stimulated with antibodies to CD3 or antigens unless supplemented with high doses of IL-2.

1.4.1. Tregs of thymic origin

A large part of CD4⁺CD25⁺ Treg cells originates from the thymus, since thymectomy before day 3 post-birth decreases the number of CD4⁺CD25⁺ and leads to autoimmunity ¹⁵⁶. Other evidences about the thymus origin of Treg are coming from their TCR repertoire. Hsieh et al determined that the TCR repertoire of thymic Treg cells was diverse and was more similar to that of peripheral Treg cells than that of nonregulatory T cells. The finding indicates that thymic Treg cells make a substantial contribution to the peripheral Treg cell population ¹⁹⁶.

The mechanisms involved in thymic generation of CD4⁺CD25⁺ Tregs cells are poorly understood but it has been shown that thymocytes have already regulatory properties. A subset of CD25⁺ cells in the CD4 single positive thymocyte compartments with suppressive capacity was discovered. After characterization in adoptive transfer models and in *in vitro* suppression assays in mice and human, it showed that functional Treg are generated in the thymus ^{157,197,198}. The generation of CD4⁺CD25⁺ Treg cells requires MHC class II expression in the thymus, as does the generation of conventional CD4⁺ T cells. However conventional CD4⁺ T cells require low-affinity peptide-MHC class II interactions for positive selection,

whereas Treg cells apparently require high-affinity peptide-MHC class II interactions with agonist peptides that otherwise induce negative selection ¹⁹⁹.

The expression of self-antigens in the thymus is important in efficient *de novo* generation of CD4⁺CD25⁺ thymocytes as shown by studies that high-affinity interactions with agonist ligands expressed in radioresistant tissue ^{200,201} and specifically in thymic epithelial cells ²⁰², are necessary for generation of CD4⁺CD25⁺ suggesting that in fact CD4⁺CD25⁺ escape negative selection. This process requires CD28-dependent costimulation of developing thymocytes and particularly the Lck-binding motif in the CD28 cytosolic tail initiate the Treg cell differentiation program in developing thymocytes ²⁰³.

A feature shared by Treg cells and T cells with autoimmune potential is the ability to recognize self-antigens. Treg cell recognition of self antigens was initially suggested after observations indicating that the presence of a particular organ was important for the maintenance of Treg cell-mediated tolerance to that organ ²⁰⁴ and nonregulatory T cells transduced with Treg cell-derived TCRs rapidly expand their populations *in vivo* and induce wasting disease in lymphopenic hosts ²⁰⁵.

To address that issue, Hsieh examined the naturally arising polyclonal TCR repertoire in normal thymic and peripheral regulatory and nonregulatory T cells. This 'normal' set of TCRs was then compared to the TCR repertoires found in TCRs expressed by autoreactive T cells in Foxp3^{-/-} mice, as the spontaneous autoimmunity in these mice results from their lack of Treg cells ²⁰⁶. No defect in negative selection was found in these mice, therefore the lack of a functional Foxp3 gene might allow autoreactive T cells, normally present in peripheral nonregulatory and regulatory T cell populations, to 'realize their pathogenic potential'. In agreement with that idea, activated but not naive T cells in Foxp3^{-/-} mice often used TCRs found in the Treg cell TCR repertoire of normal mice. Thus, T cells expressing these self-reactive TCRs are not eliminated but instead are likely to contribute to pathology associated with Foxp3 deficiency. Suggesting that many autoimmune T cells in the normal nonregulatory T cell population may share the TCR specificity of their naturally arising Treg cell chaperones ^{196,205,207}.

The maturation process of Tregs in the thymus may occur in the Hassall corpuscles, which express thymic stromal lymphopoietin (TSLP). Human TSLP activates thymic CD11c-positive dendritic cells to express high levels of CD80 and CD86. These TSLP-conditioned dendritic cells are then able to induce the proliferation and differentiation of CD4⁺CD8⁻CD25⁻ thymic T cells into CD4⁺CD25⁺FOXP3⁺ Treg. This induction depends on peptide-MHC-II interactions, and the presence of CD80 and CD86, as well as IL-2. CD25⁺CTLA4⁺

regulatory T cells associate in the thymic medulla with activated or mature DCs and TSLP-expressing Hassall's corpuscles, suggesting that Hassall's corpuscles have a critical role in DC-mediated secondary positive selection of medium-to-high affinity self-reactive T cells, leading to the generation of CD4⁺CD25⁺ Treg within the thymus²⁰⁸.

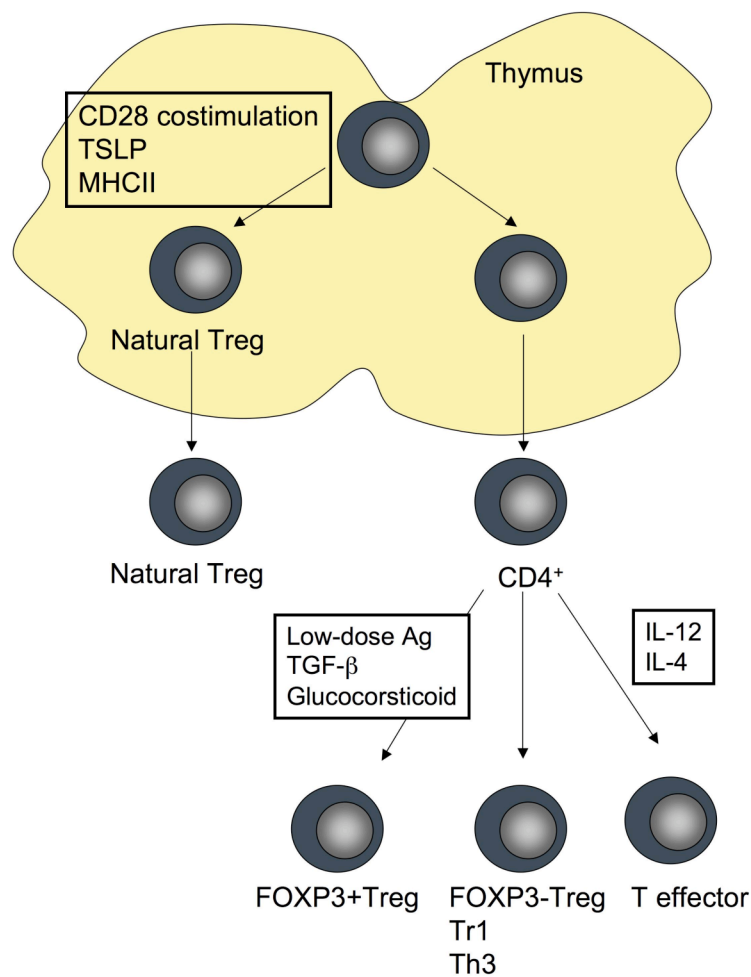


Figure 4: Generation of Tregs in the thymus and periphery. Tregs have been described to originate both in the thymus and in the periphery. The Tregs originating from the thymus are called natural Tregs whereas the Tregs from the periphery are called adaptive or inducible. The inducible Tregs are divided into different subsets, according to their FOXP3 expression or mechanisms of suppression. The Tr1 mediate suppression by IL-10 secretion and the Th3 by TGF- β .

1.4.2. Peripheral generation of CD4⁺CD25⁺FOXP3⁺ Tregs

Intrathymic generation of Treg is not the only process of Treg development, importantly their generation by the conversion of CD4⁺CD25⁻ into CD4⁺CD25⁺ with suppressive capacity has been demonstrated in the periphery *in vivo* under natural conditions, allowing efficient generation of Tregs with specificity to antigens, which are not present in the thymus. The potential of CD4⁺CD25⁻ to become CD4⁺CD25⁺ was analyzed by Liang et al. in mice. CD4⁺CD25⁻ T cells expressing a special marker were sorted and transferred into congenic mice. After six weeks 5-12 % of transferred CD4⁺CD25⁻ converted to CD4⁺CD25⁺.

Converted CD4⁺CD25⁺ cells acquired Treg properties and phenotype, since they failed to proliferate after stimulation and could suppress proliferation of responder cells *in vitro*, and importantly also expressed high levels of Foxp3 mRNA. In addition, CD4⁺CD25⁻ cells transferred into thymectomized congenic mice converted to CD4⁺CD25⁺ Treg cells, demonstrating that the thymus is not required for peripheral generation of Tregs. Costimulation, however, was necessary since CD4⁺CD25⁻ cells transferred into B7^{-/-} mice failed to convert into CD4⁺CD25⁺ cells that exhibit the regulatory phenotype. These results indicate that CD4⁺CD25⁻ cells convert into CD4⁺CD25⁺ regulatory T cells spontaneously *in vivo* and suggest that this conversion process could contribute significantly to the maintenance of the peripheral CD4⁺CD25⁺ regulatory T cell population.^{209,210}

1.4.3. Cytokines involved in the generation and maintenance of Tregs

Cytokines are not only necessary for the function of Tregs but also for their generation and maintenance. IL-2 and IL-15 are mandatory and are their principal growth factors. However, IL-7, which is required for the development, homeostatic proliferation and maintenance of T cells²¹¹⁻²¹⁴, does not act on Tregs^{215,216}. The IL-7R α (CD127) is highly expressed by naïve T cells and thymocytes but is downregulated on Tregs and can be used in sorting strategies to isolate very pure Tregs population CD4⁺CD25⁺CD127⁻^{217,218}. TGF- β in addition to its many inhibitory effects on T effector cells has been shown to be important in the generation and homeostasis of Tregs.

1.4.3.1. IL-2

The constitutive high expression of the IL-2R α -chain suggests an important role for IL-2 in Treg generation and turn-over, accordingly patients receiving IL-2 therapy expand their Treg compartment²¹⁹. The analysis of IL-2 and CD25 deficiency in mice indicated that generation of functional Treg in thymus was independent on IL-2 signaling, but that IL-2 was essential for the survival of mature CD4⁺CD25⁺ Treg in the periphery^{220,221} and may be essential for their function^{222,223}. Furthermore, lymphopenia seems to induce homeostatic growth of Tregs cells²²⁴. IL-2 triggers the JAK-STAT-signaling cascade and directly modulates FOXP3 expression. IL-2R signaling is primarily mediated through activation of JAK1 and JAK3 with subsequent phosphorylation and activation of STAT3 and STAT5²²⁵. *In vitro*, IL-2 selectively upregulated the expression of FOXP3 in purified CD4⁺CD25⁺ T cells but not in

CD4⁺CD25⁻ cells. This regulation involved the binding of STAT3 and STAT5 proteins to a highly conserved STAT-binding site located in the first intron of the FOXP3 gene²²⁶⁻²²⁸.

Therefore immunosuppressive drugs targeting IL-2 signalling may influence Treg turnover.

1.4.3.2. TGF- β

TGF- β has not only been proposed to be an effector cytokine secreted by CD4⁺CD25⁺ mediating suppression. It has also been shown to play an important role in the induction of CD4⁺CD25⁺ Treg out of CD4⁺CD25⁻ T cells *in vitro* and *in vivo*²²⁹. The role of TGF- β was first discovered in mice²¹⁰ and later in human^{64,230}. TGF- β induces FOXP3 expression in TCR-stimulated T cells, as well as surface expression of CD25, HLA-DR, GITR, CD103 and intracellular CTLA-4²³⁰. The generated cells are not only unresponsive to TCR stimulation, but produce also TGF- β and IL-10, however they do not produce Th1 nor Th2 cytokines. They are potent suppressors of proliferation and cytokine production *in vitro*. Tregs induced *in vitro* by TGF- β have been demonstrated to be suppressive *in vivo* in an OVA peptide transgenic adoptive transfer model as well as in a murine asthma model, in which TGF- β -induced Treg transferred to mice protected against the house dust mite-induced allergic pathogenesis in the lungs²¹⁰. Although it is well accepted that TGF- β can induce CD4⁺CD25⁺FOXP3⁺ Tregs *in vitro*, its role *in vivo* is still controversial. TGF- β -treated mice increase the pool of Tregs, in several experimental systems, it is not always distinguished between truly *de novo* generation and proliferation of preexisting Tregs. TGF- β is essential in expanding Tregs as shown by a transient pulse of TGF- β in the islets of the pancreas during the priming phase of diabetes. The frequency of CD4⁺CD25⁺FOXP3⁺ Tregs dramatically increased due to *in situ* expansion of Tregs²³¹. TGF- β 1^{-/-} mice develop severe autoimmunity. In these mice the Tregs develop normally in the thymus, but were found in a significantly reduced number in the periphery. The Foxp3 expression in the Treg is lower and cells are less suppressive. Further indicating that TGF- β signaling is essential in maintaining Treg *in vivo*²³². In addition transgenic mice overexpressing TGF- β under the control of the CD2 promoter show an increased frequency of Tregs in the periphery. TGF- β was also shown to enhance the conversion rate of CD4⁺CD25⁻ T cells to Treg when cells are stimulated with subimmunogenic peptide²³³

1.4.4. FOXP3

FOXP3 was identified by positional cloning on the X-chromosome as the gene mutated in the scurfy mouse, characterized by wasting, exfoliative dermatitis, lymphadenopathy, hepatosplenomegaly, and the presence of autoantibodies. The mouse carrying the scurfy mutation leads to death at approximately 3 weeks of age from a massive lymphoproliferative disease, with peripheral lymphocyte levels up to 20-fold greater than normal mice only male are affected. The autoimmune disease is prevented by neonatal adoptive transfer of Tregs from wild-type mice to scurfy mice ²³⁴. In addition, the mice display anemia ²³⁵. The autoimmune-like disease in affected animals resembles knock-out mice for *ctla-4* or *tgf- β 1* genes ^{236,237}.

In human, mutations in FOXP3 gene are responsible for the immune dysregulation polyendocrinopathy enteropathy, X-linked syndrome (IPEX; also known as X-linked autoimmunity and allergic dysregulation syndrome, XLAAD). Patients with IPEX syndrome suffer from a neonatal onset of insulin-dependent diabetes, infections, enteropathy, thrombocytopenia and anemia, endocrinopathy, eczema and cachexia. Massive T-cell infiltration into the skin and gastrointestinal tract is also observed, as well as high serum levels of autoantibodies, which is indicative of autoimmune disease. Affected children also suffer from allergic manifestations including severe eczema, high IgE levels, eosinophilia and food allergies. The severe immune dysregulation observed in human and mice lacking functional FOXP3, indicates its important function in regulating the immune system. In fact, mice lacking FOXP3 (FOXP3^{-/-}) also lack CD4⁺CD25⁺ Treg.

Naïve CD4⁺CD25⁻ T cells, retrovirally transfected with FOXP3 were acquiring a T regulatory phenotype. They were hyporesponsive to TCR stimulation anergic and were able to suppress proliferation of other cells. Foxp3-infected T cells could suppress *in vivo* the inflammation and the autoimmune disease in a model of IBD and autoimmune gastritis that can be induced in severe combined immunodeficiency (SCID) mice by the transfer of CD25⁺CD45RB^{high}CD4⁺ T cells from normal BALB/c mice and prevented by cotransfer of CD25⁺CD4⁺ TR cells ^{238,239}. The Foxp3-transduced cells inhibited weight loss, diarrhea, and histological development of colitis and gastritis induced by the transfer of CD25⁻CD45RB^{high}CD4⁺ cells as effectively as naturally occurring CD25⁺CD4⁺ Treg cells ²⁴⁰. Underlying the decisive role of FOXP3 in T regulatory cells development or/and function in human and mice ²⁴¹.

These results are still controversial, particularly in human cells in which two isoforms of FOXP3 are found. Ectopic expression of the two FOXP3 isoforms in CD4⁺ cells resulted in

induction of hyporesponsiveness and suppression of IL-2 production, but the cells were only weak suppressors. These data indicate that in humans, overexpression of FOXP3 alone or together with FOXP3delta2 is not an effective method to generate potent suppressor T cells *in vitro*. And suggest that factors in addition to FOXP3 are required during the process of activation and/or differentiation for the development of Tregs²⁴².

Using mice harboring a GFP-Foxp3 fusion protein-reporter knockin allele it has been shown that FOXP3 expression is restricted to a subset of $\alpha\beta$ T cells, which are CD25⁺ but can also be CD25⁻. Importantly FOXP3 expression correlates with regulatory and suppressive function²⁴³⁻²⁴⁵. FOXP3 is therefore seen as a lineage factor for commitment into Tregs. FOXP3 belongs to a large family of functionally diverse transcription factors based on its winged helix-forkhead DNA-binding domain (forkhead box(Fox)). These proteins have been classified into subfamilies (indicated by the letter after “FOX”) based on phylogenetic analysis of homology in the forkhead domain only, and each has been assigned a unique number (at the end of the name)²⁴⁶. In addition to the C-terminal forkhead domain, FOXP3 also contains a Cys2His2 zinc finger domain and a coiled-coil-leucine zipper motif. Homology among full-length human, mouse and rat FOXP3 is very high, suggesting a highly conserved function. Members of the Fox family are both transcriptional activators and transcriptional repressors. The ability of Foxp3 to act as a transcriptional repressor required the presence of the FKH domain of Foxp3. At present there is very little understanding of the function of FOXP3 at the molecular level. FOXP3 binds DNA, localizes to the nucleus and can act as a transcriptional repressor. In the Jurkat T cell leukemia cell line, it inhibits transcription mediated by the nuclear factor of activated T cells (NFAT) transcription factors, requiring the forkhead domain for both nuclear localization and DNA binding. FOXP3 can also form a complex with NFAT while competing with AP-1. And therefore an activating complex NFAT-AP1 is replaced by a repressive complex NFAT-FOXP3²⁴⁷. In addition mice expressing a Foxp3 transgene were unable to produce IL-2, IL-4 or IFN- γ following TCR-mediated stimulation *in vitro* and showed a severely reduced ability to express cytokines *in vivo* following immunization^{248,249}.

The Foxp3 transgenic mice also provided a model system for examining the *in vivo* consequences of Foxp3 expression. When bred into an otherwise wild-type background, resulted in a reduction in peripheral CD4⁺ and CD8⁺ T cell numbers²³⁴.

However thymic cellularity was unaffected, as was positive and negative selection. Thus, levels of Foxp3 determined the number of peripheral T cells, while having little effect on the number and differentiation of thymocytes²⁵⁰.

1.5. Concluding remarks and aim of the study

T cells with suppressive capacity have been identified in the 1970s. Due to difficulties in isolating and characterizing the cells enthusiasm dampened down and scepticism was growing. In 1995, Sakaguchi identified CD4⁺CD25⁺ T cell as potent regulators of the immune response, the field was thus, revitalized. Treg dysfunctions are found in many diseases bringing a new understanding of many pathogenesis. Therapies targeting Tregs are therefore very promising. CD25, the IL-2 receptor α chain, is not a reliable marker, since it is upregulated during activation of CD4⁺ T cells. Therefore the discovery of FOXP3, as a transcription factor expressed selectively in Tregs, was a great breakthrough in tolerance immunology. Although it is an intracellular protein, development of specific antibodies and transgenic animals allows analysis at the single cell level. A better knowledge of its gene expression regulation will give a better understanding of the Treg turnover.

The aim of this thesis was to gain insight into the mechanisms involved in Treg generation. For this purpose we looked at the FOXP3 gene regulation, particularly we analyzed its promoter and identified molecular pathways involved in the induction and repression of FOXP3 expression.

2. Results

2.1. Molecular mechanisms underlying FOXP3 induction in human T cells¹

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Abstract

FOXP3 is playing an essential role for T regulatory cells (Tregs) and is involved in the molecular mechanisms controlling immune tolerance. Although the biological relevance of this transcription factor is well documented, the pathways responsible for its induction are still unclear. The current study reveals structure and function of the human FOXP3 promoter, revealing essential molecular mechanisms of its induction. The FOXP3 promoter was defined by RACE, cloned and functionally analyzed using reporter-gene constructs in primary human T-cells.

The analysis revealed the basal, T-cell-specific promoter with a TATA and CAAT-box 6000bp upstream the translation start site. The basal promoter contains six NFAT and AP-1 binding sites, which are positively regulating the transactivation of the FOXP3 promoter after triggering of the TCR. The chromatin region containing the FOXP3 promoter was bound by NFATc2 under these conditions. Furthermore, FOXP3 expression was observed following TCR engagement. Both promoter activity, mRNA and protein expression of T-cells were suppressed by addition of cyclosporin A (CsA). Taken together, this study reveals the structure of the human FOXP3 promoter and provides new insights in mechanisms of addressing T_{reg} inducing signals useful for promoting immune tolerance. Furthermore the study identifies essential, positive regulators of the FOXP3 gene and highlights CsA as an inhibitor of FOXP3 expression contrasting other immunosuppressants such as steroids or rapamycin.

Introduction.

T cells play a key role in adaptive immunity and enable the immune system to develop specific immune responses. T cell activation is tightly regulated allowing responses against pathogens, while maintaining tolerance of harmless antigens. Disequibrated immune tolerance causes autoimmune disease or allergy. Thus immune tolerance is an important mechanism that allows to distinguish between self and non-self^{251,252}. Regulatory T cells (Tregs) are critical regulators of immune tolerance and their suppressive control of effector T cells was observed in experimental systems²⁵³ and human^{144,254}. Tregs are defined by their function, and express the transcription factor FOXP3 and/or suppressive cytokines (IL-10, TGF- β) as well as CTLA-4 and/or CD25^{146,155,192,255,256}. FOXP3 is a transcription factor, belonging to the forkhead family²⁵⁷ and it has been shown that FOXP3, overexpressed in Jurkat cells, can act as a repressor of transcription of the IL-2 promoter by competing with the binding of NFAT²⁵⁸ or by directly interacting with NFAT or NF κ B²⁵⁹. The CD25⁺ Tregs express constitutively high amount of FOXP3 and represent about 5-10% of the total CD4⁺ population. Despite the great relevance of these cells in immunology and clinical issues, the origin and pathways of Treg induction are still unclear. Interestingly it could be demonstrated that ectotrophic expression of FOXP3 in T cells was sufficient to restore autoimmune symptoms of mice depleted of CD25⁺ T cells^{220,240}. In fact genetic defects of the human ortholog causes the IPEX syndrome (immune dysregulation polyendocrinopathy enteropathy, X-linked)²⁵⁷. Patients with IPEX syndrome suffer from a neonatal onset of insulin-dependent diabetes, infections, enteropathy, thrombocytopenia and anemia, endocrinopathy, eczema and cachexia²⁶⁰ and transgenic mice lacking FOXP3 are developing a severe autoimmune disease^{248,250,261}.

These evidences indicate that FOXP3 is a gene, which is involved in the generation or maintenance of regulatory T cell phenotypes, which is essential for maintaining immune tolerance. Interestingly it has been shown that its expression can also be induced in the CD4⁺CD25⁻ population by activation⁶³, corticosteroids²⁶², estrogen²⁶³ and TGF- β ^{264,265}, suggesting that FOXP3 can be induced in peripheral T cells, which may become crucial for therapeutic interventions. We therefore investigated the FOXP3 promoter to systematically reveal signals inducing FOXP3 expression.

The 5'-flanking region of the human FOXP3 gene was cloned and the promoter activity was characterized in primary CD4⁺ T cells. The data demonstrate that the proximal promoter is localized in the region between -511/+176 bp upstream the 5'-non-coding region and contains several common features of basal promoter such as a GC and a TATA box. Our results demonstrate that the promoter is inducible by activation in a NFAT-AP-1 dependent manner, which is inhibited by CsA.

Materials and Methods

Localization of the human FOXP3 promoter by RACE

The cDNA from CD4⁺ T-cells was amplified with the anchor primer and two nested antisense primers: RACE FOXP3 +987, RACE FOXP3 +521 (Table I) designed from the FOXP3 cDNA sequence. The PCR products were purified and cloned into pCR2.1 vector (Invitrogen, Basel, Switzerland) for sequencing of the 5' cDNA ends.

Cloning of the FOXP3 promoter, construction of deletion and mutant constructs

The human FOXP3 promoter containing -1657 bp from TSS was amplified by PCR using FOXP3 promoter sequence specific primers from position -1657 to +176. The genomic DNA extracted from CD4⁺ T cells of a healthy donor was used as a template. The FOXP3 promoter amplicon was cloned into the pGL3 basic vector (Promega Biotech Inc., Madison, WI, USA) to generate the pGL3 FOXP3 – 1611/+176. Series of deletion constructs were generated. The PCR products were subcloned in the pGL3 basic vector. Site-directed mutagenesis in the FOXP3 promoter region were introduced using the QuickChange kit (Stratagene, Amsterdam, The Netherlands), according to the manufacturer's instructions. The constructs were generated by using pGL3 –511, -348, –307 or –211 as template. Primers which were utilized to generate the individual constructs are listed in Table I.

Bioinformatics

Genomic sequences spanning the 5'-UTR of the FOXP3 gene was analyzed using the alignment software m-Vista: <http://www-gsd.lbl.gov/vista/VistaInput>²⁶⁶, allowing to identify conserved regions. Transcription factor binding sites were identified using TESS (<http://www.cbil.upenn.edu/cgi-bin/tess/tess33>) and Genomatix (<http://www.genomatix.de>) program, which uses matrices of the Transfac database.

Isolation of CD4⁺ T cells

CD4⁺ T cells were isolated from blood of healthy volunteers using the anti-CD4 magnetic beads (Dynal) as previously described²⁶⁷. The purity of CD4⁺ T cells was initially tested by FACS and was $\geq 95\%$.

Flow cytometry

For analysis of FOXP3 expression at the single-cell level, cells were first stained with the monoclonal antibody CD25 (Beckman & Coulter, Switzerland), after fixation and permeabilization, cells were incubated with phycoerythrin-conjugated monoclonal antibody PCH101 (anti-human FOXP3; eBioscience) based on the manufacturer's recommendations and subjected to FACS (EPICS XL-MCL, Beckman& Coulter).

Transfections and reporter gene assays

T cells were rested in serum-free AIM-V medium (Life Technologies, Basel, Switzerland) overnight. An amount of 3.5 µg of the FOXP3 promoter Luciferase reporter vector and 0.5 µg phRL-TK was added to 3×10^6 CD4⁺ T cells resuspended in 100 µL of NucleofectorTM solution (Amaxa Biosystems, Cologne, Germany) and electroporated using the U-15 program of the NucleofectorTM. After a 24 hour culture in serum-free conditions and stimuli as indicated in the figures, luciferase activity was measured, by the dual luciferase assay system (Promega Biotech Inc., Madison, WI, USA) according to the manufacturer's instructions. Data were normalized by the activity of renilla luciferase. HeLa, CHO and Jurkat were transfected using lipofectamine 2000 (Invitrogen) according to the manufacturer's protocol.

Quantitative real-time PCR

The PCR primers and probes detecting FOXP3 were designed based on the sequences reported in GenBank with the Primer Express software version 1.2 (Applied Biosystems) as follows: EF-1α forward primer and reverse primer as described ²⁶⁸, FOXP3 forward primer A 5' GAA ACAG CAC ATT CCC AGA GTT C 3', FOXP3 reverse primer A 5' ATG GCC CAG CGG ATG AG 3'. The prepared cDNAs were amplified using SYBR[®]-PCR mastermix (Applied Biosystems) according to the recommendations of the manufacturer in an ABI PRISM 7000 Sequence Detection System (Applied Biosystems). Relative quantification and calculation of the range of confidence was performed using the comparative ΔΔCT method as described ²⁶⁹. All amplifications were carried out in triplicates.

Western blotting

For FOXP3 analysis on the protein level, 1×10^6 cells CD4⁺CD25⁻ were lysed and

loaded next to a protein-mass ladder (Magicmark, Invitrogen) on a NuPAGE 4-12% bis-tris gel (Invitrogen). The proteins were electroblotted onto a PVDF membrane (Amersham Life Science, Dübendorf, Switzerland). After blocking the membranes were incubated with an 1:200 dilution of goat anti-FOXP3 in blocking buffer (Abcam, Hamburg, Germany) overnight at 4°C. The blots were developed using an anti-goat HRP labeled mab (Amersham Biosciences) and visualized with a LAS 1000 camera (Fuji, Urdorf, Switzerland). To confirm sample loading and transfer, membranes were incubated in stripping buffer and re-blocked for 1 h, then re-probed using anti-actin (C-2, Santa Cruz).

Pull-down Assay

CD4⁺ T cells were stimulated with PMA and ionomycin for 2 hours at 37°C. The cells were pelleted, resuspended in buffer C (20 mM HEPES (pH 7.9), 420 mM NaCl, 1.5 mM MgCl₂, 0.2 mM EDTA, 1 mM DTT, protease inhibitors (Sigma, Buchs, Switzerland) and 0.1% NP-40) and lysed on ice for 15 min. Insoluble material was removed by centrifugation. The supernatant was diluted 1:3 with buffer D (as buffer C, but without NaCl). The lysates were incubated with 10 µg of poly(dI-dC; Sigma) and 70 µl of streptavidin-agarose (Amersham Biosciences) carrying biotinylated oligonucleotides, for 3 hours at 4 °C. The beads were washed twice with buffer C/D (1:3) and resuspended in DTT-containing loading buffer (NuPAGE; Invitrogen), heated to 70°C for 10 min and the eluants loaded next to a protein-mass ladder (Magicmark, Invitrogen) on a NuPAGE 4-12% bis-tris gel (Invitrogen). The proteins were electroblotted onto a PVDF membrane (Amersham Life Science, Dübendorf, Switzerland) and detected using an anti-NFATc mab (Santa Cruz). The blots were developed as described above. Accumulated signals were analyzed using AIDA software (Raytest, Urdorf, Switzerland).

Electrophoretic mobility shift assay (EMSA)

EMSAs were performed as previously described²⁷⁰. Nuclear extracts of CD4⁺ T cells were prepared as described. Briefly, the cells were treated with a hyposmotic buffer, containing 10mM KCl, 1mM DTT, 0.5 mM EDTA, 10 mM HEPES pH 7.9 (all Sigma) and a mix of protease inhibitors (Complete™; Boehringer Mannheim, Mannheim, Germany), followed by addition of NP-40 (Sigma) to a 1% final

concentration. Nuclei were pelleted by a brief spin in a microcentrifuge and washed once with the buffer described above. Nuclei were lysed in 50 µl of a high-salt buffer containing 400mM NaCl, 50mM DTT, 20 mM HEPES, 0.5 mM EDTA and a mix of protease inhibitors (Complete™, Boehringer Mannheim, Mannheim, Germany). The nuclear debris of this lysate was removed by centrifugation at 4°C and the supernatant stored in a fresh tube at -70°C. Nuclear extracts were controlled for equal protein content by a protein assay as described by the manufacturer (Biorad, Hercules, CA, USA).

Nuclear extracts were incubated with annealed oligonucleotides (Table I), which correspond to the FOXP3 promoter sequences as indicated in the figures. The two strands of the oligonucleotides first labeled with ³²P-γ-ATP using the T4 Kinase (Life Technologies). Subsequently, the oligonucleotides were separated from free ³²P-γ-ATP by running the labeling mix over a chromaspin-10 column (Clontech, Palo Alto, CA). Following annealing, single stranded oligonucleotides were eliminated by gel-purification of the column eluate on a 20% polyacrylamide gel. The eluted probe was precipitated and the binding reactions for the TATA-site were carried out for 30 min at RT with 2 µg of NE in 10 mM HEPES (pH 7.9), 10% glycerol, 1 mM EDTA, 1 mM DTT, 100 mM KCl, 0,5 µg of poly(dI-dC), 1 mM PMSF and 30 000 cpm of probe. For the GC-box, 3 µg of NE were incubated as previously described in ²⁷¹). The reaction was incubated for 10 min. at room temperature and loaded on a 5% non-denaturing PAA gel. Following electrophoresis, the gel was dried, subjected to autoradiography and phosphoimaging.

Chromatin immunoprecipitation (ChIP) assay

ChIP assay was performed chromatin Immunoprecipitation (ChIP) Assay Kit following the recommendations of the supplier (Upstate Biotechnology, Lake Placid, NY, USA). For precipitation a polyclonal Ab against acetylated histone H4 was used along with an isotype matched rabbit IgG control. The PCR addressed for the FOXP3 promoter region -246 to -511 and was performed using the following primers: 5'-GTG CCC TTT ACG AGT CAT CTG-3' and 5'-GTG CCC TTT ACG AGT CAT CTG-3'. The PCR products were visualized using an ethidium bromide gel. For ChIP assay addressing the NFAT binding to the chromatin an anti-NFATc2 (4G6-G5, Santa-Cruz Biotechnology, Santa Cruz, CA, USA) was used and primer addressing

the FOXP3 promoter region -1540 to -1470 to the following primer were used 5'-TTT GCA GGG TGC TGG GA-3' and 5'-GTA GAC CAG CCC CCA GGG-3' and qRT-PCR was performed.

FACS-sorting of CD4⁺CD25⁺

PBMC were isolated from Buffy coat by density gradient centrifugation over Ficoll/Hypaque. Cells were stained with PE-anti-CD25 and anti-PE magnetic beads (Miltenyi Biotec, Bergisch Gladbach, Germany) and CD25⁺ cells were enriched using the Midi-MACS system (Miltenyi Biotec). CD25-enriched or -depleted cell populations were stained with FITC-anti-CD4 and sorted into CD4⁺CD25⁻ and CD4⁺CD25^{high} on a FACStar Plus (BD Biosciences).

Suppression assay

Samples in triplicate, containing 5 x 10⁴ CD4⁺CD25⁻ and 1 x10⁴ of preactivated or resting CD4⁺CD25⁺T cells per well were incubated in 96 round-bottom-plates, which were previously coated with 1µg/ml antiCD3 mab or a matched isotype control. Cells were cultured for 4 days, pulsed for the last 10 h with 1 µCi [³H]-thymidine (Hartmann, Braunschweig, Germany) and harvested on glass fiber filters using an automated multisample harvester (LKB, Pharmacia-Wallac, Turku, Finland). Filters were transferred in sample bags with liquid scintillation fluid and analyzed using a β-scintillation counter (Pharmacia-Wallac). Round-bottom 96-well plates were coated with 1 µg/µl anti-CD3 for 1 hour at 37 °C and subsequently washed with PBS.

Results.

Localization of the FOXP3 promoter in human CD4⁺ T cells

To map the 5' end of the human FOXP3 gene, 5'-RACE was performed, using nested-PCR. The first primer was located in exon 11 and the second in exon 6 of the FOXP3 gene. The mRNA was isolated from CD4⁺ T cells of a healthy donor, reverse-transcribed and used as template for 5'-RACE. Sequence analysis of 11 clones revealed that the transcription start site (TSS) is located 6211 bp upstream of the translation start site. The UTR is interrupted by an intron zero of 6011 bp. An alignment of the sequences of human, mouse and rat FOXP3 gene was performed and several conserved regions (Figure 5A) were identified including 11 exons (Figure 5A, dark blue) and some conserved non-coding sequences (Figure 5A, red, CNS). Interestingly the region preceding the UTR is highly conserved (Figure 5A and Figure 5B) and contains several transcription factor binding sites. On the basis of these sites, a putative promoter scheme was generated and tested in the following experiments (Figure 5C).

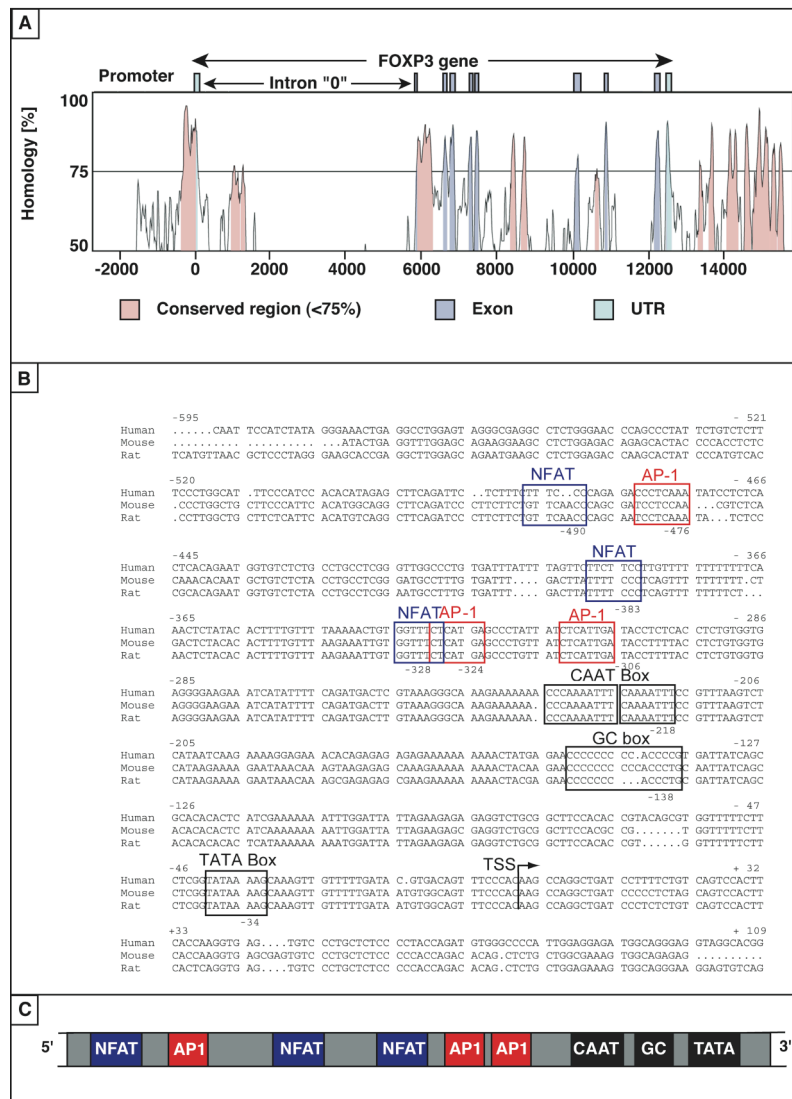


Figure 5: Human, mouse and rat alignment of the FOXP3 core promoter. (A) m-Vista alignment of Human/mouse genomic sequences (human accession number AF235097, mouse accession number AF277994). m-Vista criteria which were applied require 75 % identity for at least 100 bp length. The conserved regions are in red, the exons in dark blue and the UTR in light blue. (B) Sequence conservation of the human (top: GenBank accession number AF235097), mouse (middle: accession number AF277994) and rat (bottom: GenBank accession number NW_048035). The transcription start site (TSS) is indicated by a broken arrow. Transcription factor binding to the regions of interest are indicated (factor name above and position below), (C) Scheme of the 5'UTR region of the human FOXP3 gene, indicating the sites analyzed in this study.

Chromatin structure

Since FOXP3 is specifically expressed in T cells, we analyzed whether chromatin in the area of the putative promoter is accessible to the transcriptional machinery in T cells by chromatin co-immunoprecipitation (ChIP). Histone H4 hyperacetylation (H4ac) is a typical feature of active transcription²⁷², we therefore analyzed chromatin hyperacetylation of the FOXP3 promoter by comparing cells of lymphoid and non-

lymphoid origin as well as T cells characterized by low or high FOXP3 expression. T cells were depleted of CD25⁺ and intracellular FACS staining revealed that FOXP3 expression was virtually absent in the remaining cells (0.4%; figure 6A). The frequency of FOXP3⁺ T cells increased following T cell activation predominantly in the CD25⁺ subset (11.1 % after 72h; figure 6A). It occurred possible that activation-induced FOXP3⁺ T cells expand from the 0.4% of CD25⁻FOXP3⁺ T cells, however on the basis of known T cell division kinetics (doubling maximally in 48h), the FOXP3 expression must predominantly arise from the FOXP3⁻ T cells. We demonstrated that histone hyperacetylation is detectable in CD4⁺ T cells, particularly in activated or FACS-sorted CD25^{high} Treg cells, but absent in HELA and Jurkat cells. Lower levels were observed in resting CD4⁺CD25⁻ and CD4⁺CD45RA⁺ T cells (figure 6B), showing that the FOXP3 promoter region is in an open conformation and accessible to the transcription machinery in the CD4⁺CD25⁻ cells, and that activation might play an important role in mobilizing the chromatin structure. The acetylation levels correspond to the FOXP3 mRNA expression levels of these cells (figure 6C).

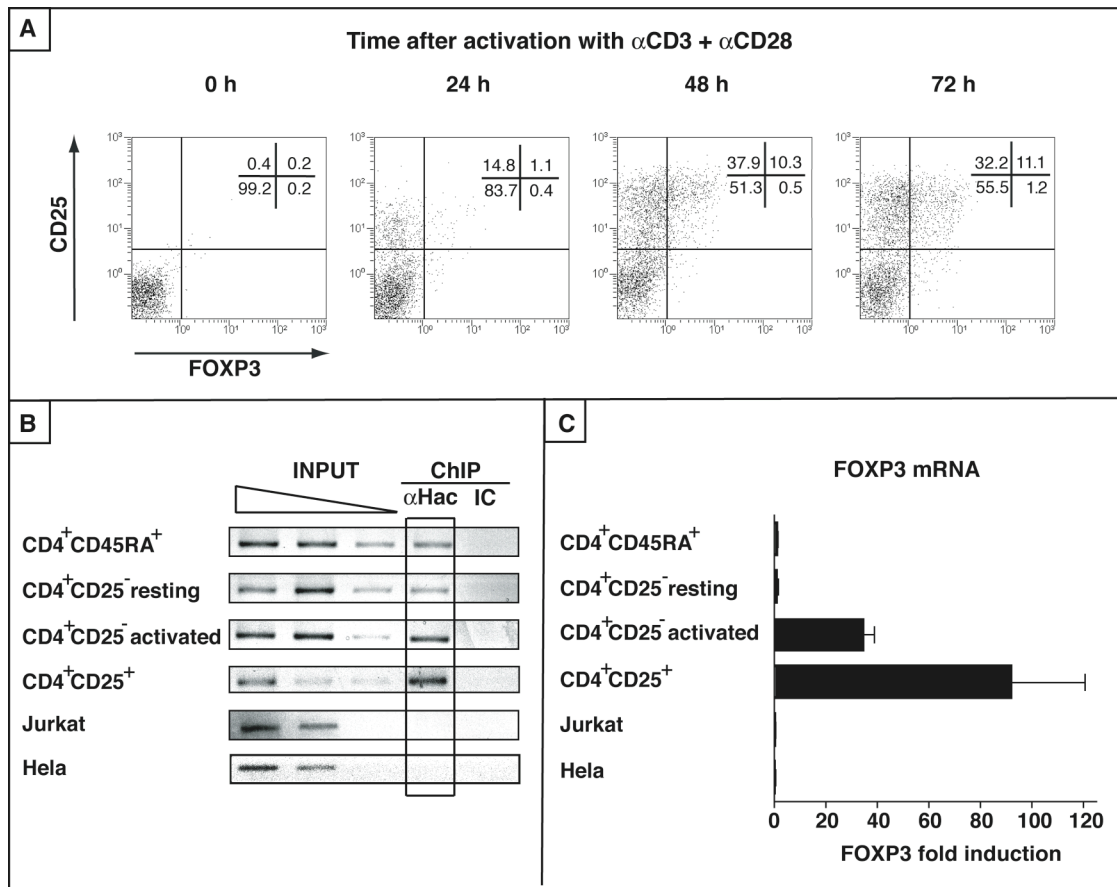


Figure 6: Chromatin configuration of FOXP3. (A) FACS staining indicating FOXP3 expression in CD4⁺CD25⁻ T cells. Representative of two independent experiments. (B) The acetylation status of histone H4 in the nucleosomes associated with the FOXP3 core promoter region was assessed by ChIP assay in Jurkat, Hela and CD4⁺CD25⁻ (resting and activated), and cells. Cells were lysed, and proteins were cross-linked with formaldehyde and immunoprecipitated with Ab to acetylated histone H4 (anti-acetyl H4) or control Ab (rabbit IgG). Shown is the, PCR for the FOXP3 gene after reversing the cross-linking. The “input” represents PCR amplification of the total sample which was not subjected to any precipitation. Results are representative of three independent experiments. (C) expression level of FOXP3 mRNA measured by RT-PCR. Bars show the mean \pm SD of three independent experiments.

The FOXP3 promoter region contains cell-specific activity

The chromatin accessible region described above was functionally investigated for transactivational activity. To identify potential regulatory elements in the 5'-flanking region of the human FOXP3 gene, a series of promoter-luciferase (LUC) 5'-deletion constructs were generated to test whether the FOXP3 promoter fragment also reflects cell-specificity. We transfected the identical constructs into cells of lymphoid and non-lymphoid origin that do not express FOXP3. High transactivation was observed in primary CD4⁺ T cells, whereas no activity was detected in Hela, CHO (data not shown) nor Jurkat cells independently of the promoter-fragment size (Figure 7). The

longest construct was designed from position –1657 to +176 and displayed a promoter activity in CD4⁺ T cells 3-fold higher than that of the control plasmid, pGL3 Basic (Figure 3). We designed 5'-deletions (–1210, –511, –465, –423, –348, –307, –211 and –90) in order to identify the proximal promoter, which we could localize in a fragment of –511 bp from transcription start site. The –511/+176 region is highly conserved between human, mice and rat (Figure 5A + 5B). A 6.8-fold increase in luciferase activity was measurable with the fragment of –511/+176 compared to pGL3 basic vector. In contrast the smaller constructs (–307, –211 or –90/+176) show lower luciferase activity. Although the construct –307/+176 shows low activity, it is essential for the activity of the –511/+176, since a deletion of –245 to +176 region out of –511 (–511/–245 construct) shows no activity in CD4⁺ T cells (Figure 7). Thus the construct –511/+176 showed the most prominent reporter activities, whereas larger fragments didn't show any significant increase in activity over the –511/+176 construct. These results together with the open chromatin configuration suggest, that the first 500 bp of basal FOXP3 promoter confer cell- specificity and transactivation. Having demonstrated that the promoter is active in CD4⁺ T cells we performed site-directed mutagenesis to further characterize the promoter.

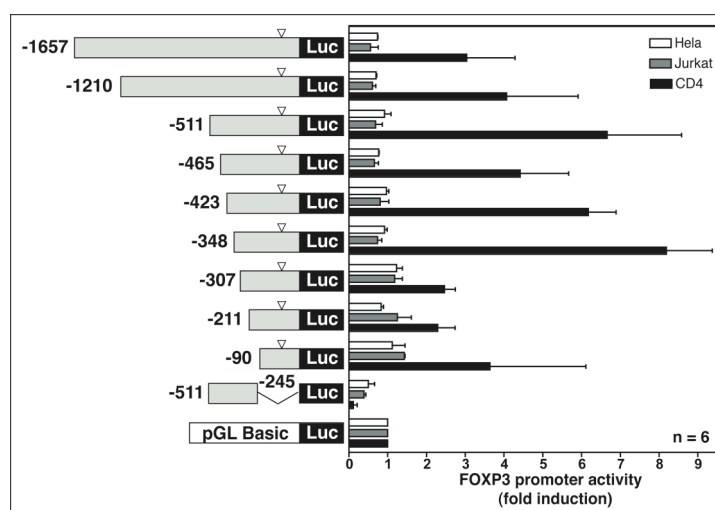


Figure 7: The putative FOXP3 promoter is tissue specific. *T cells, Jurkat and HeLa cells were transfected with empty vector or vector containing the putative FOXP3 promoter region. Bars show the mean \pm SD of arbitrary light units normalized for renilla luciferase of experiments performed with 6 independent donors (CD4⁺ T cells) or 6 independent experiments (in the case of Jurkat and HeLa cells; samples were measured as triplets).*

Basal transcriptional elements are located in the core promoter

To further investigate the functionality of the basal FOXP3 promoter located within the first 500 bp, we investigated binding sites characteristic for eukaryotic promoters. Putative Transcription factors binding sites were identified using TESS and GENOMATIX programs. In fact, several common features of eukaryotic core promoters such as the TATA, GC and CAAT boxes were identified. The TATA box (TATAAAA) is located -44 bp upstream of the transcription start site. This sequence is conserved between human, mouse and rat (Figure 5A). Since the TATA box is an important feature of eukaryotic promoters and is generally located -30 to -25 bp upstream the TSS, we investigated the element using site-directed mutagenesis of the fragment -211/+176 (TATAAAAG was mutated to TcTcgAAGC) and could demonstrate that the mutations, which eliminate TATA-binding sites dramatically reduce by 47.64 %; (Figure 8A) reporter activity. EMSA of the TATA box sequence of the FOXP3 promoter (TTA GAA GAG ACT CGG TAT AAA AGC AAA GTT GTT TT) bound by nuclear extracts from CD4⁺ T cells confirmed that nuclear proteins are binding to this promoter element. Only one complex could be detected, which could be competed by pre-incubation with unlabelled oligonucleotides specific for TATA box consensus sequence (GCA GAG CAT ATA AAA TGA GGT AGG A), which abolished in a dose-dependent manner the formation of the complex (Figure 8B).

The GC box is another basic element of eukaryotic promoters, which is located 138 bp upstream the TSS. A site-specific mutation (GC Sp1 - 142) was introduced to destroy transcription factor binding site into the -307/+176 fragment and luciferase assays were carried out. The mutation in the GC box decreased transactivational activity by 42,84 % (Figure 4C). The GC-box is known to be bound by Sp transcription factor family members. Sp1 acts as a potent activator and Sp3 can act as an activator or a suppressor, possibly by competing with Sp1 for the binding. Nuclear extracts from CD4⁺ T cell formed two specific complexes (Figure 8D), which were dose-dependently competed by the addition of specific Sp1-binding oligonucleotides at a 10 x and 100 x molar excess (ATT CGA TCG GGG CGG GGC GAG C) but not by mutated Sp1 oligonucleotides (ATT CGA TCG GTT CGG GGC GAG C). The addition of an antiserum against Sp1 shifted a band on the EMSA and the remaining complex I or II migrate slightly faster, indicating that the complex becomes smaller.

Similar observations were made using an anti-Sp3 antiserum, demonstrating that Sp1 and Sp3 are binding to this sequence. Of note, the GC-box sequence can be bound also by other factors, which explains the binding of slightly faster migrating complexes upon addition of anti-SP-1 & 3 antibodies (lane 7-9; ²⁷³). Furthermore the CAAT-box was analyzed and mutated as described for the TATA and GC box. The mutation in the CAAT also reduced the luciferase activity of the -307/+176 fragment (data not shown).

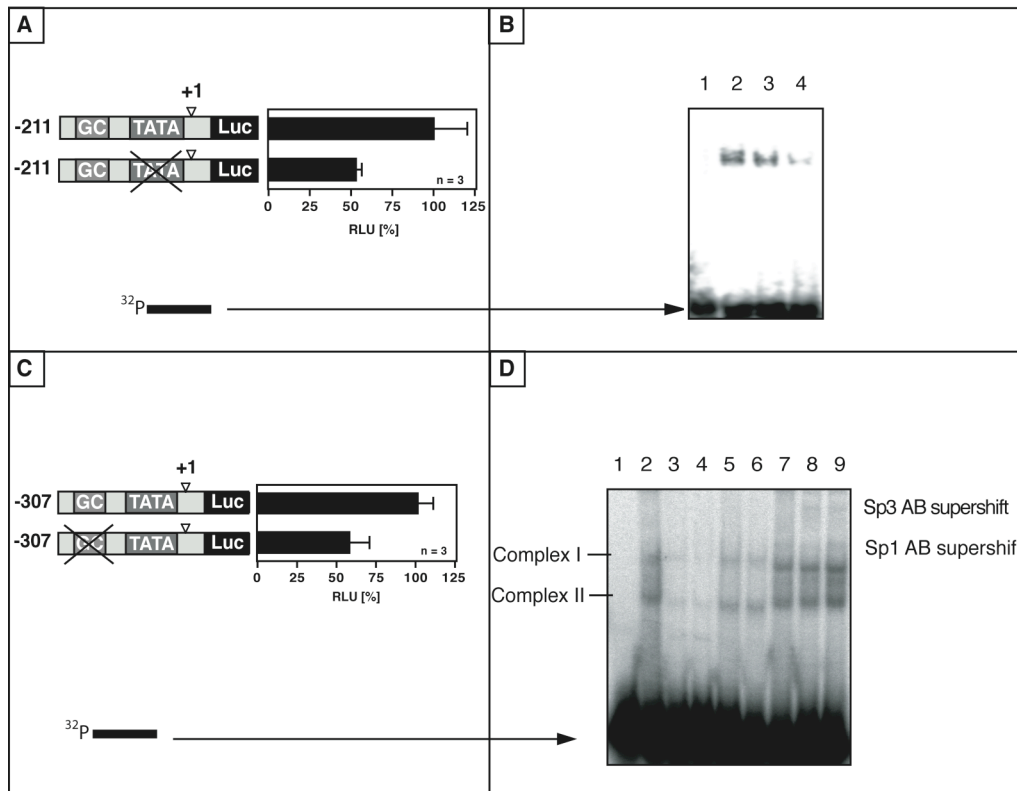


Figure 8: Basal elements of the human FOXP3 promoter. (A) The human FOXP3 contains functional TATA box and GC box. The region -211/+176, which contains the TATA box, was mutated in the pGL3 FOXP3 -211/+176. The mutated TATA box transfected into CD4⁺ T cells and the luciferase activity was measured. The effect of mutagenesis is shown as percent relative to wild-type pGL3 FOXP3 -211/+176. Results are given as the mean \pm SEM of three independent experiments in triplicate. (B) Binding of specific nuclear factors to the TATA box. EMSA of the region -60 to -14 region of the FOXP3 gene promoter is shown. The competition experiments were performed by preincubating nuclear extracts with 10- and 100-fold excess of TATA oligonucleotides (lanes 3-4). The region -307/+176 which contains the GC box was mutated in the pGL3 FOXP3 -307/+176. (C) The mutated GC box was transfected into CD4⁺ T cells and the luciferase activity was measured. Effect of mutagenesis is shown as percent relative to wild-type pGL3 FOXP3 -307/+176. Results are shown as the mean \pm SEM of three independent experiments performed in triplicate. (D) Binding of specific nuclear factors to the GC box is demonstrated by EMSA of the -124 to -173 region of the FOXP3 gene promoter. The competition experiments were performed by preincubating nuclear extracts with 10- and 100-fold excess of Sp1 oligonucleotides (lanes 3-4) or mutated Sp1 oligonucleotides (lanes 5-6). The supershift assays were performed with antiserum against Sp1 protein (Sp1 Ab; lane 7), Sp3 protein (Sp3 Ab, lane 8), Sp1 and Sp3 proteins (Sp1 + Sp3 Abs; lane 9). Supershifted bands are observed in lanes 7-9 along with an

increased mobility of the remaining bands carrying unidentified factors, since the GC-box is bound by multiple factors.

Regulation of FOXP3 expression in the CD4⁺CD25⁻ cells by activation of the T cell receptor

The experiments showed that the promoter construct was active in lymphocytes and contains basic elements like a TATA and a GC box. Since T cell activation is important for regulation of immune-relevant genes, we investigated, whether FOXP3 mRNA and FOXP3 promoter fragments respond to T cell activation. FOXP3 mRNA can be induced (18.8-fold at 24h, 30.4-fold at 48h and 11.7-fold at 72h; Figure 9A) in the CD4⁺CD25⁻ following T cell activation. Resting CD4⁺CD25⁺ were used as a control. Of note, FOXP3 expression in resting CD4⁺CD25⁺ T cells was 80-fold higher than in CD4⁺CD25⁻ cells and could just slightly be increased by activation (1.9-fold, Figure 8 B). In analogy to upregulated FOXP3 mRNA, T cell activation also induced FOXP3 reporter activity in the CD4⁺CD25⁻ cell fraction. The smaller fragments and empty vector were only slightly responsive to activation in contrast to the fragments starting from -348/+176, which were strongly induced about 80-fold compared to the empty vector or 10-fold compared to the corresponding unstimulated cells (Figure 9B).

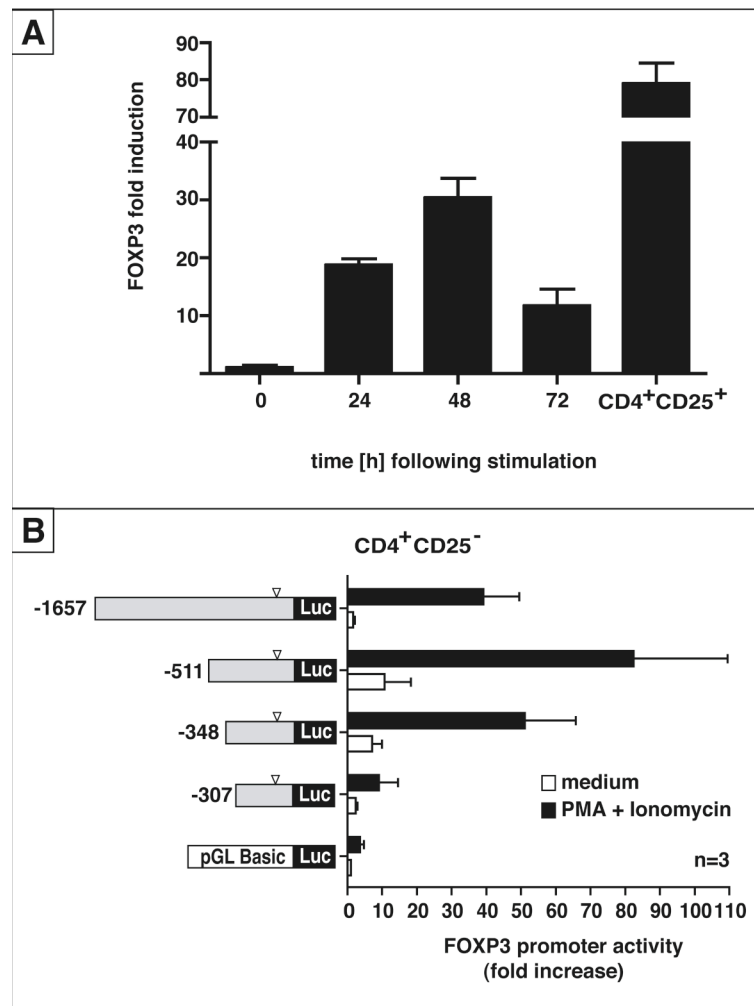


Figure 9: FOXP3 is upregulated by TCR crosslinking. (A) CD4⁺CD25⁻ T cells were stimulated with anti-CD3 and anti-CD28, and the FOXP3 mRNA level was measured by real-time PCR. Bars show the mean ± SD of 3 independent experiments. (B) The FOXP3 promoter can be activated by TCR cross-linking. CD4⁺CD25⁻ T cells were co-transfected with a renilla luciferase vector plus the luciferase vector containing the putative promoter region and were cultured in medium or in medium containing PMA and ionomycin. Results given are the mean ± SD of luciferase light units normalized for renilla luciferase of the same sample. Results are representative of 3 independent experiments.

Cyclosporin A inhibits FOXP3 expression in human CD4⁺CD25⁻ T cells

We identified NFAT and AP-1 transcription factors binding sites located in the region between -348 and -511, which are known to be involved in T cell activation (Figure 5B). NFAT is activated by the Ca⁺⁺-calcineurin pathway and blocked by the immunosuppressive drug CsA. Therefore we analyzed the effect of CsA on the induction of FOXP3 mRNA and promoter activity. CD4⁺CD25⁻ T cells were activated in the presence or absence of CsA and the mRNA was quantified after 24, 48 and 72

hours. The FOXP3 mRNA was potently inhibited by CsA, but not by MAPK inhibitors (Figure 10A). CsA inhibition of FOXP3 mRNA induction was maintained throughout the 72 hours time course (Figure 10B), while cell viability was maintained (data not shown). The CsA sensitivity of FOXP3 was confirmed at the protein level (Figure 10C). FOXP3 promoter fragments, which responded to activation, were potently inhibited by CsA. This indicates that the calcineurin-dependent NFAT mobilization plays a crucial role in the transactivation of the FOXP3 promoter (Figure 10D).

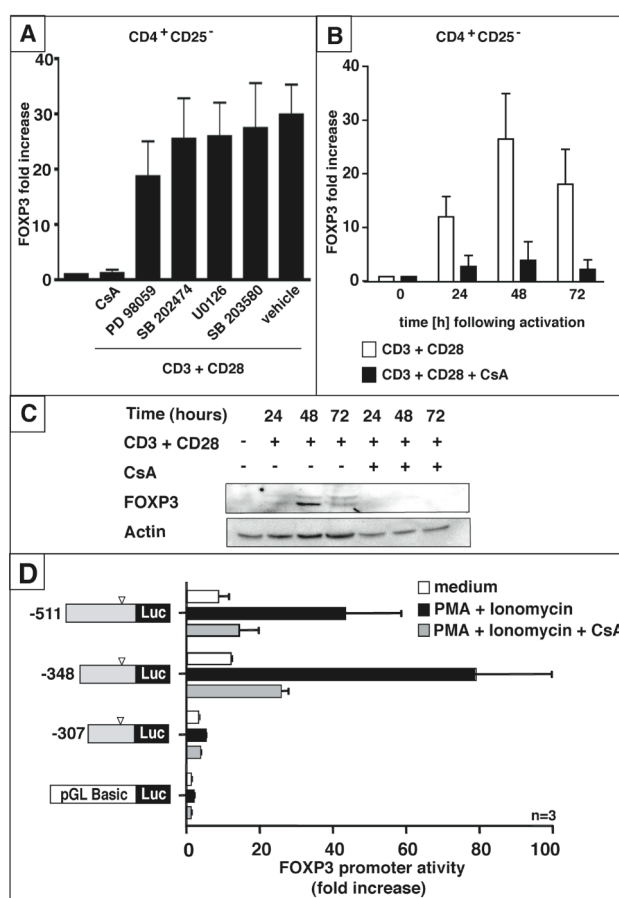


Figure 10: CsA inhibits FOXP3 induction in the CD4⁺CD25⁻ T cells. (A) CD4⁺CD25⁻ T cells were activated with anti-CD3 and anti-CD28 with CsA and different MAPK inhibitors. CsA potently inhibits FOXP3 induction. Bars show the mean \pm SD of 3 independent experiments. (B) CD4⁺CD25⁻ T cells were activated with anti-CD3 and anti-CD28 with CsA, cells were harvested at different time points as indicated in the figure. Bars show the mean \pm SD of 3 independent experiments. (C) Western blot analysis of FOXP3 in CD4⁺CD25⁻ T cells after activation with anti-CD3 and anti-CD28 and with treatment with CsA (1 μ M). Two independent experiments were done with similar results. (D) CD4⁺CD25⁻ T cells were transfected with the FOXP3 promoter constructs and activated with PMA and ionomycin and treated or not with CsA.

NFAT and AP-1 are positive transactivators of FOXP3

Since the construct -348 was the shortest construct showing TCR responsiveness. We mutated the AP-1 binding sites, in the construct -348. Mutation of the AP-1 site at position -306, which is closest to the TSS has only a weak effect on promoter activity (Figure 11). In contrast, mutation of the AP-1 site located -324 strongly reduced the transactivational activity of the promoter (3-fold; Figure 11). The background was also reduced suggesting that those factors play an important role in the constitutive promoter activity.

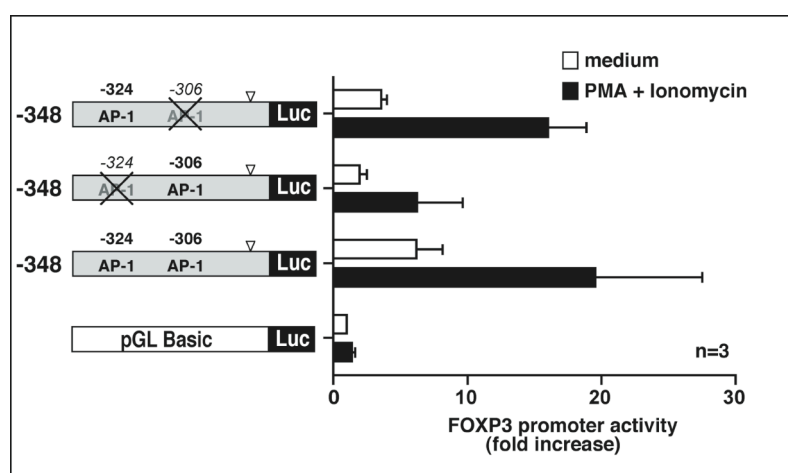


Figure 11: The AP-1 sites of the FOXP3 promoter have transactivatory activity. CD4⁺CD25⁻ T cells were transfected with construct containing AP-1 mutations and activated with PMA and ionomycin. AP-1 mutations decrease promoter activity, as well as its induction by activation. Bars show the mean \pm SD of 3 independent experiments.

Mutations of the NFAT and AP-1 sites in the constructs -511 had a dramatic effect on the basal activity and inducibility by T cell activation (Figure 12A). Loss of the NFAT-490 and -328 in the construct -511 decreased the activity by 38 % and activation induces only 3 times instead of 4 times in the wild-type. Loss of the NFAT binding site -383 and AP-1 -476 decreases the activity by 55 % and the induction following activation was only of 2.2-fold (Figure 12A). The binding of NFATc2 to the NFAT sites on -490 and -328 was proven by pull-down assay, using cells lysates of activated CD4⁺ T cells. NFATc2 was bound to the FOXP3 promoter oligonucleotides used for precipitation, but it was not precipitated by the mutated version (Figure 12B) or by the wildtype oligonucleotides competed by the excess of a NFAT consensus oligonucleotides (data not shown). To verify whether NFAT binds the FOXP3 promoter area on the chromatin under natural conditions, we performed

ChIP analysis. Starting from 2 hours after activation of CD4⁺ T cells with anti-CD3 and anti-CD28 the binding of NFATc2 could be showed and was maximal after 5 hours and decreases thereafter (Figure 12D). Overexpression of NFATc2 dramatically increased promoter activity of the -511 construct (3-fold, relative to the empty pcDNA3 vector), which could be further increased by activation (6.5-fold; Figure 12E). The overexpression of NFATc2 had only a minor influence on the activity of the -90/+176 construct used as a control.

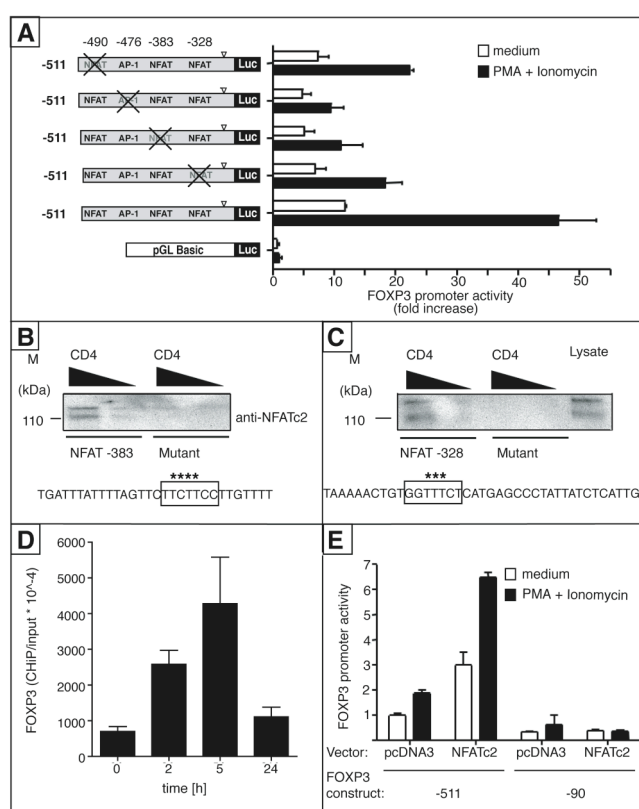


Figure 12: NFATc2 regulates human FOXP3 promoter activity in CD4⁺CD25⁻ T cells. (A) Mutation of NFAT sites decrease promoter activity as well as its induction by activation by PMA and Ionomycin. Bars show the mean \pm SD of 3 independent experiments. (B) Nuclear extracts were prepared from CD4⁺ T cells activated 2 hours with PMA and ionomycin. Biotinylated NFAT-376 and NFAT-328 oligonucleotides were absorbed by streptavidinagarose beads and then incubated with the nuclear extracts. Then the amounts of NFATC2 protein in the precipitates were assessed by immunoblotting with anti-NFATC2 mAb. Total nuclear extracts were also run as controls. Two independent experiments were done with similar results. (D) CD4⁺CD25⁻ T cells were activated using anti-CD3 and anti-CD28 and analyzed by ChIP for NFAT binding to the FOXP3 promoter. Quantitative fluorogenic PCR was performed. Data are expressed as the ratio of immunoprecipiated to input sequence and are mean of \pm S.D. of two separate experiments. (E) Overexpression of CD4⁺CD25⁻ cells with NFATc2 with the 511 FOXP3 promoter construct increase the luciferase activity of the FOXP3 promoter constructs. NFATc2 could not further increase the -90 luciferase activity. Results shown are the mean \pm S.D. of 1 experiment performed in triplicate. Two independent experiments were done with similar results.

Regulation of FOXP3 in CD4⁺CD25⁺ Tregs

It is known that overexpression of FOXP3 is sufficient to induce a T_{reg} phenotype, however the significance of FOXP3 regulation in already existing T cells is not clear. We therefore investigated whether activation has any effect on FOXP3 expression in Tregs. We activated FACS-sorted CD4⁺CD25⁺ Tregs or CD4⁺CD25⁻ effector T cells (Figure 13A) with plate-bound anti-CD3 and anti-CD28. After 3 days the cells were harvested and FOXP3 mRNA was measured by realtime-PCR. Resting CD4⁺CD25⁺ expressed about 90-fold more FOXP3 than CD4⁺CD25⁻ cells. Activation induced expression of FOXP3 mRNA by only 1.9-fold (Figure 13A), in contrast to 20-fold CD4⁺CD25⁻ cells (Figure 13B). In order to test whether this increase has a functional effect on Tregs function, we compared the suppressive capacity of unstimulated to preactivated Tregs. Although FOXP3 expression did only marginally increase (1.9-fold), the activation dramatically increased the suppressive capacity of Tregs (Figure 13C). However when Tregs were preactivated during 2 days in the presence of CsA, which was washed away before the cells were used in the suppression assay, the suppressive capacity was only marginally reduced (Figure 13C). Thus NFAT is important for FOXP3 induction, mediating regulatory differentiation, but does not affect the suppression of already existing Tregs, although activation potentiates suppressive capacity.

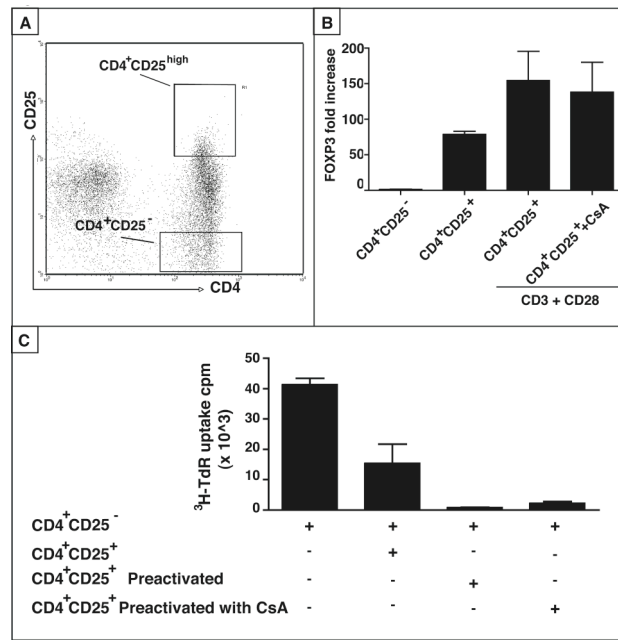


Figure 13: Activation does not induce FOXP3 expression in pre-existing Tregs. (A) CD4⁺CD25^{high} were FACS-sorted using the shown gates. (B) FACS-sorted CD4⁺CD25⁺ Tregs were activated with anti-CD3 and anti-CD28 during 3 days and treated or not with CsA (1 μ M). The cells were harvested for mRNA extraction. The percentage was calculated on the basis of the $\Delta\Delta$ Ct method. Bars, 95% confidence interval calculated on the basis of deviation of EF-1 and FOXP3 expression. The results shown are the mean \pm S.D. of three independent experiments. (C) Activation dramatically increases CD4⁺CD25⁺ Tregs suppressive capacity, CsA couldn't avoid this increase in the suppressive capacity. CD4⁺CD25⁺ Tregs were preactivated during 2 days in presence or absence of CsA. After washing the cells 3 times, their suppressive capacity on responder CD4⁺CD25⁻ was tested. 10 x 10⁴ CD4⁺CD25⁺ Tregs were added to 5 x 10⁴ CD4⁺CD25⁻. The results shown are the mean \pm S.D. of three independent experiments.

Discussion

In the present study we describe the localization and structure of the human FOXP3 promoter, as well as elements, that are essential for its induction in T cells.

The FOXP3 promoter is located -6221 bp upstream of the translation start site and the 5'UTR is interrupted by a 6000 bp intron, which contains a splice donor site at the 5' end and a splice acceptor site at the 3' end, 22 bp upstream of the translation start site. The promoter is highly conserved between human, mouse and rat. The mRNA sequence published in the present study confirms the transcription start site and the location of the intron "0" of the reference sequence (NM_014009).

The chromatin accessibility is a key mechanism of gene regulation and has been shown to be essential for many genes during T cell differentiation like IL-4 and IFN- γ ^{109,274,275}. FOXP3 has been proposed to be a lineage-specific factor for Tregs and therefore the chromatin structure may be an important aspect of FOXP3 regulation^{244,276}. FOXP3 was accessible in resting and activated CD4⁺CD25⁻ T cells, CD4⁺CD45RA and CD4⁺CD25⁺ but not in Jurkat and Hela cells, corresponding to their FOXP3 mRNA expression²⁵⁴. Thus chromatin remodeling may contribute to the cell-specific expression of FOXP3, controlling the access of the transcriptional machinery to the promoter. The CD4⁺CD25⁻ population showed an open chromatin conformation of FOXP3 gene, which was further increased by activation. The non-repressive chromatin configuration may therefore allow CD4⁺CD25⁻ T cells to acquire a regulatory phenotype upon activation with the appropriate key of transcription factors.

In order to identify this set of transcription factors we analyzed the 1.6-kbp region upstream of the TSS. This region showed promoter activity, when cloned in front of a luciferase reporter gene and transfected into primary CD4⁺ T cells. In contrast, Hela and CHO cells did not show any promoter activity. Thus FOXP3 cell-specificity is regulated not only at the chromatin, but also on transcription level. The serial deletion constructs revealed that a fragment of 348 bp contained the minimal promoter necessary for the induction of the gene. The deletion of 245 bp upstream of the TSS totally abrogated the promoter activity, indicating that this area contains the core promoter. The current data show that the specific mutation of the TATA (-34), the GC (-138) and CAAT boxes (-218), reduce activity of the core promoter. Furthermore, we demonstrate that the GC box is in fact bound by Sp1 and Sp3. Since these factors are

characteristic for eukaryotic promoters ²⁷⁷, these data confirm the location of the FOXP3 promoter.

On the basis of these results we analyzed inducible elements upstream of this area. We demonstrate that FOXP3 expression is induced following TCR engagement in CD4⁺CD25⁻ T cells. Activation of CD4⁺CD25⁻ T cells with anti-CD3 or PMA and ionomycin induced FOXP3 promoter activity in the -511 reporter gene. This result shows that TCR-engagement acts directly on the FOXP3 promoter and confirms previous studies ⁶³ showing that in vitro activation of CD4⁺CD25⁻ cells was sufficient to generate cells expressing FOXP3, which have suppressive capacity. In fact, exposure to an antigen ²⁷⁸, TGF- β ^{210,230,265}, estrogen ^{279,280} or glucocorticoids ²⁶² along with T cell activation can induces FOXP3 in CD4⁺CD25⁻ T cells. Therefore, activation seems to be a key event in the generation of Tregs, as it was previously shown to be essential in the differentiation process of Th1 and Th2 cells ²⁸¹⁻²⁸³.

We narrowed down the activation dependence to the minimal FOXP3 promoter (-348), whereas the fragment that is just 41 bp shorter does not show any induction. Therefore the activation-responsive element of the FOXP3 promoter is located between -511 and -307. NFAT and AP-1 are well known mediators of T cell activation and are clustered in this region. Mutations disrupting the NFAT and AP-1 binding sites decreased the luciferase activity, revealing their role in the transactivation of the FOXP3 promoter. The activation of the FOXP3 gene is mediated by at least three NFAT sites, which we demonstrated to be bound by NFATc2 and three AP-1 sites, in proximity of NFAT sites. Those transcription factors often cooperate to induce cytokine gene expression and are forming complexes as in the promoter of IL-2 ²⁸⁴⁻²⁸⁶, IL-4 ²⁸⁷, IFN- γ ²⁸⁸ and CTLA-4 ²⁸⁹.

The MAPK-inhibitor (PD98059) only partially inhibited activation-induced FOXP3 mRNA expression, suggesting that the AP-1 factors can be mobilized by others pathways. In contrast, CsA completely inhibited the mRNA induction of FOXP3 as well as the promoter activity. CsA is a well-known immunosuppressive drug which, blocks NFAT translocation into the nucleus by inhibition calcineurin phosphatase activity ²⁹⁰. We have previously shown that immunosuppressant glucocorticoids promote FOXP3 expression ²⁶², whereas rapamycin does neither enhances nor decreases FOXP3 (data not shown and ^{291,292}). Therefore immunosuppressive drugs may have different mechanisms to promote tolerance induction.

Alternatively, immunosuppressive drugs may also act on pre-existing Tregs that are only marginally affected by TCR engagement in terms of FOXP3 mRNA expression, which is already high in resting Tregs. This marginal enhancement of FOXP3 expression in already existing, anergic Tregs is resistant to CsA expression, confirming previous studies, showing that anergic cells are impaired in Ca^{++} /NFAT mobilization^{293,294}. However, pre-activation shows a dramatic increase on the suppressive capacity of the $\text{CD4}^{+}\text{CD25}^{+}$ Treg cells. Pretreatment of the $\text{CD4}^{+}\text{CD25}^{+}$ cells with CsA had just a minor effect on the suppressive capacity and suggest that NFAT is not essential in the process of suppression. In fact, $\text{CD4}^{+}\text{CD25}^{+}$ T_{regs} have been shown to be anergic and hyporesponsive to TCR stimulation and unable to induce Ca^{++} signaling, that may explain that those cells are unable to further induce FOXP3 expression upon activation²⁹⁵.

Taken together our results indicate that the FOXP3 promoter is cell-specific and is active only in primary T cells. The identified basal promoter has similarities to immunological genes carrying elements including NFAT and AP-1, which are induced following TCR engagement. The reporter-constructs provide new tools to identify mechanisms underlying tolerance induction and potential therapeutic interventions.

Acknowledgements

We thank Prof G. Suske (University of Marburg, Germany) for providing us Sp1 and Sp3 antiserum. We thank Prof. A. Rao (Harvard Medical School, Boston, USA) for providing the NFATc2 construct.

Table I. Primer. Primers FoxP3 rev, 1657, -1210, -511, -307 and -211 introduced a restriction enzyme recognition site for KpnI or XhoI. The primers used for mutational analysis are also shown. The underlined letters denote mutated nucleotides.

Name	Sequence (5' → 3')	Purpose a
FOXP3 +176 fwd	AAC <u>TCGAGACCTT</u> ACCTGGCTGGAATCACG	Cloning
Fox-1657	AAGGTACCCTTGGCCACCAGATTTGTACC	Cloning
Fox-1210	AAGGTACCCTACCTCCGTTTCCCTCATCTG	Cloning

Fox -511	AAGGTACCTTCCCATCCACACATAGAGC	Cloning
Fox-307	AAGGTACCATACCTCTCACCTCTGTGGTG	Cloning
Fox -211	AAGGTACCAGTCTCATAATCAAGAAAAGG	Cloning
TATA -34	GCGTGGTTTTTCTTCTCGGT <u>CTCG</u> AAGCAAAGTTGTTTTGATACG	Mutation
GC Sp1 - 142	GAGAGAAAAAAAAAACTATGAGAACCTTTTCCCACCCCGTGATTATCAGCGC	Mutation
NFAT -328	CTATACACTTTTGTTTTAAAACTGTGGGAGCTCATGAGCCCTATTATCTCATTGATACC	Mutation
NFAT -490	CATAGAGCTTCAGATTCTCTTTCTTGGACCAGAGACCCTCAAATATCCTCTCAC	Mutation
AP-1 -476	TCATGAGCCCTATTATCTCCACGATACCTCTCACCTCTGTGG	Mutation
NFAT -383	GTTGGCCCTGTGATTTATTTTAGTTCTCGAGCCTTGTTTTTTTTTTTCAAACCTCTATACAC	Mutation
anchor primer	GGCCACGCGTCGACTAGTACGGGIIGGGIIGGGIIG	RACE
RACEFOXP3+987	CACCCGCACAAAGCACTTG	RACE
RACEFOXP3+521	GCTGCTCCAGAGACTGTACCATCT	RACE

2.2. GATA3 driven Th2 responses inhibit FOXP3 expression and the formation of regulatory T cells

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Summary

The origin of regulatory T cells (Tregs), controlling inflammatory responses against autoantigens or allergens is unknown. The present study describes a mechanism repressing peripheral Treg induction on the basis of the FOXP3 promoter analysis and challenges the idea of a shared developmental pathway with T helper type I (Th1) or Th2 lineages. Instead present data favor the concept of a distinct lineage whereby Tregs develop alternatively to Th1 or Th2 cells. We demonstrate that cytokines such as IL-4 and TGF- β present at the time of T cell priming of the uncommitted cells are decisive not only in differentiating T cells towards effector phenotypes, but also towards Tregs. Moreover, Th2-driving conditions that occur in allergic inflammation prevent the induction of Tregs by a GATA3-mediated inhibition of the FOXP3 promoter. Since IL-4 treatment in mice reduces Treg frequency, therapeutic approaches targeting IL4 and/or GATA3 might provide new preventive strategies facilitating tolerance induction.

Introduction

Effective immune responses are characterized by T cell activation, which directs adaptive and innate immune responses to efficiently kill pathogens. Dependent on the pathogen, T cells differentiate into different subtypes such as Th1 or Th2 cells, which are most efficient in defeating microbial or parasitic invaders respectively. The balance between Th1 and Th2 cells has been the starting point for therapeutic interventions ²⁹⁶. A hallmark of Th1 and Th2 differentiation pathways is the exclusiveness of the individual mechanisms. IL-12 mediated STAT4-phosphorylation ¹⁰² and T-bet expression are essential for Th1 differentiation ^{297,298}. In contrast, IL-4-induced STAT6 and GATA3 inhibit differentiation into Th1 cells in the early phase of commitment ^{85,101}. GATA3 is sufficient to induce Th2 phenotypes ²⁹⁹ and acts not only through induction of IL-4, IL-5 and IL-13, the Th2 cytokines, but also through inhibition of Th1 cell-specific factors ²⁹⁹. Recently it could be shown that T-bet directly modulates GATA3 function ³⁰⁰, suggesting that transcription factors compete in the early differentiation phase of T cells to finally imprint the T cell phenotype ³⁰¹. A GATA3 dominated immune response has been shown to be essential for airway hyperresponsiveness ³⁰² and can break antigen-specific immune tolerance ³⁰³. Overexpression of a dominant negative form of GATA3 ³⁰⁴ or treatment with antisense-mediated GATA3 blockade ³⁰⁵ decreased the severity of the allergic airway hyperresponsiveness.

The discovery of Tregs highlights another phenotype of T cells, which is essential for tolerance against autoantigens. However its integration in lineage development is not fully clear. Naturally-occurring Tregs (nTregs) are generated in the thymus and are assumed to protect against the activity of autoreactive T cells in the periphery. These cells express the forkhead transcription factor FOXP3 and constitutively express CD25 on their surface, but lack cytokine expression, which would set them in proximity of Th1 or Th2 lineages. Particularly interesting are T_{regs}, which are generated in the periphery and thus are potential targets for therapeutic intervention. These induced Tregs (iTregs) were reported to express FOXP3, however expression may be transient ³⁰⁶. The exact circumstances of iT_{reg} generation are unclear, but TGF- β has been demonstrated to be important for the induction of these cells *in vitro* and *in vivo*, since animals lacking the TGF- β RII on T cells are deficient in

peripherally-iT_{regs} and suffer from a T cell dependent multiorgan inflammatory disease^{64,191,210,307,233}. Although the effect of TGF- β on Treg induction is well documented, its molecular targets remain to be identified.

Two different scenarios of Treg induction can be hypothesized: one, in which Tregs can be induced in already committed effector cells, which provides a scenario of an inherent shutdown mechanism of T cell activation. The other suggests that Tregs differentiate from naïve T cells as a separate lineage in a similar fashion as known for Th1 or Th2 commitment, a scenario providing a suppressive memory population. The current study provides evidence for the second model and focusses on GATA3 and FOXP3 which may play a similar role in Treg commitment as T-bet and GATA3 for Th1 and Th2 differentiation respectively. This assumption is based on the observation that TCR activation is necessary to generate Tregs as well as the FOXP3 gene and that high and stable FOXP3 expression is sufficient to generate a regulatory phenotype²⁴⁰⁻²⁴². We show that GATA3 excludes FOXP3 expression and that IL-4, and thus Th2 cells inhibits FOXP3 expression both *in vitro* and *in vivo*. We demonstrated that GATA3 directly binds to the FOXP3 promoter and thereby inhibits the induction of this gene, supporting a concept of a separate cell lineage for T_{reg} commitment.

Results

Exclusive commitment of Tregs

To investigate whether FOXP3 can be expressed by any T cell subset or is restricted to a distinct lineage, FOXP3 mRNA expression was analyzed in freshly isolated T cell such as CD25-depleted CD4⁺ cells, CD45RA⁺ naïve or CD45RO⁺ memory T cells as well as T cells driven *in vitro* towards Th1, Th2 or iT_{reg} cells (Figure 14A). The CD4⁺CD25⁻, CD45RA⁺, CD45RO⁺ and CD4⁺CD45RO⁺CD25⁻ were able to significantly induce FOXP3 mRNA up to 40 - 50-fold upon TCR activation and addition of TGF-β. Th1 cells showed only a 10-fold increase. In contrast, Th2 cells stimulated under the same conditions did not increase FOXP3 expression. The *in vitro* iTregs were unable to further upregulate FOXP3, which was already high under the resting conditions (Figure 14A).

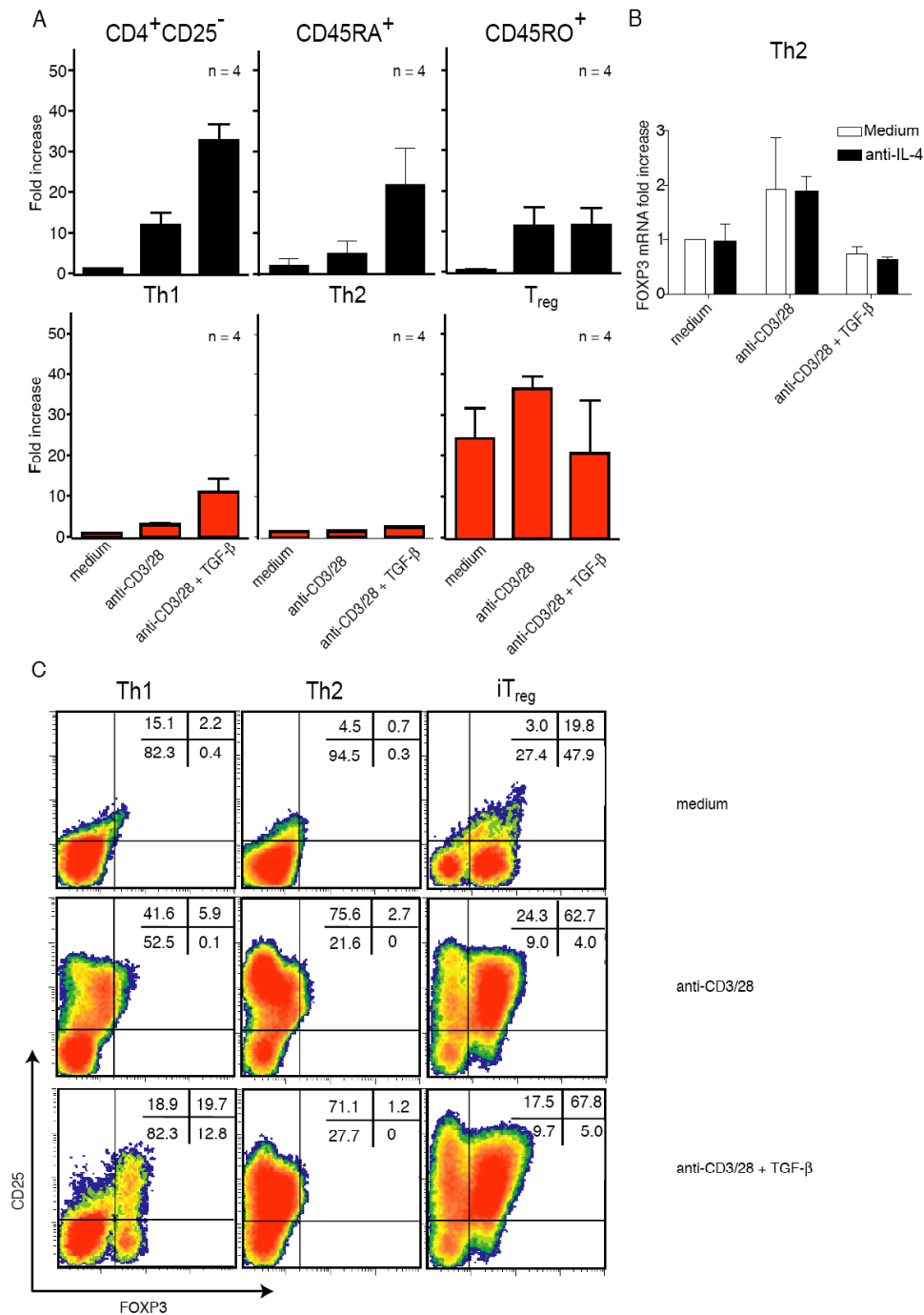


Figure 14. Th2 cells cannot induce FOXP3 expression

(A) Human T cells were activated with plate-bound anti-CD3/CD28 with or without TGF- β . Cells were harvested after 5 days and FOXP3 mRNA was quantified by real-time PCR. Bars show the mean \pm SD of 4 independent experiments.

(B) Th2 cells were activated with anti-CD3/CD28, TGF- β or anti-IL-4 as indicated. Bars show the mean \pm SD of 3 independent experiments.

(C) FACS analysis of intracellular FOXP3 expression of Th1, Th2 or iT_{reg} differentiated (2 rounds) cells in activated or resting conditions in the presence of TGF- β . FOXP3 expression was measured after five days in culture. The dot blots are representative of three independent experiments.

Th2 cells are known to produce IL-4 upon activation, which may interact with TGF- β signaling and thus prevent FOXP3 induction. However, the neutralization of IL-4 with a blocking IL-4 antibody, did not rescue FOXP3 expression in the differentiated Th2 cells. These data demonstrated that Th2 have a limited capacity to express FOXP3 (Figure 14B).

The inability of Th2 cells to express FOXP3 was also documented at the single cell level, revealing that Th2 cells lack FOXP3 expression under any condition, confirming the mRNA analysis (Figure 14C). Only iTregs expressed FOXP3 in resting conditions. Interestingly, we observed that resting iTregs express FOXP3, but show low CD25 surface expression. Repeated exposure to TGF- β did not further increase the FOXP3 expression in the iTreg lineage, but induced transiently FOXP3 expression in Th1 cells.

Taken together these results indicate that FOXP3 can be induced in naïve T cells, but not in committed Th2 cells, which may lack factors necessary for FOXP3 expression or have an inhibitory mechanism that affect their ability to express FOXP3.

FOXP3 and GATA3 kinetic in differentiating cells

The limited capacity of differentiated effector cells to induce FOXP3 expression, suggested that Treg induction has to occur before differentiation. Following initiation of the differentiation process FOXP3 and GATA3 show a similar activation kinetic within the first three days, which are considered to be critical in commitment²⁷⁵. Under Th2 differentiation conditions FOXP3 expression increased marginally during the first hours and then decreased to background levels (Figure 15A). The Th0 and Th1 cells expressed a higher level than the Th2, but lower than the iTregs (data not shown). Thus, although GATA3 and FOXP3 show similar kinetics, their expression is mutually exclusive.

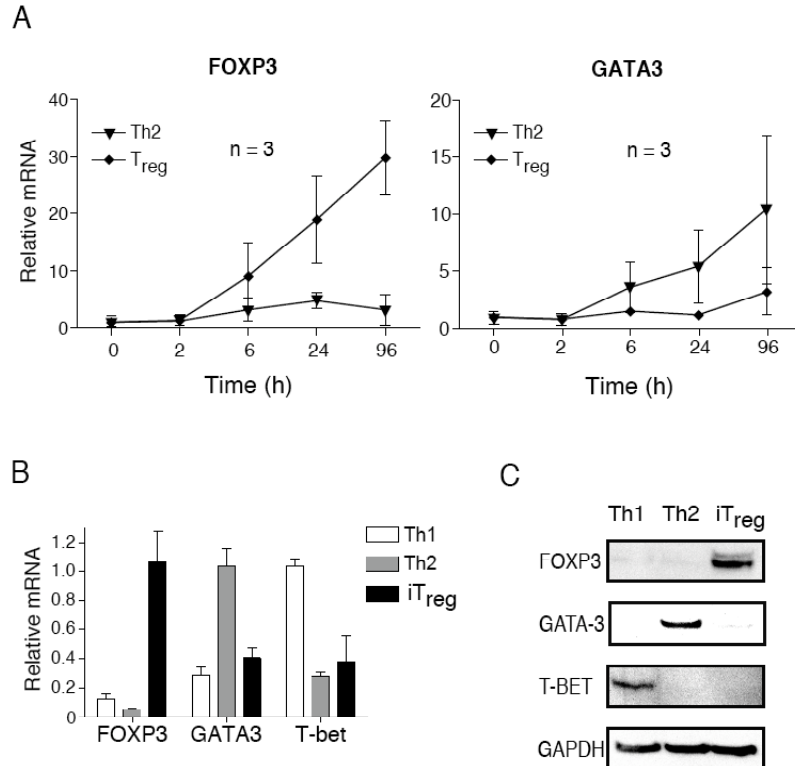


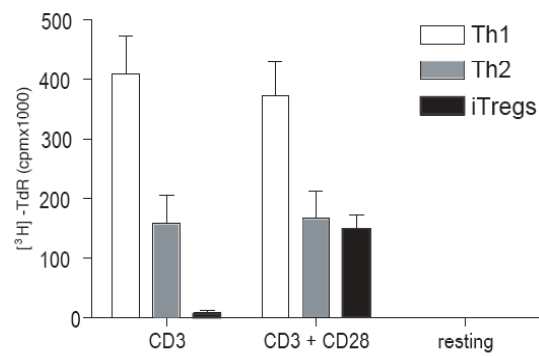
Figure 15: FOXP3 induction during the differentiation process.

(A) CD4⁺CD45RA were activated with plate-bound anti-CD3/CD28 in the presence of TGF- β (5 ng/ml) or IL-4 (25 ng/ml). The cells were harvested at different time-points and mRNA was quantified by real-time PCR for FOXP3 and GATA3 expression. Bars show the mean \pm SD of 3 independent experiments.

(B) and (C) *in vitro* differentiated Th1, Th2 or iTregs were restimulated with plate-bound anti-CD3/CD28. Cells were harvested after 3 days for real-time PCR (B) or western blot analysis (C) of FOXP3, GATA3 and T-bet; GAPDH served as internal control. Data are representative of three independent experiments.

The selective expression of FOXP3 was even more apparent three days after restimulation of the cell lineages, where FOXP3 mRNA and protein were exclusively detected in Tregs (Figure 15B and C). These cells displayed phenotype comparable to nTregs, including an anergic phenotype upon anti-CD3 re-stimulation, CD103, CTLA-4, GITR and PD-1 surface expression (Figure 16A and B). The iTregs neither produced the Th1 cytokines IFN- γ nor the Th2 cytokines IL-4 or IL-13, upon activation with PMA and ionomycin, as determined by FACS (Figure 17) and ELISA (data not shown).

A



B

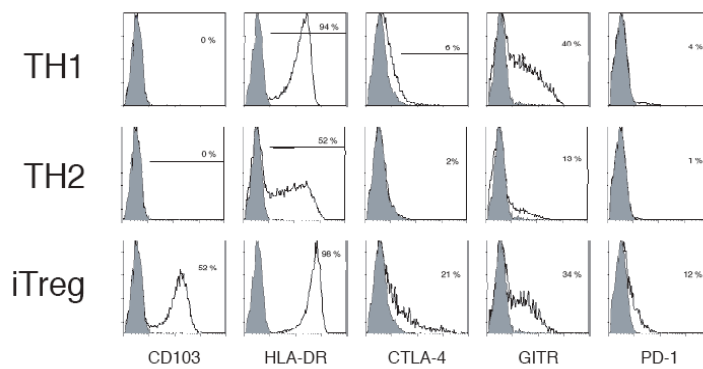


Figure 16: iT_{regs} have a different phenotype than the Th1 and Th2.

(A) *in vitro* differentiated Th1, Th2 or iTregs were stimulated as indicated and proliferation was measured after 3 days by thymidine incorporation overnight. Bars show the mean \pm SD of 3 independent experiments.

(B) Surface markers were measured by flow cytometry. The data are representative of three independent experiments.

These data demonstrated that FOXP3 and thus Tregs are in fact co-evolving with Th1 and Th2 cells as a separate lineage.

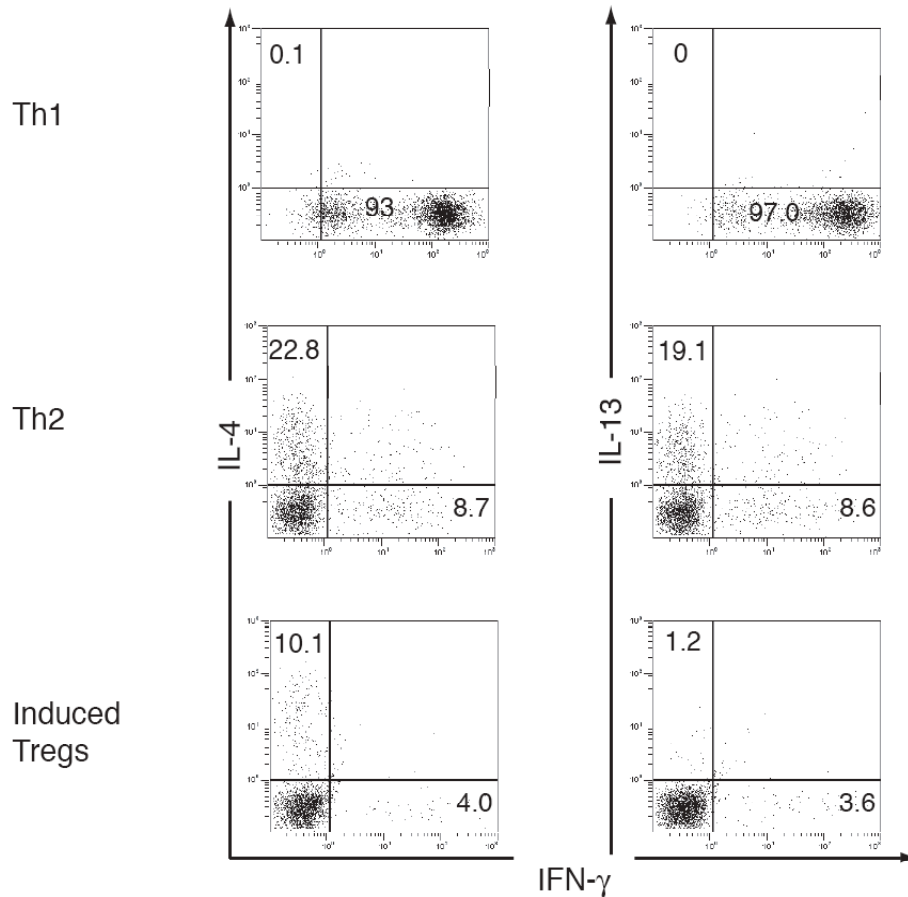


Figure 17: IL-4, IFN- γ and IL-13 cytokine production by Th1, Th2 and iTregs.

Differentiated T cells were stimulated with PMA and ionomycin during 4 hours and subjected to intracellular staining by FACS. The data are representative of three independent experiments.

IL-4 inhibits TGF- β -mediated T_{reg} commitment

To characterize the molecular nature of T_{reg} commitment, we investigated GATA3 and FOXP3 expression in the presence of IL-4 and TGF- β in the early differentiation phase of T cells into Th2 and T_{reg} conditions. Human CD4⁺CD45RA⁺ T cells were activated with plate-bound anti-CD3/CD28 in the presence of TGF- β and/or IL-4 and harvested after 5 days. IL-4 efficiently repressed the TGF- β -mediated induction of FOXP3 expression (Figure 18A) in a dose-dependent manner (Figure 18B). Of note, GATA3 was also induced in the presence of TGF- β at high IL-4-concentration (Figure 18A and B). These IL-4-treated cells (Figure 18A) were not able to suppress proliferation of autologous target T cells in an *in vitro* suppression assay (data not shown). The IL-4-mediated prevention of FOXP3 expression was not caused by interferences of the receptor signalling, since the phosphorylation of SMAD2 or

STAT6 was not affected by the addition of IL-4 and/or TGF- β , demonstrating that IL-4 as well as TGF- β signalling were functional under these conditions (Figure 18C). Furthermore IL-4 was inhibiting TGF- β -mediated generation of FOXP3⁺ T cells, as shown by FACS analysis (Figure 18D).

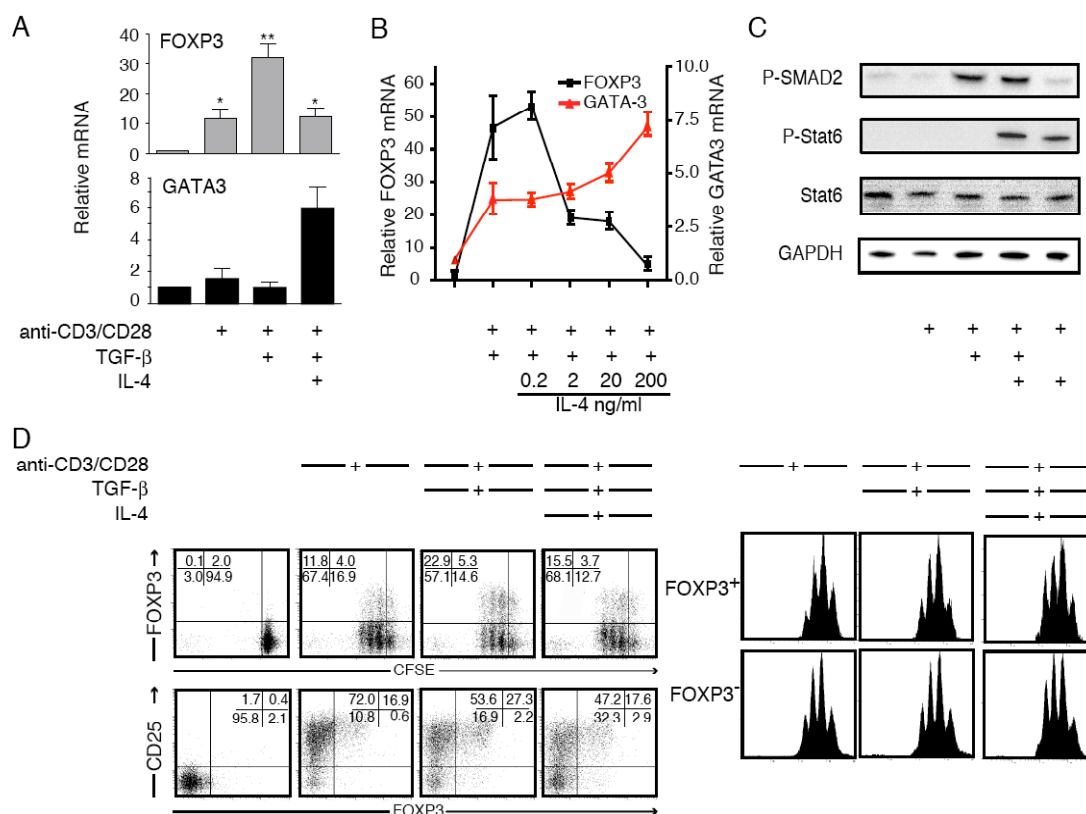


Figure 18: IL-4 inhibits TGF- β mediated Treg commitment.

(A) CD4⁺CD45RA⁺ were activated with plate-bound anti-CD3/CD28 during five days in presence of TGF- β (10 ng/ml) and with or without IL-4 (100 ng/ml). The cells were harvested and mRNA was quantified by real-time PCR for FOXP3 and GATA3 expression. Bars show the mean \pm SD of 6 independent experiments. Statistical analysis was performed using the Dunnett test. Statistical significance is indicated by asterisks (* = $p \leq 0.05$, ** = $p \leq 0.01$).

(B) CD4⁺CD45RA⁺ cells were activated in the presence of a constant concentration of TGF- β (5 ng/ml) with an increasing concentration of IL-4, as indicated. Cells were harvested after five days for mRNA quantitation.

(C) CD4⁺CD45RA⁺ cells were stimulated in vitro with plate-bound anti-CD3/CD28, TGF- β (10ng/ml) and IL-4 (100 ng/ml) as indicated. After 1 h, cell lysates were prepared and analyzed by western blot for phosphorylated SMAD2 and STAT6. Total STAT6 and GAPDH served as internal control.

(D) CFSE-labeled CD4⁺CD45RA⁺ were activated with plate-bound anti-CD3/CD28, TGF- β and IL-4, as indicated. After 5 days, cells were analyzed by flow cytometry. CFSE profiles, surface CD25 and intracellular FOXP3 expression are shown on the left panels. On the right comparison of CFSE profiles for FOXP3⁺ and FOXP3⁻ cells is shown for the conditions as described. Data are representative of four independent experiments.

It is known that IL-4 is a potent growth factor and may therefore favor the proliferation of (FOXP3⁻) effector cells and thus decrease the relative percentage of FOXP3⁺ cells. However, analysis of cell division kinetics by CFSE labelling demonstrated that IL-4 did not differentially promote cell growth of FOXP3⁺ and FOXP3⁻. In fact both populations showed similarly enhanced proliferation and more cells were able to reach three cycles of division (Figure 18D, right panel). Furthermore the TGF- β -mediated induction of FOXP3 expression was not caused by overgrowth of a CD25⁺FOXP3⁺ minority, since the number of FOXP3⁺ cells was low/absent in the purified CD4⁺CD45RA⁺ T cells (between 0 and 1 %) and the FOXP3⁺ cells were not confined to the highly divided cells. The FOXP3⁺ T cells were generated from FOXP3⁻ T cells, since level of non-dividing FOXP3⁺ cells increased, revealing FOXP3⁺ induction out of FOXP3⁻ cells (Figure 18D, left panel). CD25 was downregulated in TGF- β -treated cells compared to activated T cells, which was even more pronounced in cells cultured with TGF- β and IL-4. The FOXP3⁺ T cells are shown to be CD25⁺ at this early stage of differentiation (Figure 18D left panel). These results show that IL-4 acts *in vitro* as an inhibitor of FOXP3 expression, without interfering with TGF- β signaling, probably acting at the level of transcription factors.

GATA3 is a negative regulator of FOXP3 expression

The data presented above showed that IL-4 potently represses T_{reg} commitment without affecting TGF- β signaling. GATA3 was previously shown to repress Th1 commitment by inhibiting STAT4 expression^{101,102} and therefore to prevent differentiation into Th1 cells. Thus we hypothesized a potential role for GATA3 in repressing FOXP3 and therefore preventing the commitment to T_{regs}. To see whether GATA3 can directly inhibit FOXP3 induction, we overexpressed GATA3 in human primary CD4⁺CD45RA⁺ T cell. After transfection, the cells were activated with soluble anti-CD3/CD28 in the presence or absence of TGF- β . GATA3-transfected cells showed a lower FOXP3 mRNA level when treated with TGF- β compared to the cells transfected with the control vector (Figure 19A, left panel). Successful GATA3 expression was controlled by western blot analysis (Figure 19A, right panel). This result revealed that GATA3 directly inhibits the *Foxp3* gene. Since transient overexpression may be limited by time and transfection efficiency, we analyzed the

inhibitory effect of GATA3 on FOXP3 in transgenic DO11.10 mice, overexpressing GATA3 under the control of the human CD2 locus control region (DO11.10xCD2-GATA3). This model provides OVA-specific CD4⁺ T cells expressing GATA3 constitutively. The frequency of peripheral natural occurring T regs (CD4⁺CD25⁺Foxp3⁺) in these mice was slightly decreased compared to DO11.10 littermate control mice (Figure 20). Furthermore thymic selection into the CD4 lineage is largely intact in DO11.10 x CD2-GATA3 (R.W.Hendriks, unpublished data). These mice develop thymic lymphomas at older age, but signs of autoimmune disease were not described ³⁰⁸. To investigate the effect of GATA3 on iT_{regs}, CD4⁺CD62L⁺CD25⁻ cells were isolated, activated with OVA in the presence or absence of TGF- β and Foxp3 expression was analyzed after four days. The naïve CD4⁺CD25⁻ cells were Foxp3⁻ (data not shown) and activation with the OVA antigen did only marginally induce Foxp3⁺ cells. As described for the human cells, TGF- β dramatically upregulated Foxp3 in the DO11.10 littermate control mice. In contrast, cells from the CD2-GATA3xDO11.10 mice were almost unable to upregulate Foxp3, when activated with TGF- β and OVA (Figure 4B). All mice produced similar amounts of TGF- β and Smad7 was equally expressed ³⁰⁹ in T cells of both mice strains (Figure 19C), indicating intact TGF- β signaling. Taken together these results demonstrated a repressive role of IL-4-induced GATA3 transcription factor in the generation of iTregs.

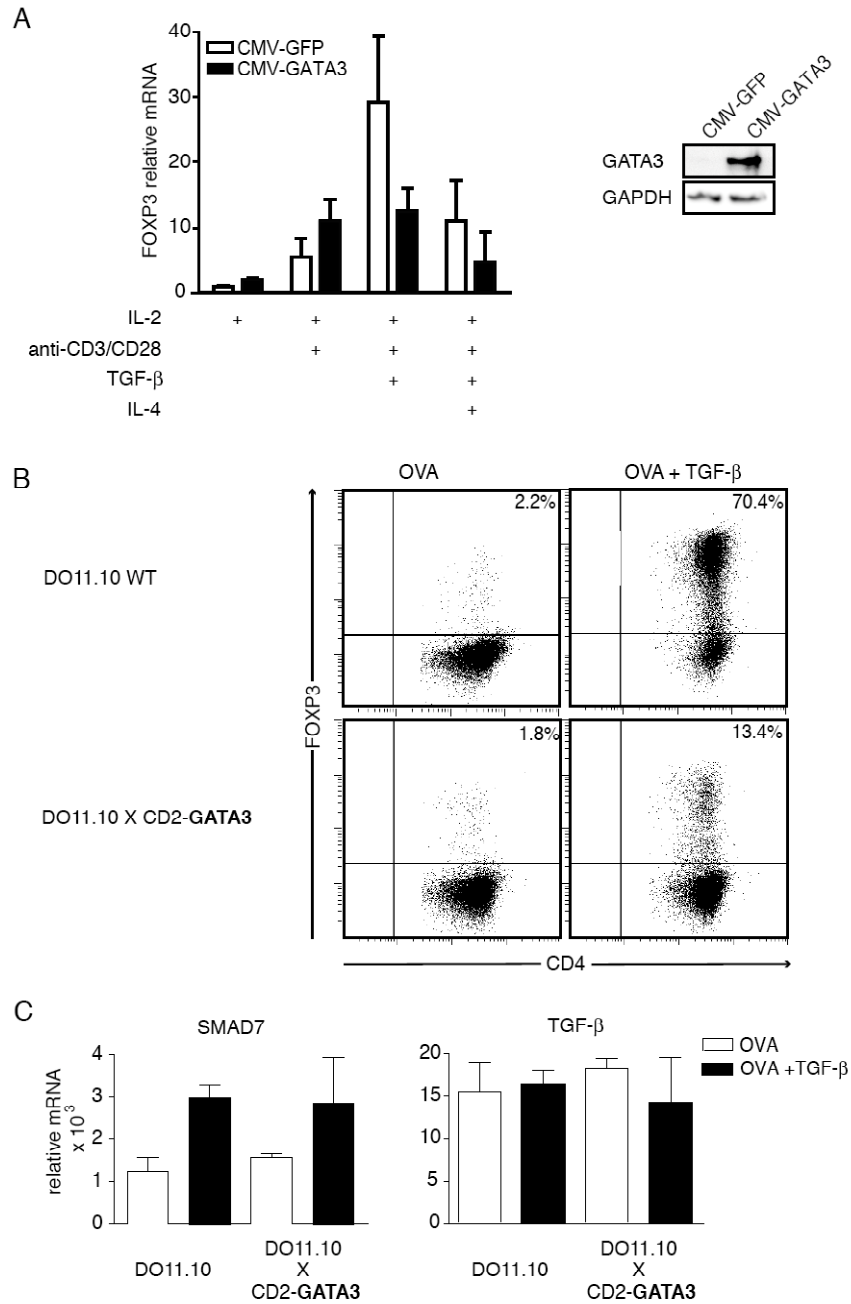


Figure 19: GATA3 acts as a negative regulator of FOXP3 expression.

(A) human CD4⁺CD25⁻ cells were transfected with a GATA3 or GFP (as negative control) expression construct and treated with anti-CD3/CD28, and TGF- β , as indicated. The mRNA was analyzed after 3 days by real-time PCR.

(B) CD4⁺CD25⁻ cells were isolated from DO11.10 and DO11.10xCD2-GATA3 mice and treated with OVA and TGF- β for 96 h. Surface CD4 and intracellular Foxp3 were measured by FACS. These data are representative of 3 different experiments.

(C) The cells treated as in (B) were harvested and mRNA was quantified by real-time PCR for SMAD7 and TGF- β expression. Bars show the mean \pm SD of 3 independent experiments.

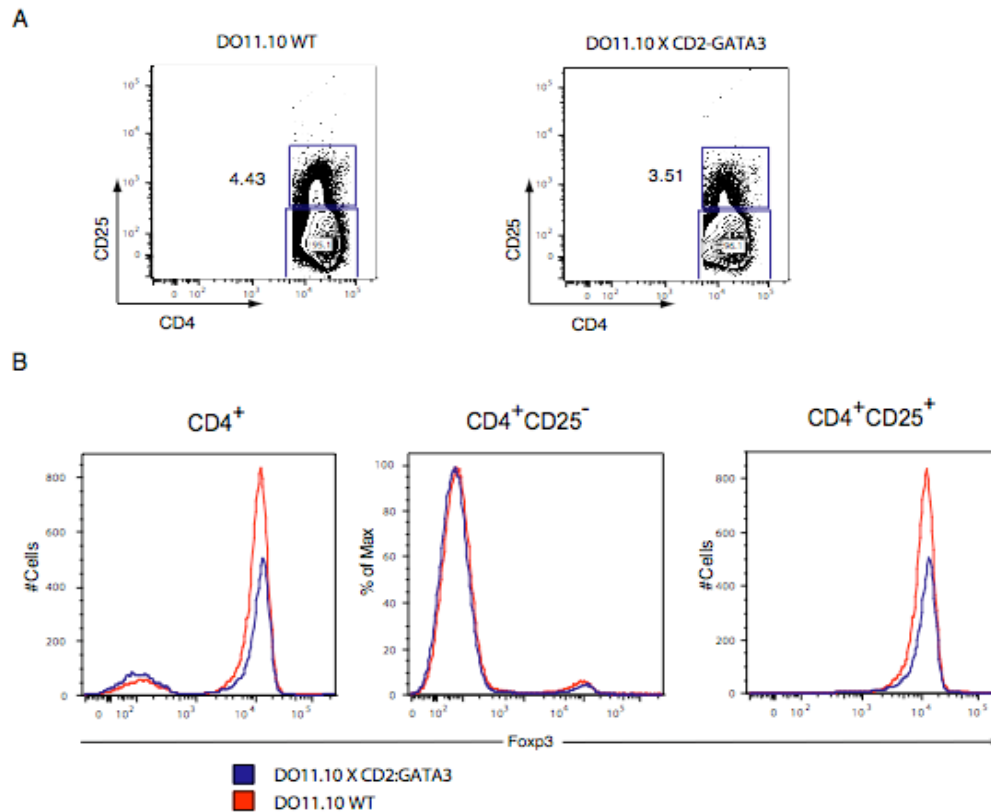


Figure 20: CD2-GATA3xDO11.10 CD4⁺Tcells do have Foxp3⁺ T cells.

(A) CD4⁺ T cells from CD2-GATA3xDO11.10 or DO11.10 WT mice were isolated from pooled lymph nodes and spleens and analyzed by FACS for CD25⁺ and CD4⁺ cells
(B) CD4⁺CD25⁻ and CD4⁺CD25⁺ from CD2-GATA3xDO11.10 or DO11.10 WT were analyzed for Foxp3 expression. The CD2-GATA3xDO11.10 show a reduced number of FOXP3⁺ cells in the CD4⁺CD25⁺ population. The data are representative of three independent experiments.

GATA3 directly binds to and represses the FOXP3 promoter

To investigate the molecular mechanism of GATA3 mediated repression of FOXP3, the human FOXP3 promoter was studied and a palindromic binding site for GATA3 was discovered. The GATA-binding site is located -400 bp upstream from the transcription start site, in a region which has already been described as important for the regulation of FOXP3 expression³¹⁰. This site is highly conserved between human, mice and rat (Figure 21) and may therefore play an important role in FOXP3 regulation. The functional relevance of this site was studied using an established FOXP3-promoter construct³¹⁰. We transfected human primary CD4⁺ T cells and Jurkat cells, the latter constitutively expressing GATA3^{311,312} and measured FOXP3 promoter activity. The promoter was not active in the GATA3-expressing cell line Jurkat, whereas the construct was active in the CD4 cells, which express a lower amount of GATA3 (Figure 22A). A site-specific mutation abolishing the GATA3-

binding site of the human FOXP3 promoter increased luciferase activity by 2.5-fold in CD4⁺ T cells, revealing a repressor activity of GATA3 on the FOXP3 promoter (Figure 22B). Overexpression of GATA3 diminished luciferase activity of the FOXP3 promoter compared to the control vector (pcDNA3; Figure 22C).

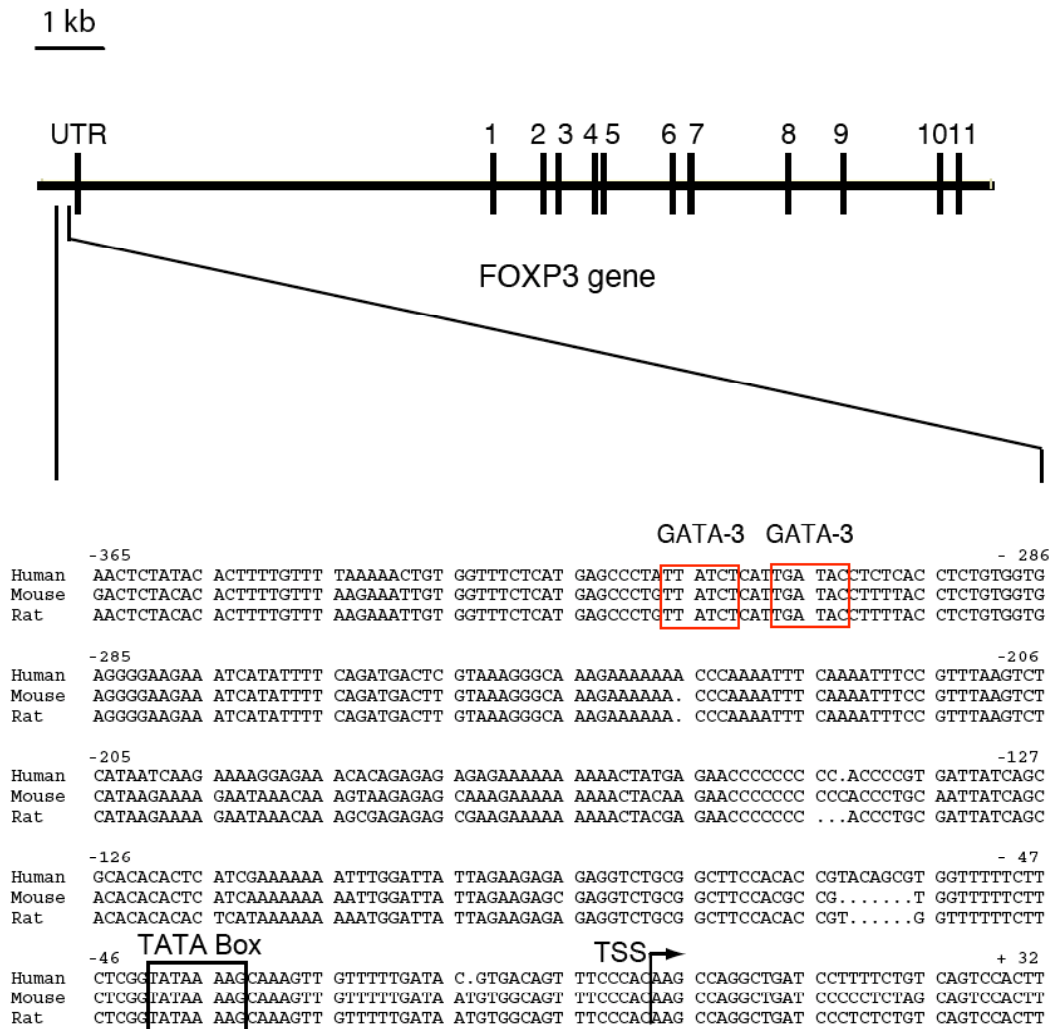


Figure 21: Localisation of the human FOXP3 promoter in a highly conserved region of the FOXP3 gene.

Structure of the FOXP3 gene and sequence conservation of the human (top: GenBank accession number AF235097), mouse (middle: accession number AF277994) and rat (bottom: GenBank accession number NW_048035) are shown. The transcription start site (TSS) is indicated by an arrow. GATA3-binding sites are indicated by a box.

The ability of GATA3 to physically interact with the FOXP3 promoter was further investigated. Cells which normally do not express GATA3 (HEK) were transiently transfected with GATA3- or control-genes and increasing amounts of lysates were incubated with oligonucleotides containing the GATA3-site of the FOXP3 promoter

or a control oligonucleotide with a mutated GATA3 binding site. These oligonucleotides were precipitated and GATA3-specifically detected by western blot. Similarly, GATA3-expressing Th2 cells and iTregs were subjected to this approach. Only HEK cells overexpressing GATA3 (Figure 22D) and Th2 cells (Figure 22E) showed GATA3-binding activity. This experiment proves that GATA3 can bind the FOXP3 promoter, but leaves open, whether this binding activity also occurs in an intact T cell. Therefore we performed a chromatin coimmunoprecipitation (ChIP) using a GATA3-specific antibody to precipitate chromatin of Th2 cells and iTregs. In line with the previous experiments, we could detect GATA3 binding to the FOXP3 promoter in Th2 cells, but not in the iTregs (Figure 22F).

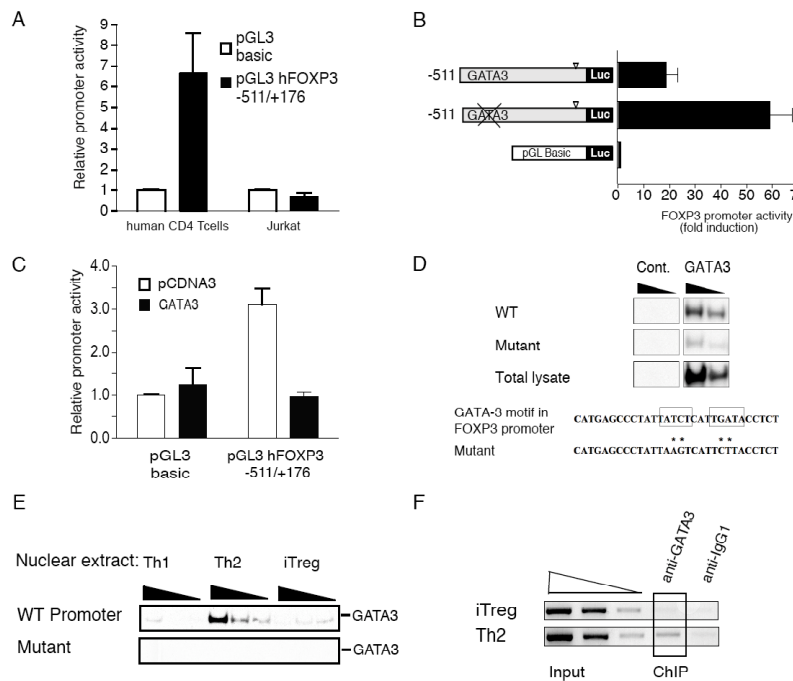


Figure 22: GATA3 binds to and represses the human FOXP3 promoter.

(A) Jurkat and human primary CD4 cells were transfected with an empty vector (pGL3 basic) or vector containing the putative FOXP3 promoter region. Bars show the mean \pm SD of arbitrary light units normalized for renilla luciferase of 4 independent experiments; samples were measured as triplicates.

(B) CD4 cells were transfected with wild-type or a GATA3 mutated FOXP3 promoter reporter construct. Bars show the mean \pm SD of 3 independent experiments.

(C) Overexpression of GATA3 in CD4 cells with the 511 FOXP3 promoter construct decreases the luciferase activity of the FOXP3 promoter. Results shown are the mean \pm S.D. of 3 different experiments performed in triplicate.

(D) Nuclear extracts were prepared from HEK cells transfected with GATA3 or an empty vector. Biotinylated oligonucleotides were absorbed by streptavidin agarose beads and then incubated with nuclear extracts. The amounts of GATA3 protein in the precipitates were assessed by immunoblotting with anti-GATA3 mAb. Total nuclear extracts were also run as controls. These data are representative of 3 different experiments.

(E) Nuclear extracts from Th1, Th2 and iTregs were collected and tested for GATA3 binding activity as in (D). This experiment is representative of 3 experiments. (F) Th2 and iTregs were analyzed by ChIP for GATA3 binding to the FOXP3 promoter. Shown is the PCR for the FOXP3 gene after reversing the cross-linking. The “input” represents PCR amplification of the total sample, which was not subjected to any precipitation. Results are representative of three independent experiments.

Taken together these data demonstrated that GATA3 directly binds the FOXP3 promoter and inhibits its activity.

IL-4 does not affect FOXP3 expression of existing, terminally differentiated T_{reg} s.

The dramatic effects of GATA3 in preventing Treg commitment may also alter the FOXP3 expression and suppressive function of already existing, terminally differentiated Tregs. Accordingly terminally-differentiated iTregs as well as natural CD4⁺CD25^{high} Tregs were activated and treated with IL-4. In contrast to the potent effect of IL-4 on differentiating cells, IL-4 was not able to decrease FOXP3 mRNA expression in either the natural (Figure 23A) or on existing iTregs (Figure 23B). Furthermore pre-activation of natural Tregs with anti-CD3/CD28 and IL-4 did not diminish the suppressive capacity of these cells (Figure 23C). These results reveal that GATA3 inhibits Tregs primarily during the differentiation process.

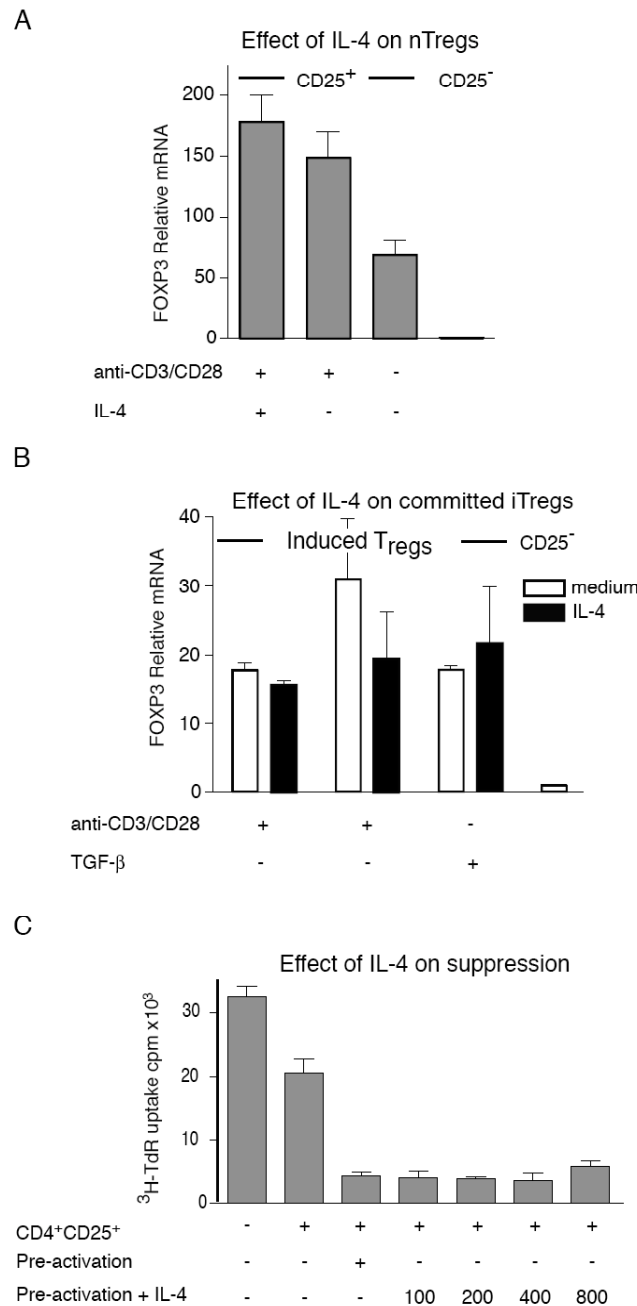


Figure 23: IL-4 does not revert T_{reg} phenotype in vitro.

(A-G) CD4⁺CD25^{high} cells were FACS-sorted and activated with plate-bound anti-CD3/CD28 plus IL-2 during 3 days and in the presence or absence of IL-4 (100 ng/ml) and harvested for real-time PCR analysis. The results shown represent the mean \pm S.D. of three independent experiments.

(B) T_{regs} were induced in vitro and treated as described in (A).

(C) Activation dramatically increases CD4⁺CD25⁺ Tregs suppressive capacity of CD4⁺CD25⁺ Tregs. CD4⁺CD25⁺ T_{regs} were preactivated during 2 days in the presence or absence of an increasing IL-4 concentration. After vigorous washing, their suppressive capacity on responder CD4⁺CD25⁻ was tested. IL-4 pretreatment did not affect the suppressive capacity of FACS-sorted CD4⁺CD25^{high} cells. 1x10⁴ CD4⁺CD25⁺ T_{regs} were added to 5x10⁴ CD4⁺CD25⁻ and 5x10⁴ irradiated PBMCs. The results are representative of three independent experiments.

Effect of IL-4 on T_{regs} in vivo

To verify the striking effect of IL-4 on T_{reg} commitment *in vivo*, we injected IL-4 into normal wild-type B6 mice. We used complexes of recombinant mouse IL-4 (rmIL-4) plus anti-IL-4 monoclonal antibodies (mAb), which have been shown to dramatically increase the potency of the cytokine *in vivo*³¹³. Mice injected with rmIL-4 or anti-IL-4 mAb alone did not show significant changes in the frequency of CD4⁺CD25⁺ cells (Figure 24A-C, E). In contrast the percentage of CD4⁺CD25⁺ T cell dramatically decreased, when the cytokine antibody complexes were injected (Figure 24D, F). Preliminary data confirmed that the decrease of CD25⁺ T cells reflected a decrease in Foxp3⁺ T cells, as measured by Foxp3 intracellular staining. This effect was specific for IL-4 and not for the mAb, since another anti-IL-4 mAb also decreased the T_{reg} frequency only in combination with IL-4 (Figure 24 E, F). Upon administration of rmIL-4 plus anti-IL-4 mAb complexes, the total number of CD4⁺CD25⁺ T cell diminished by half (Figure 24G), confirming that the lower percentage was not due to an increase in the CD4⁺CD25⁻ cells, but a real decrease of CD4⁺CD25⁺ cells. In conclusion IL-4 negatively regulates the Treg turnover not only *in vitro* but also *in vivo*.

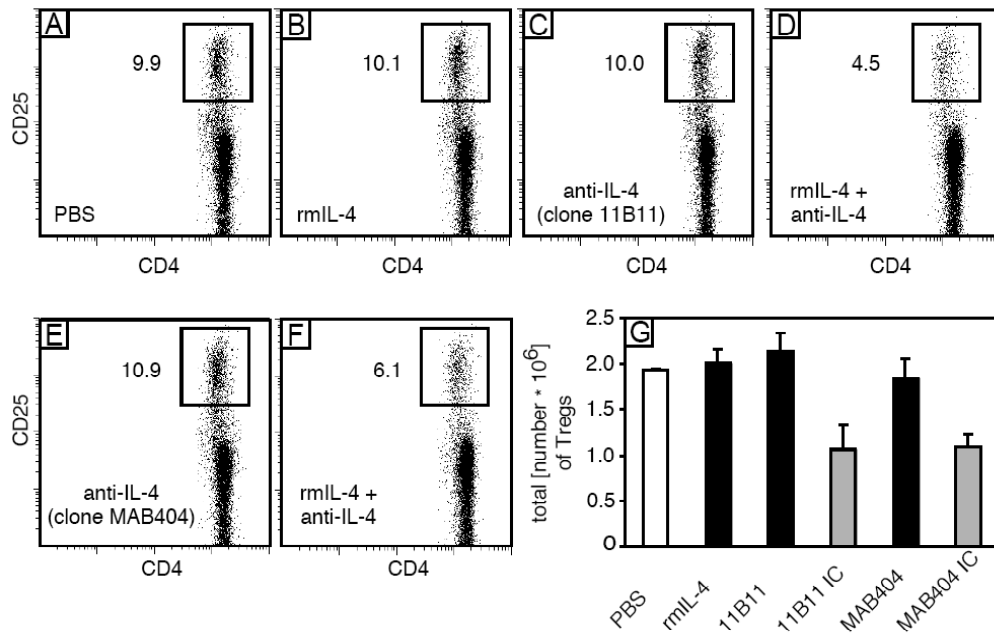


Figure 24: In vivo treatment of mice with IL4 antibody-cytokine complexes.

(A-G) Normal B6 mice were given every other day ip injections of phosphate-buffered saline (PBS), recombinant mouse IL-4 (rmIL-4), anti-IL-4 monoclonal antibody (anti-IL-4 mAb, 11B11 or MAB404), or a mixture of rmIL-4 plus anti-IL-4 mAb (11B11 or MAB404). Mice were analyzed on day 7 by flow cytometry for CD3, CD4 and CD25 expression.

(A-F) Shown is CD25 versus CD4 expression in CD3+ CD4+ spleen cells. Numbers indicate percentages of CD4+ CD25high CD3+ cells.

(G) Total cell counts of CD4+ CD25high cells in spleen from mice in (A-F) are shown as mean ± SD. The data are representative of three independent experiments.

Discussion

The current study reveals that FOXP3-mediated T_{reg} commitment is inhibited by GATA3, which is the key regulator for polarization towards Th2 cells. After differentiation the effector cells become refractory to conversion into a regulatory phenotype and particularly Th2 cells were unable to upregulate FOXP3. In accordance with other studies, we found that CD4⁺CD25⁻ were able to upregulate FOXP3^{63,306}. Already committed cells such as memory T cells and Th1 cells showed only moderate and transient FOXP3 induction. Particularly Th2 cells were lacking FOXP3 under all circumstances, which was not caused by endogenous production of IL-4, since IL-4 neutralization did not restore FOXP3 expression. Predominantly naïve T cells could efficiently upregulate FOXP3, suggesting, that FOXP3 plays an important role in the early differentiation process and may act in a similar way as it is known for GATA3 and T-bet, which are essential for commitment of naïve T cells towards Th2 and Th1 respectively. This commitment is characterized by competitive and exclusive expression of these factors^{100,314}, which we also observed during the differentiation of FOXP3⁺ iT_{regs}, lacking GATA3 or T-bet expression. In this competitive process TGF-β appeared to be mandatory for the induction of FOXP3, possibly by keeping the expression of GATA3 and T-bet low^{315,316}. In contrast, differentiating naïve T cells under neutral, “Th0” conditions showed only a transient FOXP3 expression and failed to generate a stable population of FOXP3 expressing cells, but GATA3 and T-bet were upregulated (data not shown). Interestingly, as we and other previously described, FOXP3-inducing factors, such as dexamethasone²⁶², CTLA-4³¹⁷ and estrogens²⁶³, are also known as inhibitor of GATA3 expression³¹⁸⁻³²¹. As a result of the differentiation process iT_{regs} evolve as a separate lineage, characterized by its suppressive function and a distinct cytokine and surface receptor profile relative to Th1/Th2 cells.

The successful polarization of naïve T cells towards a Th1 or Th2 immune response is balanced by cytokines. In this context we demonstrated that IL-4 was able to inhibit stable FOXP3 induction mediated by TGF-β and therefore prevented the conversion into the regulatory phenotype. IL-4 has already been shown to negatively regulate the development of naïve T cells into Th1 or the recently-described IL-17 producing T cells (Th17) T cells (Harrington et al., 2005; Pace et al., 2005). Similar effect has

been recently described for IL-6, which inhibits combined with TGF- β the generation of iTregs and induced the differentiation into the Th17 cells by an unknown mechanism^{323,324}. We hypothesized that the IL-4-dependent mechanism could be mediated by GATA3 and demonstrated that GATA3-inducing IL-4 concentrations were repressing TGF- β -mediated FOXP3 expression, while IL-4 as well as TGF- β signaling were intact. This result suggested a competitive mechanism between GATA3 and FOXP3 transcription factors in determining lineage commitment during the early phase of differentiation. Accordingly we investigated whether GATA3 overexpression affected FOXP3 induction and found that GATA3 overexpressing naïve human T cells were characterized by a reduced capacity to express FOXP3. This inhibitory effect of GATA3 was further confirmed in transgenic mice, expressing GATA3 in T cells (DO11.10:CD2GATA3 transgenic mice). In line with the transient overexpression of GATA3 in human T cells, cells of these mice failed to induce FOXP3 expression upon exposure with antigen in the presence of TGF- β . Strikingly, the DO11.10 CD2GATA3 mice do have peripheral FOXP3⁺ cells, which however displayed a 10 -25% lower frequency compared to WT DO11.10 mice. Thus GATA3 restrains the development of certain T_{regs} subsets, presumably the inducible, peripheral population and not those of thymic origin. Thymic T cells are undergoing a different maturation process, which may explain the insensitivity of nTreg to GATA3 overexpression²⁰⁸. Preliminary results indicate that the repressor of GATA3 (ROG) may play an important role in nTregs, as it has been shown for differentiation into CD8 cells in the thymus^{142,325,326}. Interestingly mice lacking GATA3 develop spontaneously into Th1 cells, however it is currently not known, how iT_{regs} develop in these animals (Zhu et al., 2004).

The current study demonstrated that GATA3 represses FOXP3 expression directly by binding to the FOXP3 promoter region. The palindromic GATA-site is located 303 bp upstream of the transcription start site (TSS) in a highly conserved region, which we have previously identified as the FOXP3 promoter³¹⁰. Site-specific mutation of this site increased the activity of promoter constructs, thus revealing the repressive nature of this GATA element. This palindromic GATA element binds GATA3 protein as proven by pull-down experiments. Furthermore, it is shown by chromatin immune precipitation that GATA3 binds this element also in intact cells, indicating that this chromatin region is accessible for GATA3 binding. It is known that GATA3 can

induce transcription by chromatin remodelling ¹¹⁰, by directly transactivating promoters ¹⁰⁰ or, as shown in the current study, acts as a repressor of gene expression ^{102,328,329}.

The molecular interactions enabling GATA3 to inhibit FOXP3 are not identified yet, but the GATA-binding site is located adjacent to positive, inducing sites, composed of AP-1-NFATc2 sites ³¹⁰ and GATA3 may compete with the binding of AP-1/NFAT to the promoter (unpublished observations).

The direct inhibition of FOXP3 by this GATA3 dependent mechanism could also affect already existing Tregs, by the same mechanism. However, IL-4 was ineffective to block FOXP3 expression or suppression of already existing Tregs, although IL-4R is expressed and functional on Treg (Pace et al., 2005). This finding underlines our hypothesis that Tregs are committing as a separate lineage characterized by an imprinted phenotype. Once committed the T cells lose their capacity to convert to another phenotype (Grogan et al., 2001; Szabo et al., 1997; Harrington et al., 2005).

To prove the inhibitory effect of IL-4 on Treg commitment in vivo, we treated mice with IL-4 and anti-IL-4. Only the IL-4/IL-4 mAb complex resulted in a decrease of the amount of Treg (CD25⁺ and FOXP3⁺) cells seven days after treatment. This enhancing effect of the antibody on cytokine effect has previously been described for IL-2 and IL-4 ³¹³. This finding might also explain a study, where anti-IL-4 mAb treatment was interpreted in the sense of IL-4 neutralization instead of IL-4 potentiation ³³¹.

In summary, we demonstrated that GATA3 acts as an inhibitor of FOXP3 expression in early T cell differentiation, by directly binding and repressing the FOXP3 promoter. These data support the idea that Tregs evolve as a separate lineage apart from the Th1 and Th2. These findings will give new perspectives in promoting peripheral tolerance to control autoimmune diseases and allergies on one hand and break tolerance against tumors on the other hand.

Experimental procedures

Mice

Normal C57BL/6 (B6) mice were purchased from the Jackson Laboratories.

Transgenic DO11.10 mice, expressing a T cell receptor for OVA₃₂₃₋₃₃₉ peptide in the context of H-2^d, were backcrossed with mice expressing GATA3, driven by the human CD2 locus control region (CD2-GATA3)³⁰⁸, resulting in DO11.10xCD2-GATA3 mice. Mice used for experiments were backcrossed on a BALB.C background for a minimum of eight generations and used at an age of 8-12 weeks. Mice were housed under specific pathogen-free conditions and all animal studies were performed according to institutional and state guidelines.

Administration of Cytokines and Antibodies In Vivo

Age- and gender-matched normal B6 mice received every other day intraperitoneal (ip) injections of PBS, 1.5 µg rmIL-4, 50 µg anti-IL-4 mAb (11B11 or MAB404), or a mixture of 1.5 µg rmIL-4 plus 50 µg anti-IL-4 mAb (11B11 or MAB404) for 7 days. Thereafter, spleen and LN cells were analyzed by flow cytometry for CD3, CD4 and CD25 expression. The anti-mouse IL-4 mAb MAB404 was obtained from R&D Systems, the second anti-mouse IL-4 mAb 11B11 was purchased from eBioscience.

Isolation of CD4⁺ T cells

CD4⁺ T cells were isolated from blood of healthy volunteers using the anti-CD4 magnetic beads (Dyna, Hamburg, Germany) as previously described²⁶⁹. The purity of CD4⁺ T cells was initially tested by FACS and was $\geq 95\%$.

RNA isolation and cDNA synthesis

RNA was isolated using the RNeasy Mini Kit (Qiagen, Hamburg, Germany) according to the manufacturer's protocol. Reverse transcription of human samples was performed with TaqMan[®] reverse transcription reagents (Applied Biosystems, Rotkreuz, Switzerland) with random hexamers according to the manufacturer's protocol. For the murine experiments, 100 ng RNA was reverse transcribed using Superscript II (Invitrogen) and random hexamers (Amersham Biosciences, Roosendaal, The Netherlands) for 50 min. at 42 °C.

Quantitative real-time PCR

The PCR primers and probes detecting human FOXP3 were designed based on the sequences reported in GenBank with the Primer Express software version 1.2 (Applied Biosystems) as follows: EF-1 α forward primer and reverse primer as described³³², FOXP3 forward primer 5' GAA ACAG CAC ATT CCC AGA GTT C 3', FOXP3 reverse primer 5' ATG GCC CAG CGG ATG AG 3', GATA3 forward primer 5' GCG GGC TCT ATC ACA AAA TGA 3'. The prepared cDNAs were amplified using SYBR[®]-PCR mastermix (Biorad) according to the recommendations of the manufacturer in an ABI PRISM 7000 Sequence Detection System (Applied Biosystems).

Quantitative PCR of murine samples was performed with Brilliant SYBR Green QPCR master mix (Stratagene, La Jolla, CA, USA) and following primers: Ubiquitin C, 5'- AGGTCAAACAGGAAGACAGACGTA-3' and 5'- TCACACCCAAGAACAAG CACA-3'; Smad-7, 5'-GAAACCGGGGGAACGAAT TAT-3' and 5'- CGCGAGTC TTCTCCTCCCA-3'; TGF- β_1 , 5'- TGACGTCACCTG GAGTTGTACGG-3' and 5'-GGTTCATGTCATGGATGGTGC-3'. Primer pairs were evaluated for integrity by analysis of the amplification plot, dissociation curves and efficiency of PCR amplification. PCR conditions were 10 min. at 95 °C, followed by 40 cycles of 15 s at 95 °C and 60 °C for 1 min. using an 7300 real-time PCR system (Applied Biosystems). PCR amplification of the housekeeping gene encoding ubiquitin C was performed during each run for each sample to allow normalization between samples. Relative quantification and calculation of the range of confidence was performed using the comparative $\Delta\Delta$ CT method.

Inducible murine Treg culture

Naïve CD4⁺ T cells (CD4⁺, CD62L⁺, CD25⁻) were isolated from pooled lymph nodes and spleens by FACS-sorting (FACS Aria, BD). 5x10⁵ T cells were co-cultured with 2.5x10⁴ bone marrow-derived dendritic cells³³³ and 0.01 μ g/ml OVA₃₂₃₋₃₃₉ peptide (Ansynth, Roosendaal, The Netherlands) in the presence or absence of 20 ng/ μ l rhTGF- β_1 (Peprotech, Rocky Hill, NJ, USA) in 48-well plates. After four days, cells were harvested and analyzed for intracellular FOXP3 expression by FACS or gene expression by real-time quantitative RT-PCR.

In vitro T cell differentiation

T cells were stimulated with immobilized plate-bound anti-CD3 (1 µg/ml, Otk3, IgG1) and anti-CD28 (2 µg/ml) in Th1 conditions: 25 ng/ml IL-12, 5 µg/ml anti-IL-4 (R&D systems, Abingdon, UK), in Th2 conditions: 25 ng/ml IL-4, 5 µg/ml anti-IFN-γ, 5 µg/ml anti-IL-12 (R&D systems) or Tregs conditions: 10 ng/ml TGF-β, 5 µg/ml anti-IFN-γ, 5 µg/ml anti-IL-12, 5 µg/ml anti-IL-4. Proliferating cells were expanded in medium containing IL-2 (30 ng/ml).

Western blotting

For FOXP3 analysis on the protein level, 1×10^6 cells $CD4^+CD25^-$ were lysed and loaded next to a protein-mass ladder (Magicmark, Invitrogen) on a NuPAGE 4-12% bis-tris gel (Invitrogen). The proteins were electroblotted onto a PVDF membrane (Amersham Life Science, Dübendorf, Switzerland). Unspecific binding was blocked with BSA and the membranes were subsequently incubated with an 1:200 dilution of goat anti-FOXP3 in blocking buffer (Abcam, Hamburg, Germany) overnight at 4°C. The blots were developed using an anti-goat HRP-labeled mAb (Amersham Biosciences) and visualized with a LAS 1000 camera (Fuji, Urdorf, Switzerland). Membranes were incubated in stripping buffer and re-blocked for 1 h. The membranes were re-probed using anti-GATA3 (HG3-31; Santa Cruz Biotechnology), anti-T-bet (4B10, Santa Cruz Biotechnology, Santa Cruz, CA, USA), anti-GAPDH (6C5, Ambion Ltd, Huntington, United Kingdom), anti-phospho-SMAD2 (138D4), anti-phospho-STAT6 (5A4) and anti-STAT6 (Cell Signaling technology, Allschwil, Switzerland),

Cytokine production assay

T cells were stimulated with 2×10^{-7} M PMA and 1 µg/ml of ionomycin (Sigma Chemicals, St-Louis, MO, USA) for 4 h. The following mAbs were used: anti-IL-4-PE (8D4-8, BD), anti-IL-13-PE (JES10-5A2, BD Biosciences), anti-IFN-γ-FITC (B27, BD Biosciences). Matched isotype controls were used at the same protein concentration as the respective antibodies. Four-color FACS was performed using an EPICS™ XL-MCL (Beckman Coulter, Nyon, Switzerland) using the software Expo™ 32 version for data acquisition and evaluation.

Flow cytometry

For analysis of FOXP3 expression at the single-cell level, cells were first stained with the monoclonal antibody CD25 (Beckman & Coulter), after fixation and permeabilization, cells were incubated with PE-conjugated monoclonal antibody PCH101 (anti-human FOXP3; eBioscience) based on the manufacturer's recommendations and subjected to FACS (EPICS XL-MCL). For cell surface marker staining, cells were incubated for 20 min at 4 °C in staining buffer with the following antibodies anti-CD152-PE (CTLA-4; BD), or anti-PD-1 (eBiosciences), anti-GITR (R & D Systems, Ltd), anti-CD69 (Beckman & Coulter), anti-CD103 (DakoCytomation, Zug, Switzerland), anti-CD62L (Beckman & Coulter), anti-HLA-DR (Beckman & Coulter). The controls were FITC, PE, or ECD-conjugated mouse IgG1 or rat IgG2a. For staining of mouse cells the following mAbs from BD Biosciences were used following standard techniques as described above: anti-CD3, anti-CD4, anti-CD25. Anti-FcγRII/III antibody (2.4G2, ATCC, Manassas, VA) was included in all stainings to reduce non-specific antibody binding. To isolate naïve murine CD4 T cells from murine DO11.10 or DO11.10xCD2-GATA3 T cells, cells were stained with anti-CD25-FITC, anti-CD62L-PE and anti-CD4-APC prior to sorting. Dead cells were excluded with 4',6-Diamidino-2-phenylindole (DAPI). To analyze murine Foxp3 expression in inducible Treg cultures, cells were stained intracellularly with anti-Foxp3-PE according to manufacturer's instruction, in conjunction with anti-CD4-APC and LIVE/DEAD fixable dead cell stain kit (Invitrogen) to discriminate live cells. All monoclonal antibodies for murine cell stainings were purchased from eBioscience or BD Biosciences.

Cloning of the FOXP3 promoter, construction of mutant constructs

The FOXP3 promoter amplicon was cloned into the pGL3 basic vector (Promega Biotech Inc., Madison, WI, USA) to generate the pGL3 FOXP3 -511/+176. Site-directed mutagenesis in the FOXP3 promoter region, were introduced using the QuickChange kit (Stratagene), according to the manufacturer's instructions. The following primer and its complementary strand were used: GTT TCT CAT GAG CCC TAT TAA GTC ATT CTT ACC TCT CAC CTC TGT GGT GA.

Transfections and reporter gene assays

T cells were rested in serum-free AIM-V medium (Life Technologies, Basel, Switzerland) overnight. An amount of 3.5 µg of the FOXP3 promoter luciferase reporter vector and 0.5 µg phRL-TK was added to 3 x10⁶ CD4⁺ T cells resuspended in 100 µL of Nucleofector™ solution (Amaxa Biosystems, Cologne, Germany) and electroporated using the U-15 program of the Nucleofector™. After a 24-hour culture in serum-free conditions and stimuli as indicated in the figures, luciferase activity was measured, by the dual luciferase assay system (Promega Biotech Inc.) according to the manufacturer's instructions. Data were normalized by the activity of renilla luciferase. Jurkat cells were transfected using lipofectamine 2000 (Invitrogen) according to the manufacturer's protocol.

Pull-down Assay

CD4⁺ T cells were stimulated with PMA and ionomycin for 2 h at 37°C. The cells were pelleted, resuspended in buffer C (20 mM HEPES (pH 7.9), 420 mM NaCl, 1.5 mM MgCl₂, 0.2 mM EDTA, 1 mM DTT, protease inhibitors (Sigma, Buchs, Switzerland) and 0.1% NP-40) and lysed on ice for 15 min. Insoluble material was removed by centrifugation. The supernatant was diluted 1:3 with buffer D (as buffer C, but without NaCl). The lysates were incubated with 10 µg of poly(dI-dC; Sigma) and 70 µl of streptavidin-agarose (Amersham Biosciences) carrying biotinylated oligonucleotides, for 3 h at 4 °C. The beads were washed twice with buffer C/D (1:3) and resuspended in DTT-containing loading buffer (NuPAGE; Invitrogen), heated to 70°C for 10 min and the eluants loaded next to a protein-mass ladder (Magicmark, Invitrogen) on a NuPAGE 4-12% bis-tris gel (Invitrogen). The proteins were electroblotted onto a PVDF membrane (Amersham Biosciences) and detected using an anti-GATA3 mAb (Santa Cruz Biotechnology). The blots were developed as described above. Accumulated signals were analyzed using AIDA software (Raytest, Urdorf, Switzerland).

Chromatin Immunoprecipitation

ChIP analysis was performed according to the manufacturer's protocol (Upstate Biotechnology, Inc.) with the following modifications. iTregs and Th2 cells were fixed with 1% formaldehyde for 10 min at room temperature. The chromatin was

sheared to 200–1000 bp of length by sonication with five pulses of 10 s at 30% power (Bandelin). The chromatin was pre-cleared for 2 h with normal mouse IgG beads and then incubated with anti-GATA3-agarose beads (HG3-31; Santa Cruz Biotechnology) for 2 h. Washing and elution buffers were used according to the protocol of Upstate Biotechnology. Crosslinks were reversed by incubation at 65 °C for 4 h in the presence of 0.2 M NaCl, and the DNA was purified by phenol/chloroform extraction. The amount of DNA was determined by conventional PCR. The PCR addressed for the FOXP3 promoter region -246 to -511 and was performed using the following primers: 5'-GTG CCC TTT ACG AGT CAT CTG-3' and 5'-GTG CCC TTT ACG AGT CAT CTG-3'. The PCR products were visualized using an ethidium bromide gel.

FACS-sorting of human CD4⁺CD25⁺

PBMC were isolated from buffy coat by density gradient centrifugation over Ficoll/Hypaque gradient. Cells were stained with PE-anti-CD25 and anti-PE magnetic beads (Miltenyi Biotec, Bergisch Gladbach, Germany) and CD25⁺ cells were enriched using the Midi-MACS system (Miltenyi Biotec). CD25-enriched or -depleted cell populations were stained with FITC-anti-CD4 and sorted into CD4⁺CD25⁻ and CD4⁺CD25^{high} on a FACStar Plus (BD Biosciences).

Suppression assay

Samples in triplicate, containing 5x10⁴ irradiated PBMCs, 5x10⁴ CD4⁺CD25⁻ and 1x10⁴ of preactivated or resting CD4⁺CD25⁺T cells per well were incubated in 96 round-bottom-plates, which were previously coated with 1µg/ml antiCD3 mab or a matched isotype control. Cells were cultured for 4 days, pulsed for the last 10 h with 1 µCi [³H]-thymidine (Hartmann, Braunschweig, Germany) and harvested on glass fiber filters using an automated multisample harvester (LKB, Pharmacia-Wallac, Turku, Finland). Filters were transferred in sample bags with liquid scintillation fluid and analyzed using a β-scintillation counter (Pharmacia-Wallac). Round-bottom 96-well plates were coated with 1 µg/µl anti-CD3 for 1 h at 37 °C and subsequently washed with PBS.

Acknowledgments

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2.3 Statement of contribution to publications

For the publication titled “Molecular mechanisms underlying FOXP3 induction in human T cells”, I have performed all the experiments, except the cell sorting by FACS of the CD4⁺CD25^{high} Tregs, which was performed by Beate Rückert (SIAF, Davos, Switzerland).

For the publication titled “GATA3 driven Th2 responses inhibit FOXP3 expression and the formation of regulatory T cells” I have contributed to all figures except of the Figure 19 B and C and Figure 20 A and B, which were performed by Dr. Harmjan Kuipers (Erasmus University, Rotterdam, the Netherlands).

Finally, the experiments for the figure 24 were performed by Dr. Onur Boyman (Scripps Research Institute, La Jolla, USA).

3. Discussion

This thesis revealed mechanisms of FOXP3 gene expression regulation and provides a model of the molecular events underlying Treg cell generation. In a first step the promoter of the human FOXP3 gene was analyzed and led to the identification of NFAT1 and AP1 as inducer of FOXP3 after TCR triggering while GATA3 was discovered to act as a repressor of FOXP3 during differentiation into Th2 cells and in committed Th2 cells.

3.1. Identification and characterization of the human basal FOXP3 promoter

The human promoter was localized by 5'-RACE, at -6221 bp upstream from the translation start site (TSS). The sequence upstream of the UTR shows a high degree of conservation between human, mouse and rat; which indicates that it contains important regulatory elements. The promoter shows cell-specific activity. It was active only in CD4⁺CD25⁻ T cells but not in HELA, HEK or CHO cells.

This region contains a functional TATA box as shown by mutational analysis and EMSA. This TATA box, which is located at -44 bp from TSS, is found in many genes near the transcription start site. The TATA box plays an important role in assembling the transcription machinery at promoters³³⁴. It is bound by the TATA-binding-protein, which recruits the RNA polymerase II, therefore playing a decisive role in transcription, as it has been shown for other genes such as IL-2 and IL-4^{79,274}.

A GC box was identified -141 upstream the transcription start site and was proven to be functional. GC box binding proteins are able to induce basal promoter activity. Sp1 can activate gene expression, whereas Sp3 can repress or activate transcription^{277,335-337}. The Sp3-mediated repression has been shown as a result of competition with Sp1 for the binding site^{338,339}, however Sp3 can also be a strong transcriptional activator. In case of the FOXP3 promoter it appears that Sp1 and Sp3 are not the only proteins to bind to the GC box, since the complex did not fully shift upon treatment with the antibodies against Sp1 and Sp3. Several factors have been identified to bind GC-rich region²⁷³ like Sp4, BTEB1 (basic transcription element binding protein 1)³⁴⁰, TIEG1 and TIEG2 (TGF- β -inducible early protein genes 1 and 2)³⁴¹⁻³⁴³. Krüppel-like factors do not only bind GC boxes, but also structurally similar CACC-boxes, of which a

potential binding site is located near the GC box and may therefore have overlapping binding capacity to Sp1 and Sp3. Sp1 has been shown to regulate ubiquitously expressed housekeeping genes as well as cell type-specific and differentiation-specific gene^{344,345}. The promoter region -307/+176 was also weakly active in other cell lines, underlying the importance of other regulatory mechanisms like presence of cell-specific enhancer or silencer. Gene expression might be regulated at the chromatin level as for many genes during differentiation of T cells, such as IL-4 and IFN- γ , in which chromatin opening is an important step in derepressing silenced genes^{79,274}. In fact, we found that the chromatin was in a closed and restrictive conformation in non-hematopoietic cells. In CD4⁺CD25⁻ the chromatin was open, but after activation it was demonstrated to become more accessible as measured by histone acetylation. Therefore the human FOXP3 core promoter has a classical structure common to many genes. With a TATA box near the transcription start site at -44 bp and a GC box at -141. These elements are characteristic of housekeeping genes and do not explain the cell-specific expression of FOXP3.

3.2. Cell-specific activity of the FOXP3 promoter

TCR stimulation of human CD4⁺CD25⁻ T cells by an antigen can upregulate FOXP3 expression in previously FOXP3⁻ cells. Interestingly a region of the human FOXP3 promoter seems to be particularly important in maintaining the activity of the promoter and is responsive to TCR stimulation. TCR-induction of the FOXP3 promoter activity was mediated in an AP-1-NFATc2-dependent fashion and was blocked by the addition of the calcineurin inhibitor CsA. The activity of the NFAT proteins is tightly regulated by the calcium/calmodulin-dependent phosphatase calcineurin, which is inhibited by CsA³⁴⁶. Calcineurin directly mediates the activation of NFAT molecule by dephosphorylation allowing migration into the nucleus²⁸⁴. AP-1 is composed of a heterodimer including Jun and Fos, and is activated through the MAPK kinase pathway³⁴⁷. The inhibitors of MAPK kinase pathways only modestly repress the promoter induction as well as mRNA expression in activated CD4⁺CD25⁻ T cells. Interestingly NFAT – AP-1 elements are found in many genes that are inducibly transcribed by TCR-triggering and is part of a common process of T cell activation^{284,348-350}. Site-specific mutations deleting these sites were decreasing activity of the promoter. The TCR-mediated induction of FOXP3 promoter activity

revealed and confirmed the importance of antigen experience in the thymus and in the periphery for Treg induction.

3.3. Effects of immunosuppressive drugs on FOXP3 expression

The importance of TCR-triggering for generation and activation of Tregs suggests that immunosuppressive drugs might have different effect on the development of tolerance mediated by Tregs. Accordingly CsA inhibited potently the induction of FOXP3 in contrast to rapamycin. CsA is used in the treatment of atopic dermatitis and transplantation and has revolutionized transplant surgery since its introduction in 1983 with a dramatic increase in the survival rate after transplantation³⁵¹. Rapamycin, a macrolide antibiotic produced by *Streptomyces hygroscopicus*, is a new effective drug used to prevent allograft rejection³⁵². However, unlike FK506 and CsA, rapamycin does not inhibit TCR-induced calcineurin activity. Rapamycin exerts its effect by binding to the intracellular immunophilin FK506-binding protein (FKBP12). The rapamycin-FKBP12 complex inhibits the serine/threonine protein kinase called mammalian target of rapamycin (mTOR), whose activation is required for protein synthesis and cell-cycle progression. Therefore, rapamycin blocks signaling in response to cytokines or growth factors, whereas FK506 and CsA exert their inhibitory effects by blocking TCR-induced activation³⁵³. Consistent with this mechanism of action, it has been shown that rapamycin blocks T-cell-cycle progression from G1 to S phase after activation³⁵³. Rapamycin promotes TCR-induced anergy even in the presence of costimulation (Figure 25)^{354,355}.

Interestingly, it has been shown that rapamycin induces proliferation of CD4⁺CD25⁺ T cells, whereas CsA had an inhibitory effect²⁹². Therefore it can be concluded that CsA induce tolerance by directly inhibiting activation of effector cells, but at the same time it inhibits the generation of Tregs. The therapeutic usage of CsA may not promote the development of long-term cellular tolerance. Since every T cell has the potential to be activated by TCR, mechanisms that inhibit FOXP3 expression and therefore Treg commitment must exist. TCR triggering is probably only the first step in Treg commitment.

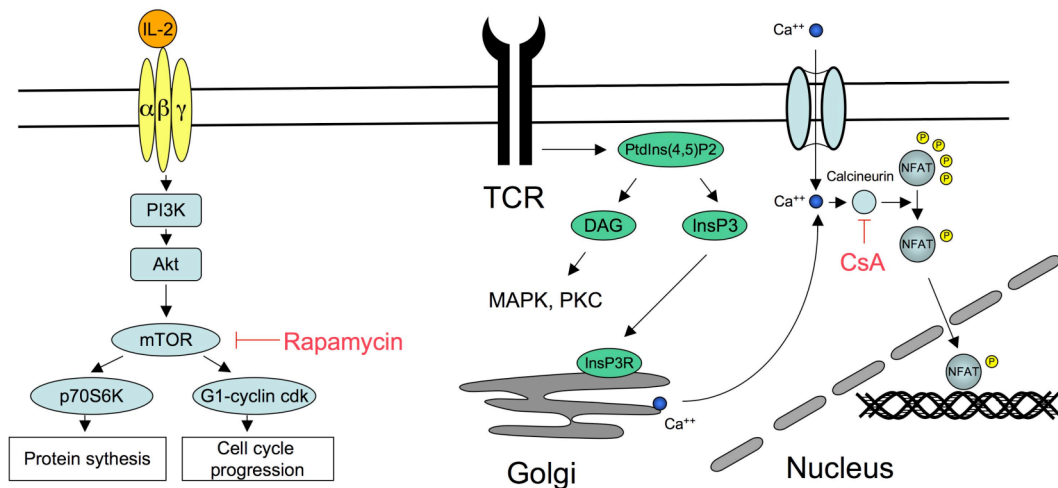


Figure 25: Rapamycin and CsA induce immune suppression by two different pathways. CsA targets calcineurin and NFAT –induction of gene transcription. However, rapamycin inhibits cell cycle progression and protein synthesis. CsA interferes with Treg turnover but not rapamycin.

3.4. Models of Treg response to antigen

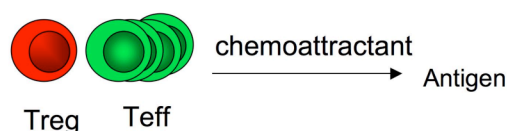
Two models, which discriminate between recruitment and generation of Tregs on the site of antigen-triggered immune response, can be proposed to explain the role of FOXP3⁺ T cells in regulating the immune response. In the first model FOXP3⁺ T cells are recruited to the site of inflammation and will upon encounter with the antigens regulate the effector antigen-specific T cells and expand to control the intensity of the immune response. In the second model, FOXP3⁺ cells are recruited and activated by the antigen and give rise to a clonal expansion of a mixture of two populations composed of effectors and FOXP3⁺ Tregs. In the last model two different options can be hypothesized: already committed effector T cells upon activation can convert to Tregs while keeping their Th1 or Th2 profile. Alternatively, Tregs only differentiate out of naïve T cells as a different lineage (Figure 26).

In order to clarify this point, we analyzed FOXP3 inducibility in different subsets of cells. In accordance with other studies, we found that CD4⁺CD25⁻ were able to upregulate FOXP3^{63,306}. Already committed cells such as memory T cells and Th1 cells showed only moderate and transient FOXP3 induction. Interestingly Th2 cells were lacking FOXP3 under all circumstances, which was not caused by endogenous production of IL-4, since IL-4 neutralization did not restore FOXP3 expression.

Predominantly naïve T cells could efficiently upregulate FOXP3, suggesting, that effector Th2 cells lack an intrinsic factor or actively repress FOXP3 expression.

Treg response to the antigen

1. Recruitment to the site of the antigen



2. Generation in contact to antigen

A. Generation from antigen-specific effector T cells



B. Generation from naïve T cells

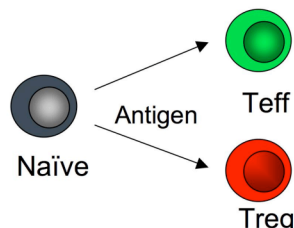


Figure 26: Model of Treg response to the antigen. 1) Differentiated Tregs and effector T cells (Teff) are recruited by chemotaxis to the site of antigen. 2) Generation of Tregs following stimulation by the antigen. A) Upon contact to antigen, antigen-specific T effector cells proliferate and some of them differentiate into Tregs. B) Committed effector T cells lost their capacity to convert to Tregs and Tregs differentiation has to occur out of naïve T cells.

3.5. Differentiating Th2 cells lack FOXP3

The commitment to effector cells is characterized by competitive and exclusive expression of the corresponding transcription factors, GATA3 or T-Bet, while the opposite factor is progressively silenced^{100,314}. By using *in vitro* differentiation system, in which naïve T cells are treated with IL-12, IL-4 or TGF- β to become Th1, Th2 or iTreg, respectively, we observed a similar process during the differentiation into FOXP3⁺ iTregs, which were lacking GATA3 or T-bet expression. In this competitive process, TGF- β appeared to be mandatory for the induction of FOXP3,

possibly by keeping the expression of GATA3 and T-bet low^{315,316,356}. In contrast, differentiating naïve T cells under neutral, “Th0” conditions showed only a transient FOXP3 expression and failed to generate a stable population of FOXP3 expressing cells, but GATA3 and T-bet were upregulated. Interestingly, as we and other previously described, FOXP3-inducing factors, such as dexamethasone¹⁷², CTLA-4²²² and estrogens²²³, are also known as inhibitor of GATA3 expression²²⁴⁻²²⁷. TGF- β is a molecule with pleiotropic effects on cell proliferation, differentiation, migration, and survival that affect multiple biological processes³⁵⁷.

TGF- β mediates its biological functions via binding to type I and II transmembrane kinase receptors and phosphorylates intracellular SMAD proteins whose nuclear localization is required for the transcriptional regulation of target genes³⁵⁸⁻³⁶⁰. After association with SMAD4, SMAD2 translocate into the nucleus to bind to SBE (Smad-binding element). SMAD complexes control gene expression by recruiting coactivators that contain histone-acetyl transferase (HAT) activity or histone-deacetylase (HDAC) activity-containing corepressors to activate or repress target genes, respectively³⁶¹. Studies using cells that are deficient in SMAD4 and in the expression of dominant-negative SMADs support the existence of Smad-independent TGF- β signaling pathways^{362,363}.

Therefore TGF- β might modulate the expression of FOXP3 by different mechanisms. No direct effect of TGF- β was seen on the FOXP3 promoter in transfected CD4⁺ T cells, indicating that TGF- β might act indirectly by influencing expression of other factors, by binding to other regulatory elements of the FOXP3 genes, or modulate chromatin structure. Interestingly IL-4 was antagonizing TGF- β effect during differentiation of naïve T cells into Tregs. IL-4 has already been shown to negatively regulate the development into Th1 or Th17 cells^{65,67}.

3.6. GATA3 inhibits FOXP3 expression

GATA3 has been demonstrated to inhibit Th1 commitment during Th2 differentiation. We demonstrated that overexpression of GATA3 inhibited the FOXP3 mRNA expression in naïve CD4 T cells.

Strikingly the DO11.10 CD2GATA3 mice, which overexpress GATA3 under the control of the CD2 Locus Control Region (LCR) have less FOXP3⁺ cells. In addition,

the FOXP3- T cells cannot be induced to express FOXP3. Interestingly, these mice do not develop autoimmunity. This can be explained by homeostatic expansion or other mechanisms are involved in repressing GATA3 activity like ROG^{326,364,365} or FOG-1^{366,367} and -2. ROG has been shown to negatively regulate GATA3 activity in CD8 cells. Even when CD8 cells were overexpressing GATA3 they were unable to produce as much IL-4 as CD4 cells do³²⁶. In addition, ROG has been shown to mediate this effect by sequestering GATA3. In thymocytes GATA3 induces differentiation into CD4. However, when ROG was overexpressed in thymocytes they differentiated into the CD8 lineage³²⁵. Preliminary data indicate that ROG is expressed by Tregs¹⁴².

Overexpression of GATA3 has already been described to promote airway hyperresponsiveness³⁰², whereas overexpression of a dominant negative form of this transcription factor³⁰⁴ or treatment with antisense-induced GATA3 blockade³⁰⁵ in mice decreased the severity of the disease. In addition, it has been shown in an OVA-tolerance model that co-treatment of mice with cholera toxin, which induces GATA3 expression was able to break tolerance induction³⁰³. It was thought that GATA3 induces effector functions by stimulating IL-4 and IL-13 secretion. We now describe a new role of GATA3 in promoting the immune response by repressing the generation of FOXP3+ regulatory cells, representing an important checkpoint to avoid cells developing suppressive or anergic phenotype and keep an immune response working until clearance of the pathogen. Under inflammatory conditions the naïve cells “see” the antigen in a pro-inflammatory environment and elimination of antigens is mandatory to avoid damage to the host. Induction of GATA3 might be a mechanism to limit the generation of unwanted Tregs.

GATA3 is probably not the only factor negatively regulating FOXP3 expression, since the GATA3-deficient naïve T cells develop spontaneously into Th1 cells or IFN- γ -secreting cells, under Th2 differentiation conditions³²⁷, rather than becoming Treg. However they only produced a low amount of IFN- γ , which was not comparable with Th1 cells, but this suggests that Treg commitment is not a default pathway. T-bet, the master factor in Th1 cell differentiation might be a candidate, since mutation of a T-bet consensus binding site in the human FOXP3 promoter resulted in an increase of the promoter activity in CD4+ T cells (unpublished data). However, T-bet functions rather as an activator of transcription³¹⁴.

3.7. GATA3 represses the FOXP3 promoter activity

We further demonstrated that GATA3 represses FOXP3 expression by direct binding to the promoter. A mutation in the human FOXP3 promoter, disrupting GATA3 binding site was increasing the luciferase activity in transfected CD4⁺ T cells. The repressor function of GATA3 on FOXP3 promoter activity was further demonstrated by cotransfection of GATA3 with the FOXP3 luciferase construct. GATA3 has been mainly described as a transcription factor, which, induces transcription by chromatin remodelling¹¹⁰ or directly transactivating promoters¹⁰⁰. However, its function as a repressor is still unclear, but it has already been described as a repressor of STAT4¹⁰² and other genes^{328,329,368}. The TCR transactivates the FOXP3 promoter in an AP-1-NFATc2-mediated fashion³¹⁰ and GATA3 might compete with the binding of NFAT to the promoter at the time of activation (unpublished observations) acting as a repressor by being a weaker inducer than NFATc2-AP-1. In addition, GATA3 might act by recruiting co-repressor complex to the promoter.

3.8. Effect of IL-4 on committed Tregs

Although the IL-4R is expressed and functional on Tregs³²², both induced and naturally occurring Tregs did not convert to effector or FOXP3⁻ T cells upon treatment with IL-4, indicating that after the commitment, the cells have imprinted their phenotype. One explanation might be the expression of an inhibitor of the IL-4 signalling. It has been shown that once committed, the cell loses their capacity to convert easily to another phenotype, although some flexibility exists¹⁰⁹. Interestingly, we found that the Treg cells were not able to induce GATA3 mRNA as much as the CD25⁺ cells did. Therefore it can be hypothesized that FOXP3 acts as a repressor of GATA3, since loss of FOXP3 expression seems to restore an effector phenotype as shown in the following examples: IPEX patients bearing a mutated FOXP3 and unfunctional FOXP3 have autoreactive FOXP3⁺ T cells³⁰⁶, indicating that without a functional FOXP3, the Tregs acquired an effector phenotype. In addition, it has been described using cells of Pemphigus Vulgaris (PV) patients, a severe autoimmune bullous skin disorder that Tr1 cells expressing FOXP3 transfected with siRNA against

FOXP3 developed into a Th2 phenotype ³⁶⁹. Further suggesting that FOXP3 may negatively regulate GATA3 expression.

3.9. Effect of IL-4 on Tregs *in vivo*

In order to analyze the *in vivo* effect of IL-4 on Tregs, we injected a complex of IL-4 and IL-4 antibody to B6 mice every other day during seven days. The number and frequency of CD4⁺CD25⁺ (as well as CD4⁺FOXP3⁺) diminished by half. In these experimental settings, we cannot distinguish whether this drop is caused by a loss of thymic naturally-occurring Tregs or peripherally generated Tregs. According to their turnover *in vivo*, Tregs are composed of two subsets: one quiescent with long-life span (over 70 days) and the other fraction has a rapid turnover, which is characterized by autoreactive Tregs being continuously activated by tissue self-antigens ³⁷⁰. Furthermore Tregs have short telomeres indicating that they are highly differentiated cells, which have experienced antigenic stimulation ¹⁷¹ and are long-lived cells. Thus, probably that IL-4 also acts on generation, cells death and conversion of Tregs.

IL-4 and IL-13 have been shown to convert human CD4⁺CD25⁻ naïve T cells into CD4⁺CD25⁺ Tregs *in vitro*. We showed that administration of neutralizing antibodies against IL-4 or IL-13 broke oral tolerance in an antigen-specific manner in a mouse model, with a reduced number of Tregs, suggesting a role for IL-4 and IL-13 in the generation of antigen-specific Tregs during oral tolerance. However in this study FOXP3 was not measured. Since IL-4 has been described as a growth factor for Tregs ³²², it should have been distinguished between true generation and proliferation of CD4⁺CD25⁻FOXP3⁺ induced by IL-4 ³³¹. In addition the increase of CD4⁺CD25⁺ in presence of IL-4 was low. As it was described for IL-2 and IL-4 some cytokine neutralizing antibodies *in vitro* have indeed the opposite effect *in vivo*, when injected into mice. The mechanism is unknown but the antibodies might build complexes with the cytokines and thus potentiates its effect ³¹³ making difficult to interpret this result. The observation that GATA3 represses and avoid activation of the FOXP3 promoter, suggests that at the time of priming the strength of the TCR signaling and the cytokines present in the environment are decisive not only in converting naïve T cells to Th1 or Th2, but also in inducing regulatory T cells. A competition of transcription factors may decide, which direction the immune response will take in order to efficiently fight the antigen. This results from the signals given by the innate immune

system and cells, which were previously in contact with the pathogens. Therefore allowing specificity and flexibility to the adaptive system to fight and clear the pathogens.

3.10. Conclusion and outlook

Taken together, we have identified and characterized the human FOXP3 promoter in primary CD4⁺ T cells. We could explain the molecular mechanisms involved in FOXP3 upregulation following TCR triggering and described that GATA3 acts as an inhibitor of FOXP3 expression that binds directly and represses the FOXP3 promoter (Figure 27). Given the high diversity of T cell subtypes and responses it seems that a hierarchy of mechanisms has evolved during CD4 effector cells development at the transcriptional level to place stringent controls on Th-cell development.

Understanding the molecular mechanisms of Treg induction in the periphery gives new perspectives in generating antigen-specific Treg cells, which can efficiently control autoimmune diseases and allergies. On the other hand inhibiting regulatory T cells¹⁸⁵ in the tumor environment will boost the tumor-immunity. The results of this thesis not only gives new insights into the molecular mechanisms involved into FOXP3 expression and Treg generation, but may also be the starting point for the design of new therapeutical approaches targeting IL-4 in order to modulate Treg development.

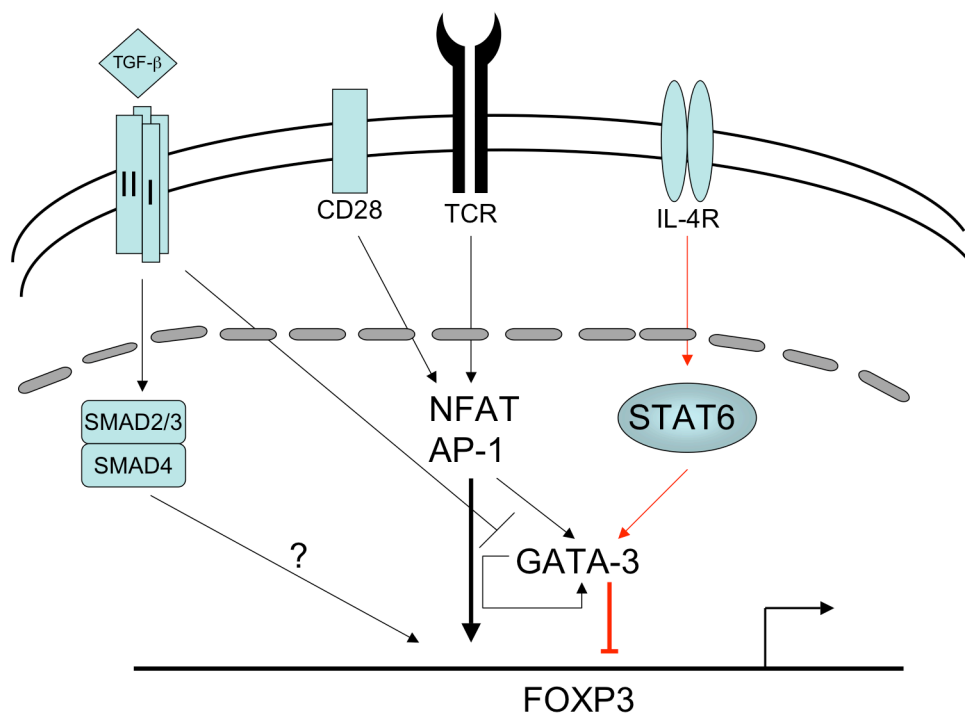


Figure 27: FOXP3 gene regulation in primary T cells. TCR triggering by activating NFAT and AP-1 is a positive regulator of FOXP3 promoter activity, whereas IL-4 by inducing GATA3 expression acts as negative signal.

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5. CURRICULUM VITAE

Particulars

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<i>Date of birth</i>	November 26 th , 1976
<i>Place of birth</i>	Fribourg, Switzerland
<i>Citizenship</i>	Swiss
<i>Marital status</i>	Single

Education

1989-92	Elementary school in Belfaux and Fribourg
1992-96	Collège Sainte-Croix in Fribourg
1996	Abitur (final of gymnasium)
1996-2001	Study of Biochemistry, University Fribourg
09/ 2000	Masters Degree with the diploma work "Construction of a Yeast Two-Hybrid System for Identification of Phosphoinositide 3-Kinase γ Interactions with Inhibitors" at the Institute of Biochemistry, University of Fribourg (supervisor: Dr. M.P Wymann)
03/ 2001	Dipl. Biochem
04-09/ 2001	Trainee in Giorgio Rovelli's lab at Novartis in Basel
10/ 2001	Trainee in Yves Barde's lab at the FMI in Basel
2002-2006	PhD thesis "Regulation of FOXP3 expression: A key transcription factor for T regulatory cells" at the Swiss Institute of Allergy and Asthma Research (SIAF), Davos (supervisors: Dr. C.B. Schmidt-Weber, Prof. Dr. K. Blaser and Prof. Dr. R. Wenger)

Examinations and courses during PhD:

Written examinations following Immunology lectures at SIAF, moderated by Prof. Dr. K. Blaser, PhD, Prof. Dr. C.A. Akdis, MD, Prof. Dr. R. Cramer, PhD, Dr. C. Schmidt-Weber, PhD and PD Dr. M. Akdis, MD, PhD. winter semester 2004/5 and summer semester 2005.

Presentations given in SIAF**Progress Report**

7 August 2002
26 February 2003
20 August 2003
24 May 2004
8 December 2004
8 May 2005
1 November 2005
31 March 2006
12 September 2006

Journal Club

19 June 2002
20 November 2002
16 April 2003
19 November 2003
1 June 2004
1 December 2004
1 May 2005
8 September 2005
21 February 2006

6. PUBLICATIONS

Finney, N., Walther, F., Mantel, P. Y., Stauffer, D., Rovelli, G., and Dev, K. K. (2003). The cellular protein level of parkin is regulated by its ubiquitin-like domain. *J Biol Chem* 278, 16054-16058.

Kunzmann, S., Mantel, P. Y., Wohlfahrt, J. G., Akdis, M., Blaser, K., and Schmidt-Weber, C. B. (2003). Histamine enhances TGF-beta1-mediated suppression of Th2 responses. *FASEB J* 17, 1089-1095.

Karagiannidis, C., Akdis, M., Holopainen, P., Woolley, N. J., Hense, G., Ruckert, B., Mantel, P. Y., Menz, G., Akdis, C. A., Blaser, K., and Schmidt-Weber, C. B. (2004). Glucocorticoids upregulate FOXP3 expression and regulatory T cells in asthma. *J Allergy Clin Immunol* 114, 1425-1433.

Karagiannidis, C., Hense, G., Rueckert, B., Mantel, P. Y., Ichters, B., Blaser, K., Menz, G., and Schmidt-Weber, C. B. (2006a). High-altitude climate therapy reduces local airway inflammation and modulates lymphocyte activation. *Scand J Immunol* 63, 304-310.

Karagiannidis, C., Hense, G., Martin, C., Epstein, M., Ruckert, B., Mantel, P. Y., Menz, G., Uhlig, S., Blaser, K., and Schmidt-Weber, C. B. (2006b). Activin A is an acute allergen-responsive cytokine and provides a link to TGF-beta-mediated airway remodeling in asthma. *J Allergy Clin Immunol* 117, 111-118.

Mantel, P. Y., Ouaked, N., Ruckert, B., Karagiannidis, C., Welz, R., Blaser, K., and Schmidt-Weber, C. B. (2006). Molecular mechanisms underlying FOXP3 induction in human T cells. *J Immunol* 176, 3593-3602.

Basinski, T., Ozdemir, C., Sackesen, C., Mantel, P. Y., Barlan, I., Akdis, M., Jutel, M., and Akdis, C. A. (2006). Highlights in Cellular and Molecular Mechanisms of

Allergic Diseases. XXVth Congress of the European Academy of Allergology and Clinical Immunology in Vienna. *Int Arch Allergy Immunol* 142, 91-98.

Karagiannidis, C., Peters, M., Rueckert, B., Mantel, P. Y., Akdis, C., Blaser, K., Bufe, A., Schmidt-Weber, C. B. The Activin A antagonist Follistatin reduces airway and lung inflammation in experimental asthma. (Submitted to *Thorax*).

Mantel, P. Y., Kuipers, H., Boyman, O., Ouaked, N., Rückert, B., Karagiannidis, C., Lambrecht, B. N., Hendriks, R. W., Blaser, K., Schmidt-Weber, C. B. GATA3 driven Th2 responses inhibit FOXP3 expression and the formation of regulatory T cells. (Submitted to *Immunity*).

7. Poster presentations

1. P.-Y. Mantel, S. Kunzmann, K. Blaser, C.B. Schmidt-Weber. HISTAMINE 4-RECEPTOR REGULATION OF HUMAN CD4⁺ MEMORY T CELLS. 2003. *Europ. Acad. Allergol. Clin. Immunol. Meeting, Davos, Switzerland.*
2. P.-Y. Mantel, S. Kunzmann, K. Blaser, C.B. Schmidt-Weber. HISTAMINE 4-RECEPTOR REGULATION OF HUMAN CD4⁺ MEMORY T CELLS. 2003. *Meeting of the Swiss Immunology Ph.D. Students at Schloss Wolfsberg, Switzerland.*
3. P.-Y. Mantel, C. Karagiannidis, R. Welz, K. Blaser, C.B. Schmidt-Weber. CHARACTERIZATION OF THE FOXP3 PROMOTER. *36th Annual Meeting of the Swiss Societies for Experimental Biology 2004 USGEB, Fribourg, Switzerland.*
4. P.Y. Mantel, C. Karagiannidis, R. Welz, K. Blaser, C.B. Schmidt-Weber. Characterization of the FOXP3 promoter. 2005. *Meeting of the Swiss Immunology Ph.D. Students at Schloss Wolfsberg, Switzerland.*
5. P.Y. Mantel, C. Karagiannidis, B. Rückert, K. Blaser, and C.B. Schmidt-Weber. Activation mediated regulation of the FOXP3 gene. 2005. *36th Annual Meeting of the German and Scandinavian Societies of Immunology, Kiel, Germany.*
6. P.-Y. Mantel, H. Kuipers, N. Ouaked, B. Rückert, C. Karagiannidids, B. Lambrecht, K. Blaser, C.B. Schmidt-Weber. Inhibition of T regulatory cells development by GATA3. *Europ. Acad. Allergol. Clin. Immunol. Meeting, Garmisch, Germany.*

7. P.-Y. Mantel, H. Kuipers, O. Boyman, N. Ouaked, B. Rückert, C. Karagiannidis, B. Lambrecht, K. Blaser, C.B. Schmidt-Weber. Inhibition of T regulatory cells development by GATA3. 2006. *Europ. Acad. Allergol. Clin. Immunol. Meeting, Davos, Switzerland, Vienna, Austria.*

XXV EAACI (European Academy of Allergy and Clinical Immunology) congress, June 10-14, 2006, Vienna, Austria.

Poster presentation, awarded with JMA (Junior Member Association) Poster Prize.

8. Oral presentations

1. P.-Y. Mantel, C. Karagiannidis, B. Rückert, K. Blaser, C.B. Schmidt-Weber. Characterization of the FoxP3 promoter. 2004. *Meeting of the Swiss Immunology Ph.D. Students at Schloss Wolfsberg, Switzerland.*
2. P.-Y. Mantel, C. Karagiannidis, B. Rückert, K. Blaser, C.B. Schmidt-Weber. *CHARACTERIZATION OF THE FOXP3 PROMOTER.* 2005. *Europ. Acad. Allergol. Clin. Immunol. Meeting, Davos, Switzerland.*
3. P.-Y. Mantel, H. Kuipers, N. Ouaked, B. Rückert, C. Karagiannidids, B. Lambrecht, K. Blaser, C.B. Schmidt-Weber. Inhibition of T regulatory cells development by GATA3. Annual meeting of the SSAI-SGAI (Swiss Society for Allergology and Immunology), 2006, Zürich, Switzerland.
4. P.-Y. Mantel, H. Kuipers, N. Ouaked, B. Rückert, C. Karagiannidids, B. Lambrecht, K. Blaser, C.B. Schmidt-Weber. Inhibition of T regulatory cells development by GATA3. 2006. *Meeting of the Swiss Immunology Ph.D. Students at Schloss Wolfsberg, Switzerland.*